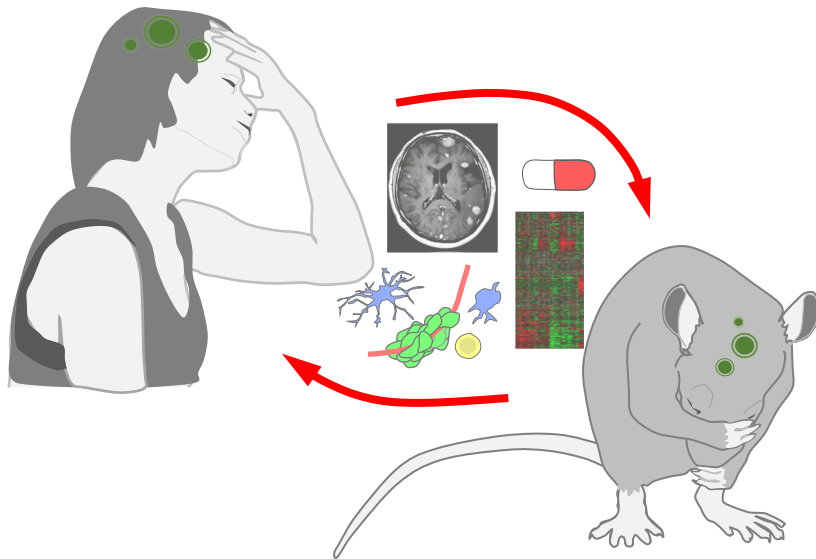


# Advanced Drug Delivery Reviews

## Brain metastasis models: what do we need to aim for better treatments

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## Brain metastasis models: what do we need to aim for better treatments.

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### Highlights

- A large repository of brain metastasis experimental models is available
- Multiple aspects of the biology must be considered to develop effective drugs
- Many clinically-relevant aspects have not been modelled experimentally

### Conflict of interest statement

The authors declare no potential conflict of interest

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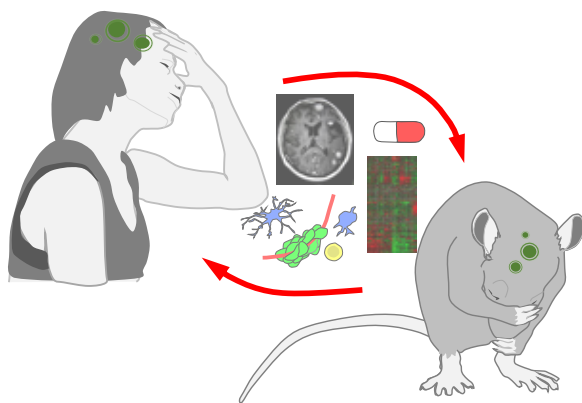
## Abstract

Brain metastasis is emerging as a unique entity in oncology based on its particular biology and, consequently, the pharmacological approaches that should be considered. We discuss the current state of modelling this specific progression of cancer and how these experimental models have been used to test multiple pharmacologic strategies over the years. In spite of pre-clinical evidences demonstrating brain metastasis vulnerabilities, many clinical trials have excluded patients with brain metastasis. Fortunately, this trend is getting to an end given the increasing importance of secondary brain tumors in the clinic and a better knowledge of the underlying biology. We discuss emerging trends and unsolved issues that will shape how we will study experimental brain metastasis in the years to come.

## Keywords

Experimental models; Preclinical therapy; Brain metastasis; Organotropism; BBB; BTB; Small-molecule drugs; Nanomedicines; Immunotherapy

## Graphical abstract



# 1. The relevance of brain metastasis as a unique entity in oncology

## 1.1. Why treating metastasis is not as treating a primary tumor

Analysis of metastasis in different organs and patients have depicted a landscape of massive genomic intra- and inter-patient heterogeneity [21,95,197,207,281]. The exponential increase of this complexity might derive from the highly selective multistep process that transforms a local tumor into a systemic disease, which is unequivocally much more difficult to control therapeutically. Besides the evident limitations to apply local therapies (i.e. surgery, radiation) to metastases in a way that could yield complete responses, intra-patient genomic heterogeneity between the primary tumor and derived metastases also raise the question whether an effective therapeutic approach against the first entity would be equally effective against the various secondary tumors. In addition to genetic abnormalities, the plasticity of individual cancer cells is modelled differently according to their surrounding environment [145]. Each metastatic cell must successfully transit through very different stages (i.e. migrating out of the tumor, intravasation, survival in circulation, extravasation, colonization) that involve their ability to constantly rewire themselves to adapt and survive. For instance, the very last step of the metastatic cascade, organ colonization, involves specific constrains from a new environment, which could be more or less similar to the one at the primary location, where the cancer cell must resume their aggressive growth after reallocating key aspects of the cellular intrinsic machinery towards this end.

## 1.2. Not even as treating any other type of metastasis

The nature of the brain as a vital organ unable to regenerate upon damage involves major limitations for every type of therapy. For instance, neurosurgery cannot be applied always and radiotherapy has the risk of limiting brain plasticity irreversibly that could even evolve into a potentially lethal radionecrosis.

Not only local therapies have limitations. Although the presence of a tumor in the brain might alter the blood-brain barrier (BBB), this does not involve an absence of a selective filter for the entrance of drugs administered systemically. Indeed, the vascular barrier gets remodelled and evolves into a blood-tumor barrier (BTB), an entity that requires further characterization in comparison to the BBB [12]. Drug concentrations in the brain affected by metastasis are generally lower than those reached in other organs affected by disseminated cancer cells [132]. This could favour

1 the emergence of resistant clones in an organ-specific manner given that only sub-  
2 therapeutic doses will be reached in the brain parenchyma [95].

3 Additionally, metastatic outgrowth in the brain frequently impairs patient  
4 neurocognition [78], which is a major contributor to the morbidity of this type of  
5 metastasis. Although this problem is clinically well-documented and there are  
6 established tests to evaluate neurocognitive decline in patients with brain metastasis  
7 [264], there is no knowledge on the underlying pathophysiology rather than the mass  
8 effect, which does not always explain the variability among patients regarding the  
9 degree of cognitive impact [100]. Emerging interests to understand this aspect that  
10 applies to many brain tumors could generate additional organ-specific strategies to  
11 improve the prognosis of patients with brain metastasis [153].  
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## 22 **2. A general overview of available brain metastasis models**

### 23 **2.1. Organotropic cell lines**

24 Brain metastases models mainly consist on the use of organotropic cell lines, which  
25 involve the inoculation of human (xenograft models) or mouse (syngeneic models)  
26 derived cancer cells into murine hosts. Frequently, inoculation of available cancer cell  
27 lines (parental cell lines) into mice circulation do not show prominent ability to generate  
28 brain metastasis [27,196]. However, parental cancer cell lines are heterogeneous and  
29 could contain clones with the intrinsic or acquired ability to target the brain. To select  
30 out clones prone to develop brain tropism, researchers have derived additional cell  
31 lines from the parental cancer cells using an *in vivo* selection strategy. As broadly  
32 reported elsewhere [27,163], systemic inoculation of parental cancer cells via  
33 intracarotid or intracardiac injection is followed by the selection of the rare clones able  
34 to populate the brain from a discrete number of mice who might have certain  
35 metastatic load in the brain. Cancer cells recovered from these brains are expanded  
36 *in vitro*, established as a cell line and re-injected into mice. Typically, repeating the  
37 process of *in vivo* selection multiple times, between 3 to 5, increases the efficacy of  
38 selected cancer cells to target the brain. Thus, the final cancer cell line established  
39 after *in vivo* selection through the brain is termed brain metastatic (BrM) derivative and  
40 upon injection consistently generate established metastases in the majority of  
41 inoculated mice [27,163,196,251]. To track metastasis colonization BrM cells are  
42 frequently engineered with different reporters (e.g. luciferase or GFP). Alternatively,  
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1 the use of reporters could be avoided and metastasis tracked with magnetic resonance  
2 imaging (MRI) or simply by identifying metastatic deposits at the experimental  
3 endpoint using histology [29].  
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5 Most BrM cell lines derive from the three cancer types that are top sources of brain  
6 metastasis in patients including lung cancer, breast cancer, and melanoma [249]. The  
7 inoculation routes that could be used are not only systemic (intracardiac injections  
8 through the left ventricle and intracarotid through the internal carotid artery) but also  
9 local (intracranial) and orthotopic (intradermic or subcutaneous for melanoma,  
10 mammary fat pad for breast cancer, intrathoracic for lung cancer) approaches.  
11 Systemic inoculation recapitulates several steps of the metastatic cascade such as  
12 survival in circulation and the extravasation of cancer cells through the BBB as well as  
13 all organ colonization. However, they neglect previous stages such as the presence  
14 and influence of primary tumors on the formation of the pre-metastatic niche [221,268].  
15 Local inoculation by direct injection of cancer cells into the brain should only be used  
16 when the object of study is present in brain macrometastases since intracranial models  
17 do not faithfully reproduce the initial steps of organ colonization (i.e. extravasation of  
18 cancer cell through the BBB, clonal initiation of metastasis). However, an advantage  
19 of this type of inoculation is that the exact site where metastases develop can be  
20 predetermined. Finally, orthotopic inoculations (injection of cancer cells in the organ  
21 where they were generated) recapitulate better all the stages of the metastatic  
22 cascade. They involve not only the colonization but the previous steps such as the  
23 presence of a tumor that will be the source of the metastasis that will need to succeed  
24 in the intravasation of migratory cancer cells into circulation and all subsequent steps.  
25 However, working with orthotopic models that generate spontaneous brain metastasis  
26 involves assuming a high experimental variability. These models have low success  
27 rate of developing spontaneous brain metastasis that usually require long incubation  
28 time to generate brain metastasis, which. In addition, the removal of the orthotopic  
29 tumor mass from the site of inoculation it is usually required in order to increase the  
30 time available for brain metastases to develop [221].  
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### 52 2.1.1. Of human origin (xenografts models)

53 In xenografts models, BrM cell lines derived from human lung cancer  
54 [41,106,121,271], breast cancer [41,121,132,137,224,225,271,290], and melanoma  
55 [106,173] are inoculated into immune-deficient mice in order to prevent human tumor  
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1 cell rejection by the host. In these models, brain metastases are fully developed and  
2 mice reach the endpoint of the disease (i.e., extensive weight loss and/or neurological  
3 symptoms) after 4–6 weeks from BrM cells systemic inoculation. An advantage of  
4 these models is that the incidence of extracranial multi-organ metastases is limited,  
5 which may be useful to evaluate brain-specific benefits of a given therapy. However,  
6 an important limitation of the need to use immune-compromised mice is that they  
7 cannot readily be used for evaluating immune-targeting therapies since these models  
8 do not present an intact immune system, which have been shown to contribute to  
9 many aspects of primary tumor growth and metastatic spread [124,277].  
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### 11 2.1.2. Of mouse origin (syngeneic models)

12 The inoculation of mouse cancer cell lines into immune-competent mice represent a  
13 growing interest among brain metastasis researchers since they allow to study this  
14 process in the context of an intact immune system, which is an emerging therapeutic  
15 target also in the context of secondary brain tumors [242]. In comparison to xenograft  
16 models, syngeneic models reach faster (2–3 weeks) the experimental endpoint after  
17 systemic BrM cell inoculation, which limits not only the time available to study the  
18 underlying biology of brain colonization but, more importantly, to assess the efficacy  
19 of therapeutic strategies. In general, syngeneic models tend to maintain high  
20 extracranial multi-organ metastasis in comparison with xenograft models, which have  
21 a moderate ability of multiorgan metastasis compared to the brain tropism  
22 [27,163,196,251]. One of the major advantages of syngeneic models is that they are  
23 compatible with genetically engineered mouse models (GEMM) that might be used to  
24 incorporate alterations in specific compartments of the microenvironment so they  
25 could be evaluated for their contribution to metastasis progression in the brain or  
26 elsewhere [250].  
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28 Numerous syngeneic BrM models are available [249] derived from lung cancer  
29 [41,62], breast cancer [41,132,224,225,284] and melanoma [64,242,284].  
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### 31 2.1.3. Spontaneous metastasis derived from BrM cell lines.

32 There are limited BrM cell lines from human [49,159,199] or mouse [62,107,221]  
33 origins that spontaneously metastasize in the brain. A spontaneous metastasis is  
34 considered when the cancer cell line is able to generate a metastasis not from  
35 circulation but when implanted orthotopically. Although working with these models is  
36 much more time consuming and requires bigger cohorts of mice, they are critical for  
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1 those approaches looking for preventing therapeutic strategies, for models that could  
2 incorporate therapies against the primary tumor that frequently coexists and might  
3 influence the development of metastases or for validation purposes of findings  
4 obtained with faster but less realistic models of metastasis.  
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## 7 **2.2. Patient-derived xenografts (PDX).**

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10 Established cancer cell lines do not recapitulate the complexity of the original tumor  
11 from which they were derived since they acquire additional genomic alterations when  
12 cultured *in vitro* for extended periods of time [19]. Patient-derived xenografts (PDXs)  
13 are potential solutions to this limitation given that they are not cultured *in vitro* at all or  
14 only for a limited number of passages [34], during which they might be engineered  
15 with reporters. However, this strategy to model brain metastasis inevitably requires  
16 the implantation of the resected human metastasis into immune-deficient mice.  
17 Humanized models, which are generated after engrafting components of the immune  
18 system, are being used to mitigate this limitation although are still far from being a  
19 common practice in metastasis research [155,259].  
20

21 PDX models have been established from systemic [64,122,226,238,290], local  
22 (intracranial injections) [226] [47,131,164,172], or orthotopic inoculations (mammary  
23 fat pad or subcutaneous injections) [115,139].  
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## 26 **2.3. Genetically engineered mouse models (GEMM).**

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28 The majority of genetically engineered mouse models (GEMMs) of cancer obtained  
29 after deleting tumor suppressor genes or activating oncogenes are poorly metastatic.  
30 Even less GEMMs develop systemic disease that spread to the brain [44,104,147].  
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### 33 2.3.1. Melanoma

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35 Two GEMM of melanoma have been reported to generate brain metastasis. The  
36 activation of the *Ret* oncogene induces skin tumors metastatic to liver, kidney, spleen,  
37 lungs, lymph nodes and brain [104]. Engineering the activation of AKT1 on a  
38 melanoma model was sufficient to drive spontaneous lung and brain metastases in an  
39 otherwise non-metastatic mouse model of melanoma [44].  
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### 42 2.3.2. Lung cancer

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44 The somatic inactivation of *Trp53* and *Rb1* are the more frequent genomic alterations  
45 of small cell lung cancer. A GEMM incorporating them reproduced this cancer type  
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1 including its aggressive metastatic behaviour to bone, brain, adrenal gland, ovary,  
2 liver and brain [147].  
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#### 4 **2.4. *Ex vivo* and *in vitro* models.**

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6 Additional models of brain metastasis *in vitro* and *ex vivo* such as brain organotypic  
7 cultures [288], co-cultures between BrM cell lines and different components of the  
8 microenvironment and brain metastasis-derived organoids have been reported. These  
9 models are discussed in a specific chapter by Satchi-Fainaro *et al* (REF).  
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### 15 **3. Targeting brain metastasis with small-molecule drugs and nanomedicines.**

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#### 17 **3.1. Small-molecule drugs tested in experimental brain metastasis.**

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19 A broad spectrum of systemic therapies has been tested in mouse models of brain  
20 metastasis, ranging from conventional chemotherapies to novel targeted agents  
21 (Figure 1).  
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25 Despite the general assumption of limited BBB penetration of commonly used  
26 chemotherapies [132,212], few agents are able to reach therapeutic levels in the brain  
27 and have been tested in experimental brain metastases from breast cancer [102,176].  
28 Carboplatin, a platinum-based chemotherapy used to treat breast cancer, was tested  
29 alone or in combination with the PARP inhibitor ABT888 in four different models of  
30 triple-negative breast cancer (TNBC) brain metastasis with or without mutation in the  
31 *BRCA* gene [102]. Interventive treatment, started once metastasis were detectable by  
32 bioluminescence imaging (BLI), with carboplatin alone or in combination with ABT888  
33 after intracranial implantation of cancer cells successfully delayed tumor growth and  
34 extended overall survival of animals in the *BRCA*-mutant models, while no effect was  
35 observed in the *BRCA*-wild type ones [102]. These results have led to a Phase II  
36 clinical trial of the same characteristics which is currently under evaluation  
37 (NCT02595905). On the other hand, temozolomide, an alkylating agent used for the  
38 treatment of primary brain tumors [235,236], completely prevented brain metastasis  
39 from MGMT-negative TNBC cells and extended overall survival of animals, when  
40 administered in a preventive setting after intracardiac inoculation of cancer cells [176].  
41 Clinically, temozolomide has shown little or no efficacy in patients with active disease  
42 in the central nervous system (CNS) from breast cancer [35,228,245]. Notably, these  
43 clinical trials have included temozolomide therapy for established macrometastases,  
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1 thus the use of this therapy as a preventive strategy for these patients has just started  
2 to be explored clinically (NCT03190967).

3 Regarding targeted therapies, many BBB-permeable agents have been tested in  
4 preclinical brain metastasis models. HER2+ breast cancer brain metastasis has been  
5 heavily modelled experimentally due to the wide range of anti-HER2 therapies  
6 available for controlling systemic disease [5], with most of them also showing positive  
7 intracranial responses [256]. The use of the monoclonal antibody trastuzumab or its  
8 derivatives is the most popular anti-HER2 therapy [5,256] and will be discussed in  
9 detail in the next section. Other strategies to target this receptor in brain metastases  
10 from HER2+ breast cancer include the use of small molecule tyrosine kinase inhibitors  
11 (TKIs) such as lapatinib or neratinib [256]. In this regard, lapatinib delayed brain  
12 metastases outgrowth in a HER2-overexpressing breast cancer model when  
13 administered in a preventive scenario after intracardiac inoculation of cancer cells [87].  
14 Other targets different from HER2 have also been studied in this subtype of breast  
15 cancer. Preventive treatment with buparlisib, a selective PI3K inhibitor, effectively  
16 decreased brain metastasis incidence in 50% when two HER2+ human breast cancer  
17 cell lines were implanted orthotopically (leading to spontaneous brain metastases) or  
18 injected intravenously [159]. Clinically, buparlisib, in combination with trastuzumab  
19 and capecitabine, yielded benefit in heavily pretreated, trastuzumab-resistant HER2+  
20 breast cancer patients with progressive brain metastases [189]. Although enrollment  
21 in this cohort was low (9 patients), the fact that 78% achieved stable disease and all  
22 four patients with target lesions in the brain at baseline showed some degree of tumor  
23 shrinkage [189] is promising and warrants further investigation. Buparlisib has also  
24 been used to target brain metastases from TNBC [254]. In combination with the MEK  
25 inhibitor selumetinib, it extended animal survival in two out of four intracranial models  
26 of TNBC brain metastasis when administered following an interventional regimen [254].  
27 In the same study, combination of selumetinib with the non-specific PDGFR inhibitor  
28 pazopanib showed similar effects [254]. Finally, other novel inhibitors have been  
29 shown to target TNBC brain metastases. Inhibition of PLK1, a protein with a  
30 significantly increased expression in brain metastases compared to extracranial  
31 metastases, prevented formation of large brain metastases in 62% and increased  
32 overall survival in 17% [200]. Treatment with the compound, called GSK461364A, was  
33 performed in a preventive set up after intracardiac inoculation of cancer cells [200].  
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Despite existing clinical studies reporting the intracranial benefit of different TKIs for patients with *EGFR*-mutant and *ALK*-translocated non-small cell lung cancer (NSCLC) [48,74,109,184,191,265], preclinical models of brain metastasis from this primary tumor including these therapies are scarce [249]. Osimertinib, the only FDA-approved third-generation *EGFR*-TKI against *EGFR* activating and T790M resistance mutations, induced sustained tumor regression BLI and increased overall survival in a lung cancer brain metastasis model harbouring an *EGFR* exon 19 deletion [17]. The inhibitor was administered at clinically relevant therapeutic doses when brain metastases were established following intracarotid inoculation of cancer cells [17]. In addition, case studies of two patients resistant to treatment with previous generations of *EGFR*-TKIs showed intracranial response to osimertinib in the AURA phase I/II trial (NCT01802632), suggesting that this inhibitor could potentially overcome reported resistance to first and second-generation TKIs [17]. YH25448, another third-generation *EGFR*-TKI with higher potency and selectivity, increased penetration to the BBB and improved toxicity profile compared to osimertinib, provoked tumor regression and increased survival in a lung cancer brain metastasis model harbouring the *EGFR* mutations L858R and T790M when implanted intracranially, as well as in a patient-derived xenograft with an *EGFR* exon 19 deletion [280]. YH25448 was superior to osimertinib and showed 30% more survival benefit [280] when administered at the same clinically relevant dose from the previous study [17]. Case studies of two patients with *EGFR*-mutant lung cancer who have progressed to previous *EGFR*-TKIs showed extracranial response to YH25448 and even 50% intracranial tumor reduction in one of them [280]. Finally, with regards to *ALK*-translocated lung cancer, the EML4-*ALK* variant 5a lung adenocarcinoma brain metastasis model was sensitive to both crizotinib and alectinib at the primary tumor site, but resistant to crizotinib and sensitive to alectinib in the brain [158], faithfully recapitulating clinical observations with this family of TKIs [48,74,109]. In another study, entrectinib, an orally bioavailable potent inhibitor of *ALK*, *ROS1* and *TRK* family kinases, significantly reduced intracranially implanted tumors from EML4-*ALK* rearranged NSCLC and increased mice survival in more than 70% [10]. Treatment was started after tumors were detectable by MRI, and tumor growth was also monitored by the same technique [10].

Very few experimental models of melanoma brain metastasis that incorporate systemic therapies have been developed [249]. A recent study has reported a patient-derived melanoma brain metastasis model harbouring the mutation *BRAF*<sup>V600E</sup> in

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which treatment with the PI3K inhibitor buparlisib or the MEK inhibitor trametinib as monotherapies decreased tumor volume [2]. However, treatments were applied to established subcutaneous tumor masses generated from the patient-derived brain metastasis, which do not address potential limitations related to drug penetration in the brain [2]. Additionally, combined treatment with both inhibitors showed increased reduction of tumor volume compared to either monotherapy, suggesting a rational combination to overcome potential resistance to PI3K or MEK inhibition alone, which is often seen in melanoma patients [2].

In summary, there are extensive evidences that demonstrate that available small-molecule drugs could be used to treat brain metastases. The majority of these pre-clinical efforts have been developed in breast cancer brain metastasis, thus especial attention should be given to lung cancer and melanoma brain metastasis. Although several of these therapeutic interventions have been performed in a preventive setting, which has a more limited clinical interest, or by using intracranial implantation of tumor cells, which does not necessarily reproduce the step of colonization and involves additional influence on local inflammation [252], there are effective preclinical treatments involving interventional strategies applied to induced brain metastasis from systemic inoculation of cancer cells, which is the most accepted approach to model brain metastasis [252]. Notably, the majority of effective treatments correspond to drugs with known BBB-permeable profile, which suggests the importance of considering this aspect in spite of the possibility of a potential partial disruption of the BBB derived from the presence of a tumor.

### **3.2. Nanomedicines tested in experimental brain metastasis.**

The physical barrier imposed by the BBB is a recurrent limitation in the delivery of drugs to the CNS [73]. Different strategies have been developed to increase access of drugs to the brain. Among them, the use of specific vector systems to deliver nanomaterials has shown superior efficacy and safety profile due to their biochemical properties [128]. Formulation of non-permeable drugs into nanomedicines leads to an improved brain penetration due to the enhanced permeability and retention (EPR) effect and an increase of their circulation time [25]. Moreover, brain targeting properties can be enhanced by the incorporation of targeting ligands, such as antibodies, endogenous proteins or peptides, on the surface of the nanoconjugate [50,177,192]. Once in the blood stream, serum proteins can bind to the nanoparticle

1 forming the so-called protein corona, which can reduce the targeting properties of the  
2 system [211]. The use of nanocarriers is not limited to the delivery of drugs. Several  
3 formulations have been proposed as diagnosis or theragnosis agents, for instance  
4 [262].  
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6  
7 Several studies have used nanocarriers to deliver chemotherapies that do not or have  
8 limited penetration to the brain in their conventional formulation (Figure 1)  
9 [7,150,152,213]. Most of them have focused in experimental models of TNBC brain  
10 metastasis and have tested nanoconjugates based on chemotherapeutic agents that  
11 are FDA-approved (doxorubicin and paclitaxel) [7,150] or in clinical trials (irinotecan)  
12 [152]. PEGylated liposomal doxorubicin (Doxil®) showed both higher and longer  
13 accumulation in intracranially implanted tumors and extended overall survival in >20%  
14 compared to the group treated with unmodified doxorubicin, which did not give any  
15 benefit compared to untreated mice [7]. These preclinical results were translated into  
16 a clinical trial for this patient population that was terminated due to low recruitment  
17 (NCT00465673). Recently, modification of doxorubicin loaded liposomes and PLGA  
18 nanoparticles with an ApoE binding peptide has been reported as an efficient strategy  
19 to achieve brain targeting by controlling the protein corona of the nanosystem [287].  
20 One of the most advanced targeting ligands is glutathione (GSH), an endogenous  
21 peptide with a specific transporter at the BBB/BBB [14]. It has been used to modify  
22 PEGylated liposomes resulting in improved brain uptake, as demonstrated for  
23 doxorubicin, methylprednisolone or an antibody fragment [76,206,208]. These  
24 formulations, named 2B3-101 for doxorubicin and 2B3-201 for methylprednisolone,  
25 are being evaluated in clinical trials (NCT01386580 and NCT02048358) for solid  
26 tumors (melanoma, breast and lung) and brain metastases and for multiple sclerosis,  
27 respectively [75,120]. Another example of nanoconjugated chemotherapy in TNBC  
28 brain metastasis is liposomal irinotecan (nal-IRI), for which positive results were  
29 shown when interventive treatment was given to brain metastases inoculated  
30 intracardially, with an increase in overall survival over 30% in mice treated with nal-IRI  
31 compared to irinotecan or vehicle-treated mice [152]. A Phase I study confirmed  
32 intracranial clinical benefit (partial response or stable disease) of this nanoconjugate  
33 in 6/10 patients with active CNS disease from breast cancer (NCT01770353).  
34 Currently, there is an actively recruiting Phase II clinical trial for progressing brain  
35 metastasis patients from breast cancer (NCT03328884). Another study has also  
36 shown improved survival of mice treated with an ultra-small hyaluronic acid (HA)  
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1 paclitaxel nanoconjugate compared to paclitaxel (>15%) or vehicle (>30%) with the  
2 same preclinical model in a preventive trial [150].

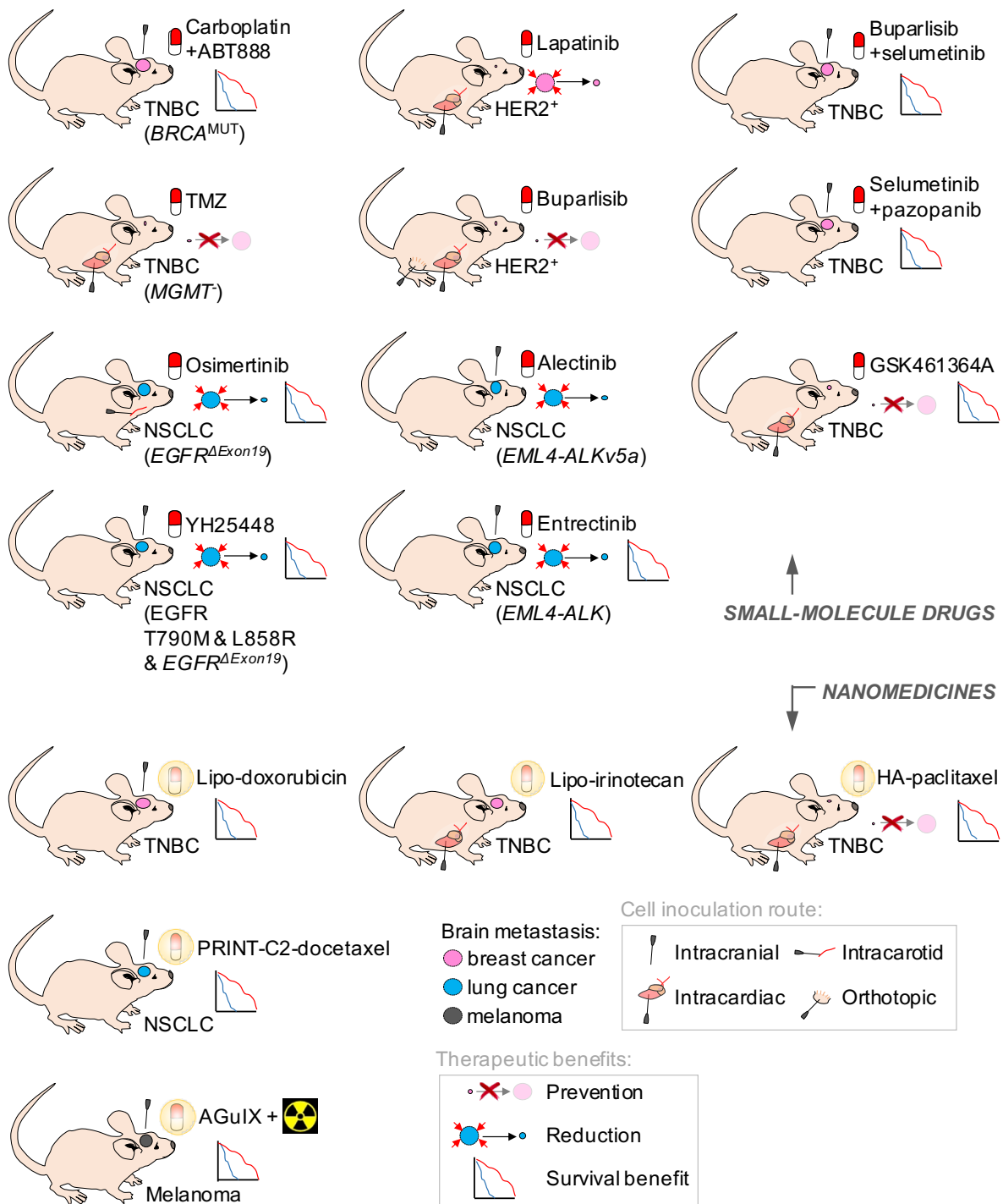
3 With regards to lung cancer brain metastasis, interventional treatment with the  
4 nanoconjugate PRINT-C2-docetaxel following intracranial implantation of the tumor  
5 increased animal survival in 35% compared to docetaxel and vehicle-treated animals  
6 [213].  
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10 Recently, AGuIX, a formulation consisting in a polysiloxane matrix and gadolinium  
11 chelate, has been evaluated as radiosensitizer. This nanoconjugate accumulates in  
12 the tumors due to the EPR effect and is characterised by its ultrasmall size, below 5  
13 nm, that leads to renal clearance. In addition, it displays good properties as MRI  
14 positive contrast agent. Intravenous injection in mice bearing multiple melanoma brain  
15 metastases followed by irradiation lead to a 3-fold increase of the life span compared  
16 to those that were only irradiated [114]. These promising results has led to the  
17 evaluation of the formulation in a Phase 1b clinical trial to treat multiple brain  
18 metastases from lung, melanoma, breast and colon cancers (NCT02820454) [257].  
19 Interestingly, MRI imaging showed accumulation of the nanoconjugate at the  
20 metastatic sites and not in healthy brain tissue suggesting that it bypassed the  
21 BBB/BBB [257].  
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32 Nanocarriers from several types, such as iron oxide nanoparticles, gold nanocrystals,  
33 protein-based nanoparticles or dendrimers are currently being evaluated to treat  
34 several CNS related disorders [161]. Ferumoxytol, ultrasmall superparamagnetic iron  
35 oxide nanoparticles, are investigated as contrast agent to detect neuroinflammation in  
36 multiple sclerosis (NCT02511028). CNM-Au8, an aqueous suspension of gold  
37 nanocrystals, has proved to be well-tolerated and safe in a dose-escalation Phase I  
38 clinical trial (NCT02755870) and recently got approval for five Phase 2 clinical trials to  
39 treat amyotrophic lateral sclerosis (NCT03536559, NCT03993171), Parkinson's  
40 disease (NCT03815916) and multiple sclerosis (NCT03536559, NCT03993171).  
41 APH-1105, an intranasally administered nanoparticle that includes an alpha secretase  
42 modulator, will be evaluated in a Phase 2 clinical trial for the treatment of mild to  
43 moderate Alzheimer disease (NCT03806478) [51].  
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54 Nanocarriers have been applied to brain metastasis in still limited studies and some  
55 of them have shown positive results in early phase clinical trials. Given the number of  
56 existing drugs with limited BBB/BBB permeability but high anti-tumor efficacy and good  
57 profiles as drugs, more efforts to exploit promising nanomedicines should be taken,  
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specially out of positive experiences in other brain disorders where this type of compounds have been tested more extensively.



**Figure 1. Experimental therapies tested in brain metastasis models.** Mostly breast cancer and lung cancer brain metastasis models have been tested in the context of both small-molecules drugs and nanomedicines. The schema depicts not only the specific model used but also the benefits obtained.

#### 4. Using the immune system to challenge brain metastasis.

1 The development of immunotherapy against cancer, mostly based on immune  
2 checkpoint blocking antibodies, is a major revolution in oncology that has recently  
3 started to be tested in patients with brain metastasis [28,84,85,113,133,140,243]. In  
4 spite of the positive results mainly related to a variable percentage of non-progressing  
5 brain metastases, many questions remain open: the local versus systemic source of  
6 the benefits derived from the use of blocking antibodies, the requirement of  
7 extracranial metastases to obtain therapeutic benefits locally, the biology of antigen  
8 presentation in the brain, the need to incorporate additional strategies to an organ with  
9 specific immune cell types and a limited access to systemic circulation. In this chapter  
10 we discuss the use of antibodies to treat brain disorders as a general update on this  
11 therapeutic strategy, which is currently the core of immunotherapies used in the clinic,  
12 we also discuss published immune-based strategies that have been applied to brain  
13 metastases and an update on the progress made characterizing the immune system  
14 in the brain.

#### 25 **4.1. Use of blocking antibodies for brain disorders.**

26 The BBB prevents access to the brain of high molecular weight polar macromolecules.  
27 This includes most proteins and, of course, antibodies. At steady state, the relative  
28 concentrations of antibody in brain and blood are estimated to be 1:1000 [brain] /  
29 [blood] [179,190,203,232]. However, accurately determining the amount of a  
30 compound that is able to reach the cerebral parenchyma from the bloodstream is by  
31 no means an easy task. In the case of antibodies, this task is even more difficult as  
32 their high molecular weight does not allow the use of commonly used techniques for  
33 the study of transport to the brain of small-molecule drugs [170]. Pardridge has  
34 recently summarized which are the three main mistakes more often found when  
35 analyzing the bibliography in this field: i) use of antibody penetration of cerebrospinal  
36 fluid (CSF) as a surrogate marker of BBB transport; ii) failure to correct brain uptake  
37 measurement for the cerebral blood volume; and iii) administration of antibody in a  
38 setting that causes BBB leakiness or BBB disruption [181]. Very interesting, in this  
39 context, is the recent development of very large molecular weight cut-off dialysis  
40 membranes that is impoverishing the door to quantitative studies of antibody  
41 concentrations in the interstitial fluid of the brain using microdialysis techniques [38].  
42 An exception to this poor CNS permeability are those antibodies capable of interacting  
43 with vascular endothelial receptors involved in processes of molecular transport to the  
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CNS [182]. In this case, the access of the antibody to the brain may become more effective. This concept is at the base of several strategies that use this type of antibodies as shuttles (or Trojan horses) for brain drug delivery. Antibodies against transferrin (TfR) or insulin receptors (InsR) have been the most used in this context [23,24,170,182,190]. However, the results published following this approach must be analyzed with great care since very frequently the data available do not allow to differentiate between transport to the brain as a whole (including blood vasculature) and transport to brain's parenchyma [165,170].

Multiple sclerosis is the only neurological disease in which monoclonal antibodies (mAbs) are extensively used for treatment, although the therapeutic effects are probably driven by a peripheral action [72,146]. Natalizumab, an adhesion molecule inhibitor, was approved in 2004 [275]. Alemtuzumab and ocrelizumab have followed, allowing to fight the evolution of the disease addressing a variety of therapeutic targets [258].

The search for a treatment for Alzheimer's disease has led to a tremendous amount of effort to find an anti-A $\beta$  mAb with therapeutic efficacy. Several of these molecules have entered phase II and phase III clinical trials, but to date, none have reached the market [37,72,180,182]. The long list of molecules tested includes bapineuzumab [209], solanezumab [61], gantenerumab [98], crenezumab [4], ponezumab [33] and aducanumab [218]. The poor results obtained so far in all clinical trials with anti-A $\beta$  antibodies could indicate that the therapeutic target is not adequate or could be justified by the fact that in the treated patients the disease was already too advanced [178]. However, an alternative explanation is the lack of use of delivery strategies efficient enough to ensure the BBB permeation of the antibodies [181]. Fortunately, new and promising approaches are continuously being developed in this direction. Kariolis *et al.* have recently reported a new system for CNS delivery of therapeutic proteins based on a human IgG1Fc fragment that has been engineered to bind to TfR and act as a BBB-shuttle [103]. The authors have applied this concept to deliver two anti- $\beta$ -secretase-1 (BACE1) Fabs. They have also generated a bispecific antibody that targets both BACE1 and Tau that could be used to inhibit in a combined mode amyloids formation and Tau spreading. In a parallel study, Ulmma *et al.* report the use of the same strategy for the CNS delivery of iduronate-2-sulfatase, the lysosomal enzyme deficient in mucopolysaccharidosis type II [248]. Finally, it is important to pay

1 attention to the interesting work published in 2014 by Niewoehner *et al* [165]. In this  
2 study, the authors use a complete collection of biophysical and cell biology tools that  
3 allow them to demonstrate that the fusion of a single-chain Fab fragment of an anti-  
4 TfR mAb to one C-terminal end of the heavy chain of an anti-A $\beta$  mAb (mAb31) allows  
5 transport to the brain parenchyma much more efficiently than when the same anti-A $\beta$   
6 antibody is, either, used alone or fused to two copies of Fab. In the later case, an  
7 important uptake occurs by the endothelial cells of the blood vessels of the brain, but  
8 the construct remains trapped in the endothelium without being able to access the  
9 parenchyma and, finally, is sorted to lysosomes. The authors report a 55-fold *in vivo*  
10 increase of  $\beta$ -amyloid target engagement in an Alzheimer's disease mouse model  
11 when using the monovalent binding mode to the TfR instead of the naked mAb31  
12 antibody [165].  
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14 Bevacizumab was one of the first monoclonal antibodies to be used in the clinic. It acts  
15 against vascular endothelial growth factor (VEGF) and is, therefore, an antiangiogenic  
16 agent. In 2004 the FDA approved the use of bevacizumab in combination with  
17 standard chemotherapy for the treatment of metastatic colon cancer and some forms  
18 of metastatic lung cancer. It is well known that in cases of glioblastoma, tumor growth  
19 is accompanied by high neovascularization. This explains the efforts to use  
20 bevacizumab in this context [231]. The results obtained are, however, the subject of  
21 controversy. Thus, while bevacizumab was given fast track approval by the FDA as a  
22 single-agent treatment option for the treatment of glioblastoma, in Europe, the EMA  
23 has not authorized it because it considers that there is not enough evidence on its  
24 effectiveness [36,156,160]. Other mAbs that have been clinically tested to treat  
25 glioblastomas are anti-EGFR antibodies such as cetuximab and nimotuzumab, but the  
26 results obtained so far have not been sufficiently satisfactory [46,65].  
27

28 Trastuzumab is an anti-HER2 receptor mAb extensively used in the clinic to treat  
29 breast cancer and stomach cancer [217]. The ensemble of mAbs used for the therapy  
30 of HER2-positive breast cancer include also other anti-HER2 antibodies (pertuzumab)  
31 as well as antibody-drug conjugates (ADC) such us trastuzumab emtansine and  
32 trastuzumab deruxtecan [148]. Trastuzumab and pertuzumab, either alone or  
33 combined with other therapeutic agents, can slow the growth of HER2-positive  
34 metastatic breast cancer and increase survival [151]. However, the use of trastuzumab  
35 for the treatment of CNS metastases from HER2-positive breast cancer is hampered  
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1 by the low BBB permeability of the antibody [171]. Several attempts to overcome this  
2 limitation has been reported these last years, including the use of angiopep-2, a BBB  
3 peptide-shuttle [202], a melanotransferrin conjugate [166], and a biparatopic anti-  
4 HER2 antibody-tubulysin conjugate [88]. Despite all these efforts, CNS metastasis  
5 from HER2-positive breast tumors continues to be a formidable clinical challenge.  
6 Trastuzumab is an excellent tool to combat primary tumors and to control extracranial  
7 relapse but, to date, has been shown to be of little use in preventing recurrence to the  
8 CNS.

9 It has long been known that cancer patients develop autoantibodies against self-  
10 antigens [52]. The production of these autoantibodies is part of the body's natural  
11 response to prevent tumor growth. Unfortunately, however, these autoantibodies  
12 against self-antigens are behind numerous cases of paraneoplastic syndrome, which  
13 is a cancer-derived autoimmune disorder [20]. For instance, 5% of patients with SCLC  
14 and up to 10% of patients with lymphoma or myeloma develop paraneoplastic  
15 syndromes [185]. Autoantibodies target proteins that are normally expressed in  
16 different organs including the brain [53]. Although neurological complications that are  
17 frequently part of the paraneoplastic syndrome could derive from antibodies targeting  
18 antigens present in brain cell types, more frequently the brain is damaged as a  
19 consequence of an uncontrolled response from the immune system [53].  
20 Hyperactivated T cells derived from the systemic presence of auto-antibodies against  
21 immune checkpoints might be effective against cancer cells but at the same time target  
22 healthy organs including the brain with an intensity that could evolve in a life-  
23 threatening autoimmune encephalomyelitis [278].

24 The blockade of immune-checkpoints has emerged this last decade as one of the most  
25 promising strategies in cancer immunotherapy. Antibodies able to block a variety of  
26 checkpoint proteins have been approved for the treatment of several primary tumors.  
27 This list includes anti-CTLA4 antibodies (ipilimumab), anti-PD1 (pembrolizumab,  
28 nivolumab, and cemiplimab), and anti-PDL1 (atezolizumab, avelumab, and  
29 durvalumab) [82,141]. As seen in paraneoplastic neurological syndromes, there is an  
30 intrinsic risk derived from the use of this therapeutic strategy that requires close control  
31 of potential toxicities. Indeed, persistent severe neurological disorders and even fatal  
32 cases in patients treated with checkpoint inhibitors have been reported [125].

33 For many years it has been thought that immune-checkpoint inhibitors were not  
34 suitable for the treatment of brain metastasis. In contrast, some advances in

1 understanding the immunology of brain metastases are challenging this paradigm [59].  
2 In fact, in multiple phase 2 clinical trials with checkpoint inhibitors, especially in patients  
3 with asymptomatic brain metastases resulting from melanoma or non-small-cell-lung  
4 cancer (NSCLC), clear intracranial activity and durable responses have been  
5 observed [85,113,243]. The checkpoint inhibitors immunotherapy is also beginning to  
6 give good results in some types of brain metastases in patients with breast cancer  
7 [110,157,204]. Indeed, one of the key factors for the success of this type of  
8 immunotherapy is the concentration of immune-checkpoint ligands, especially PD-L1,  
9 in the microenvironment of the tumor. Recent studies show that in some patients with  
10 brain metastasis these concentrations may be quite high [68,116,167]. Kudo *et al.*  
11 have recently reported the results from a direct comparative immune profiling of  
12 primary lung tumors and brain metastases from 39 patients with resected NSCLC. The  
13 data show clearly that the tumor microenvironment of brain metastases is, overall,  
14 more immunosuppressed than that of primary tumors. The same authors report, also,  
15 that in brain metastasis infiltration of tumor-associated macrophages (TAMs) is higher  
16 than in primary tumors and that this could be one of the prevalent mechanisms for the  
17 observed microenvironment immunosuppression [116]. All this allows us to be  
18 optimistic about the future of immunotherapy of brain metastases. However, given that  
19 these treatments may be accompanied by severe immune-related adverse effects  
20 [244], as important as the improvement of therapeutic agents will be the development  
21 of more sophisticated methods for detecting deleterious effects derived from these  
22 approaches. Additionally, more thorough characterization of the local amounts of  
23 therapeutic antibodies in the context of the BBB versus BTB will allow to clarify the  
24 local versus peripheral origin of therapeutic benefits.

#### 4.2. Immunotherapies in experimental brain metastasis.

45 To improve the variable response rates among brain metastasis patients receiving  
46 immunotherapy, preclinical models compatible with the characterization of the  
47 crosstalk between metastatic cells and immune system are required. Specifically,  
48 models that incorporate different therapeutic pressures applied at different stages of  
49 the disease (stable versus active disease; local versus systemic disease) would be  
50 very informative. However, only few preclinical studies have been performed and thus,  
51 the specific biology of the brain responding to immune-based therapies is poorly  
52 understood.

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The local immune microenvironment in brain metastasis is different from that in other brain tumors as demonstrated by the characterization of a distinct lymphocyte infiltration pattern and proximity between antigen presenting and T cells [112]. In addition, secondary brain tumors are frequently accompanied by extracranial systemic disease (i.e. the primary tumor and/or extracranial metastases) that also influences the immune system response [112]. Therefore, to study the efficacy of immunotherapy in brain tumors, the local and/or systemic nature of the specific malignancy should be considered.

Among immune-based strategies, the ones that have used immune checkpoint neutralizing antibodies were shown to be effective in models of melanoma and osteosarcoma brain metastasis [242,270]. Interestingly, the efficacy of anti-PD-1/anti-CTLA-4 combination in the melanoma model was shown to depend on the presence of extracranial disease since it favours CD8+ T cells being recruited to the brain. The mechanism behind it involves the induction of ICAM-1/VCAM-1 receptors in the immune cells, which allow them to recognize the corresponding ligands present in brain capillaries increasing their recruitment in this organ [242]. An alternative strategy was followed in an osteosarcoma model where radiotherapy was applied to the primary tumor. This strategy, which facilitates antigen presentation, induced a potent peripheral anti-tumor response when combined with anti-PD-1 antibodies. The treatment had the dual effect of increasing numbers of CD4+ and CD8+ T cells and decreasing myeloid-derived suppressor cells (MDSCs) [270], which was translated into a superior T cells infiltration and cytotoxic response with reduced numbers of regulatory T cells in the brain [270]. Although combination treatments with immune-checkpoints and radiation delayed brain metastasis progression, the assessed response is still mild, corresponding in the best-case scenario to what it would clinically score as a partial response. Both types of brain metastasis still maintained half of their size [242,270], which is not sufficient to increase survival rather than several days. Thus, a more potent immune system stimulation is needed to reduce metastatic brain tumors more dramatically. Potential reasons behind this need could be the limited permeability of antibodies to the brain but also the presence of local sources of immunosuppression. For instance, multiple experimental models of brain metastasis have shown PD-L1 expression downstream STAT3 positive reactive astrocytes [196], which could contribute to limit the anti-tumor local response when systemically activated T cells infiltrate the brain. Therefore, a potential combination between

1 inhibitors targeting the local immunosuppressive microenvironment combined with  
2 antibodies targeting immune checkpoints might increase response rates to  
3 immunotherapies in the brain.  
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5 Besides immune checkpoint blockade, additional strategies such as adoptive T cell  
6 transfer have been developed. For instance, intracranial or intraventricular delivery of  
7 HER2-Chimeric antigen receptor-engineered T cells (HER2-CAR T cells) generate a  
8 strong antitumor activity in a model developing multifocal brain metastases. The  
9 response reached complete tumor regression and subsequently extended survival  
10 when the 4-1 BB co-stimulatory domain was used [194]. Alternative strategies based  
11 on adoptively transferred T cells recognizing gp100 -a tumor-associated antigen  
12 present in melanoma brain metastasis- combined with a dendritic cell vaccination and  
13 systemic IL-2 treatment was also effective against established experimental  
14 metastatic tumors [198]. Treatment lead to an enhanced CD8+ T cell infiltration into  
15 the brain, a reduction in tumor size and extended mice survival in more than 50%  
16 [198].  
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18 Instead of infusing T cells previously activated or engineered exogenously, strategies  
19 to boost the immune response endogenously using vaccines have been tested.  
20 Vaccines based on subcutaneous implantation of irradiated B16 melanoma cells  
21 engineered to produce immune-stimulatory cytokines such as GM-CSF, IL-6, or IL-3  
22 showed specific and potent immune response against melanoma brain tumors based  
23 on increased CD8+ T cells infiltration, but not CD4+ T cells or NK cells. The response  
24 was sufficient to increase mice survival in approximately one week in a model where  
25 control mice died at 24 days. This moderate benefit was rather significant in 15% of  
26 mice that survived more than 100 days. Interestingly, after tumor-rechallenge mice  
27 survived for 60 additional days, suggesting the development of T cell memory to  
28 metastatic tumor-derived antigens [214]. The benefits of brain metastasis vaccines  
29 have been further studied in more models [136]. Intracranial Injection of lyophilized  
30 vehicle cells engineered to produce IFN $\beta$  conferred protection against pre-existing  
31 brain metastases and prolonged 50% survival of mice as a result of specific CD8+ and  
32 CD4+ T cells responses [136]. Finally, mimicking the effect of a specific cellular based  
33 vaccine, CpG oligodeoxynucleotides (ODN) are alternative non-specific  
34 immunostimulatory molecules that have been used in mouse models of breast cancer  
35 brain metastasis as an adjuvant to immune-based therapies [272]. For instance, the  
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1 peritumoral injection of CpG ODN at the primary tumor in a model of orthotopic breast  
2 carcinoma with concurrent systemic disease, lead to an increased infiltration of innate  
3 and adaptive immune cells into the mouse brain as well as the IFN- $\gamma$  activity. However,  
4 this strategy is milder in potency since, although the CD8<sup>+</sup> T cells isolated from these  
5 mice showed cytotoxic ability in vitro, when adoptively transferred to mice affected by  
6 brain tumors, they only showed efficacy in incipient metastasis that are clinically not  
7 detectable [272].  
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12 Viruses have been used as vehicles in the context of brain metastasis. The local  
13 injection of retroviral replicating vectors encoding cytosine deaminase and 5-FC (Toca  
14 511 and 5-FC), with anti-tumor effects through direct interference with metabolic  
15 pathways in cancer cells and also depleting immunosuppressive cells, induced  
16 systemic anti-tumor immune memory response that improved mice survival in a  
17 colorectal cancer brain metastasis model [274]. The effect of Toca 511 and 5-FC was  
18 shown to derive from reducing MDSCs in the brain and the spleen, but conveniently  
19 not in bone marrow, which might help to avoid frequent secondary effects seen with  
20 other immunotherapies [274]. Viral vectors have been also used to genetically modify  
21 dendritic cells (DC). DC infected with adenoviral vectors encoding tumor-specific  
22 antigens such as the melanoma-associated antigen MART-1 have been used to target  
23 melanoma brain metastasis [32]. Mice challenged intracranially with B16 cancer cells  
24 in DC-MART-1 immunized hosts were partially protected from brain tumor  
25 development since median survival was increased from 17 days to 23 days with 8.7%  
26 of mice showing complete response [32].  
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40 The still spared knowledge generated by these pioneer studies suggests that immune-  
41 based therapies might be effective against brain metastasis. These studies also  
42 suggest the need to explore potential combinations of inhibitors of the  
43 immunosuppressive brain microenvironment with checkpoint inhibitors. Additionally,  
44 better models of brain metastasis, such as those that spontaneously metastasize both  
45 in the brain and extracranially, should be incorporated in order to facilitate the  
46 translation of preclinical research into the clinic. Their use is critical to clarify, for  
47 instance, the divergent response between symptomatic and asymptomatic responses  
48 seen in patients [140] or the amount of the drug (i.e. blocking antibodies) getting into  
49 the brain compared to other organs affected with metastasis. Clarifying all these  
50 aspects, which consider the particularities of the brain, will help to design improved  
51 immune-based therapeutic strategies.  
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### 4.3. Emerging aspects of brain-specific immunity.

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Microglia (MG) are the only leukocyte with access to the brain parenchyma in homeostatic conditions, yet the meninges surrounding the brain are enriched in a variety of immune cells that survey and regulate brain physiology [111]. While the inner meningeal layers drain CSF, the peripheral dura layer is populated by T and B cells, neutrophils and antigen-presenting cells (APCs: macrophages, monocytes and dendritic cells), among others [253]. The notion of the brain as an immune-privileged organ -due to its lack of lymphatic drainage- is now widely disputed [13,134,135], due to recent evidences showing that meningeal lymphatics constitute a drainage route for CNS and CSF-soluble molecules such us antigens, and for meningeal-associated immune cells.

Macrophages are the most abundant leukocyte in brain and other solid tumor-associated microenvironment [112,285]. Several studies over the last years have identified distinct phenotypes and functions in tumor-associated macrophages (TAMs) that arise from different developmental origins [43,71,289]. In brain metastasis and primary tumors, the pool of TAMs is composed by microglia (MG), which arise from yolk sac progenitors [80] and MoMacS, derived from circulating monocytes that locally differentiate into macrophages [91]. Importantly, both ontogeny distinct TAMs have shown to upregulate MHCII genes in multiple cancer types, including brain tumors [6,112] suggesting a potential contribution to antigen presentation to CD4+ T cells.

Perivascular macrophages (PVMs), another subset of phagocytes found in the brain, lie under the vessel basement membrane and astrocytes. While their function in steady state is mainly related to their role as 'scavengers' of blood-borne particles and the regulation of vascular permeability, their unique location allows them to sample blood and CSF draining antigens. PVMs in other tissues (LNs) are capable to present antigen-bound to their surface *in vivo* [101], but to date such studies are lacking in the brain and it is currently unknown whether these cells are capable to present antigens and induce T cell activation or any other tolerogenic functions. Importantly, PVMs are a prominent component of the BBB, and the permeability of mouse-brain derived endothelial cells is drastically reduced in co-cultures with macrophages [282], which could facilitate the entrance of disseminated cancer cells into the brain and give rise to metastasis, as shown in invasive breast tumors [90].

1 Classical DCs (or DC1) have the unique ability of capturing environmental- and cell-  
2 associated antigens, and process and present engulfed antigens to T lymphocytes.  
3 Through these processes, DC1 induce immunity to tumor-derived antigens that breach  
4 the tissues. While DC1 are not accessible to the brain parenchyma in steady state,  
5 their accessibility to the brain might not be required as long as they get soluble antigen  
6 to transport into the lymphatics. Indeed, in a series of elegant studies Louveau *et al.*,  
7 showed that T cells and DCs traffic from the meningeal spaces to deep cervical lymph  
8 nodes (dCLNs) and promote CD4 priming in a murine model of EAE [135].

9 Interestingly, meningeal lymphatic targeting by ectopic expression of VEGF-C is  
10 sufficient to promote immunosurveillance of glioblastoma tumors, which results in  
11 increased antigen drainage, T cell priming in the dCLNs and infiltration of T cells at  
12 the tumor site with no changes in either other myeloid cells, antigen-presentation  
13 machinery or BBB disruption [230]. In this way, meningeal lymphatics constitute a back  
14 entrance for the infiltration of peripheral immune cells to gain access to brain-derived  
15 antigens and to the brain parenchyma. While it is worth to point out that these studies  
16 were restricted to mice, the presence of lymphatics in the CNS and how to target them  
17 therapeutically has also been reported in humans [127]. However, there is still a lack  
18 of understanding in the ports of entry of the CNS lymphatics drainage system and  
19 whether mouse and human lymphatic systems can be directly compared, which is a  
20 fundamental notion when it comes to design targeted therapies to harness APCs and  
21 T cells in brain tumors.

22 Importantly, glioblastoma and other intracranial cancers are characterized by naïve T  
23 cell bone marrow sequestration [45] and concomitant lymphoid organ contraction,  
24 which can limit the ability of T cell DC1 priming in the lymph nodes. Hence, strategies  
25 that mobilize sequestered T cells in combination with mobilizing professional APCs,  
26 such as Flt3L, a cytokine used to mobilize DC progenitors [129,210], may results in a  
27 better scenario where anti-tumor immunity responses can be triggered.

## 5. Organ-specific properties that influence drug efficacy

### 5. 1. BBB/BTB-guided drug design.

28 The BBB regulates many different aspects contributing to maintain homeostasis in the  
29 central nervous system (CNS). Structurally, it comprises endothelial cells, pericytes  
30 and the astrocytic end feet [12]. The BBB is frequently impaired in the presence of  
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1 brain metastases, creating an altered but selective blood–tumor barrier (BTB)  
2 [79,132].

3 The BBB/BTB provides a unique challenge for researchers aiming to target brain  
4 tumors since it limits the access of therapeutic agents into this organ upon systemic  
5 delivery (Figure 2). Various strategies have been explored to circumvent this major  
6 obstacle including chemical barrier disruption, nanoparticle-delivery vehicles, focused  
7 ultrasound in combination with microbubbles, and viral vehicles among others  
8 [11,12,92].

9 The BBB/BTB accounts with a number of natural transport mechanisms. On the one  
10 side, small lipophilic compounds can cross by passive diffusion. On the other side, the  
11 presence of carriers and receptors enables the passage of hydrophilic drugs like  
12 sugars and amino acids or proteins. All these mechanisms have been widely studied  
13 to promote drug delivery to the brain [179].

14 Non-polar drugs have a higher probability of entering the brain as they can cross the  
15 lipid cell membrane. For this reason, molecular parameters such as polar surface area,  
16 logP (as a measure of drug lipophilicity), and the number of hydrogen donors have  
17 been traditionally used as criteria to predict CNS penetration [175]. Based on these  
18 premises, medicinal chemists have tried to increase the brain permeability of small  
19 molecules by chemical modifications, such as methylation or lipidation, to reduce the  
20 possibility of forming hydrogen bonds, increasing the lipophilicity of the drug [269]. For  
21 example, modification of paclitaxel with linoleic acid results in increased BBB/BTB  
22 penetration [105]. However, this approach faces some limitations since it would only  
23 be applicable to small molecules and it can increase the affinity of the drug for the  
24 efflux pumps present at the abluminal side of the endothelium [261].

25 Another strategy involves the modification of the natural ligand of carriers and  
26 receptors with the drug of interest [89,263]. The main limitation of this strategy is  
27 competition with the natural ligand. Also, modification of the substrate of natural  
28 carriers can result in a change of the transport mechanism. For instance, modification  
29 of peptides with glucose did not result in an enhanced uptake by the glucose  
30 transporter type I as expected [67].

31 BBB-shuttles represent a versatile, safe, and selective way of delivering therapeutics  
32 to the CNS. These compounds are brain-penetrating ligands, mainly antibodies or  
33 peptides that bind to the receptors expressed at the luminal side of the BBB and trigger  
34 transcytosis. As pointed out in section 4.1, several antibodies against receptors  
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1 expressed at the BBB, such as transferrin (TfR) and insulin receptor (InsR), have been  
2 developed, some of them reaching clinical trials, to treat mainly lysosomal storage  
3 diseases and Alzheimer [22,81,168,279]. For instance, 52 weeks of treatment for  
4 mucopolysaccharidosis type I patients with the fusion protein valanafusp alpha that  
5 comprises a mAb against the human InsR and  $\alpha$ -L-iduronidase lead to stabilization of  
6 the disease both at somatic and cognitive levels, suggesting a good peripheral and  
7 CNS distribution of the drug (NCT03071341). One of the main parameters that may  
8 limit the delivery of a BBB-shuttle antibody through the BBB/BTB is its affinity for its  
9 receptor [165]. Very high affinities lead to entrapment into the capillaries and to the  
10 degradation of the receptor. On the contrary, very low affinities limit the access of the  
11 antibody to the brain parenchyma. One of the strategies to overcome this limitation is  
12 the use of bispecific antibodies. Antibodies with optimal receptor affinity have been  
13 proved as more efficient brain delivery agents [81].

14 More recently, the use of BBB-shuttle peptides has gained attention [99,170]. Peptides  
15 elicit low immunogenicity, their production is cost-effective and they display a medium  
16 affinity for their receptors, a highly desirable characteristic for a BBB-shuttle [170].  
17 Currently, there are several strategies to overcome the limitations associated with the  
18 use of peptides in the clinic [266]. Thus, the possibility of incorporating non-natural  
19 amino acids reduces degradation by proteases. In addition, modification of various  
20 moieties such as polyethylene glycol limits their renal clearance increasing their  
21 circulation time.

22 To guarantee BBB/BTB targeting, BBB-shuttles peptides are obtained from the  
23 sequence of neurotropic biomolecules and from biopanning experiments by using  
24 phage display or chemical libraries against BBB/BTB related targets [170]. On the  
25 one hand, some virus, toxins or endogenous proteins have preferred brain distribution.  
26 To that end, analysis of their sequence has led to the discovery of several BBB-  
27 shuttles such as RVG29, derived from the glycoprotein of the neurotropic rabies virus  
28 [117]; MiniAp-4 or CDX derived from neurotoxins [169,283]; or ApoE peptides, derived  
29 from the endogenous protein [260]. On the other hand phage display against known  
30 BBB/BTB receptors, endothelial cell lines or even *in vivo* strategies has yielded  
31 peptides such as G23, obtained by phage display against the ganglioside GM1 [77];  
32 Peptide-22 obtained by phage display against the extracellular domain of the LRP1  
33 receptor [138]; or TGN obtained by *in vivo* phage display [126]. Several *in vitro* and *in*  
34 *vivo* models are used to evaluate the BBB/BTB targeting potential of these

1 compounds. *In vitro* models include the use of endothelial cell lines, of various origins,  
2 for uptake and transcytosis experiments [15]. *In vivo* models, both with healthy or  
3 disease models, imply the use of rodents, mostly, in combination with several non-  
4 invasive analytic techniques, like *in vivo* imaging or invasive techniques such as  
5 intracerebral microdialysis. In addition, samples from these studies can be evaluated  
6 *ex vivo* to obtain complementary information [170].  
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10 A clear example of the potential of BBB-shuttles peptides is Angiopep-2 [57]. This 19-  
11 mer peptide, designed from the alignment of the Kunitz-domains of human proteins  
12 and binds the LRP-1, has demonstrated an ability to increase the transport of a wide  
13 variety of cargos such as small molecules [154], nanoparticles [96] or mAb [202]. More  
14 importantly, a derivative bearing three paclitaxel units has been assessed in various  
15 clinical trials. Safety, tolerability and therapeutic benefit have been evaluated in  
16 patients with advanced solid tumors and brain metastases (NCT00539383) [119] and  
17 in patients with recurrent glioma (NCT00539744) [63]. In both studies, the compound  
18 was well tolerated reaching therapeutic concentrations at the tumor site. Of note, some  
19 of the patients experience the overall partial response with associated reduction of the  
20 size of the tumors. More recently, results from Phase II clinical trials (NCT01480583,  
21 NCT02048059) indicate again good safety, tolerability and therapeutic benefit in  
22 patients with leptomeningeal carcinomatosis and brain metastasis from breast cancer  
23 [118].  
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27 Peptides able to modulate the permeability of tight junctions have also drawn attention.  
28 Cereport, a bradykinin analogue that interacts with the G-coupled protein receptor for  
29 bradykinin, has been evaluated as an adjuvant of various anticancer drugs reaching  
30 Phase II (NCT00923117) before being discontinued due to low effectiveness [193].  
31 Other peptides, inspired by natural proteins, have been used to increase the transport  
32 of drugs by transiently opening the BBB/BTB. ApoE-K16 co-administered with  
33 dabrafenib, a BRAF inhibitor, increase the life span in a model of human melanoma  
34 brain metastasis [1]. Moreover, cadherin-based peptides have been shown to  
35 modulate the adherent junctions tightness allowing for the brain delivery of several  
36 compounds such as small molecules [240] or mAb [247].  
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40 Despite the advances in the field, a better knowledge of the BBB/BTB is needed to  
41 improve the brain delivery of therapeutics. To be a target for brain delivery a given  
42 receptor should fulfil some requirements. Preferably, it should be overexpressed on  
43 brain endothelium, in comparison to other organs and to the brain parenchyma. In  
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addition, it should be able to undergo transcytosis. Several efforts have attempted to identify novel receptors of the BBB/BTB ranging from transcriptomic and proteomic analysis of isolated microvessels to combinatorial screening approaches [97,237,246,286,291]. In 2011, the group of Prof. Tesaraki used a quantitative proteomic approach in order to identify and quantify the carriers and receptors of brain capillary endothelial cells, both from human and mice. Several differences were found in the expression pattern. For instance, the efflux transporter BCRP was highly expressed in humans while the P-gp was more expressed in mice. Among the transcytosis receptors, the TfR was the most abundant being its expression in mice 5-fold higher than in humans. These differences in expression between species are important for the design of preclinical experiments to ensure correlation between preclinical and clinical data. More recently, Zuchero *et al.* identified [291] three receptors (basigin, glut1, and CD98hc) following an unbiased proteomic approach with higher expression levels than the ones corresponding to InsR or TfR, which are the reference receptors to engineer brain permeability. To prove if these newly identified receptors could serve as new targets for increasing access to the brain parenchyma they generated Abs against them. *In vivo* evaluation in mice demonstrated that the Ab targeting CD98 reached the brain parenchyma with a 5-fold increase when compared to the one targeting the transferrin receptor (80-fold when compared to a control IgG). Importantly, they demonstrated that the receptor homeostasis was not affected by the treatment with the antibody. Controversially, a recent transcriptomic assay has compared the expression pattern of human and murine brain microvasculature (BMV) cells showing that CD98 levels on human BMV are similar to those on the brain parenchyma while it is highly overexpressed on the murine one [286]. Combination of proteomic and transcriptomic assays of the BBB/BTB is of great interest to improve the knowledge about this heterogeneous barrier and to discover new targets for brain delivery of therapeutics. It will also help to understand the parameters that influence the permeability of the barrier enabling for better detection and treatment of brain tumors.

## 5. 2. The brain tumor-associated microenvironment as a target.

Many studies during recent years have focused on characterizing the impact of the brain microenvironment (BME) on the development of brain metastases [201]. Existing evidence support the modulation of the transcriptome, metabolome or proteome of

1 brain metastatic cells by the BME [18,183,225,267]. As a consequence, this tumor-  
2 stroma interaction might determine the presence or absence of a specific therapeutic  
3 target in the cancer cells and therefore influence drug efficacy (Figure 2).  
4

5 On the other hand, the BME itself may constitute a target due to the pro-metastatic  
6 role that brain-resident cells can acquire during disease progression, typically immune  
7 cells that switch from an anti-metastatic state during early brain colonization to an  
8 immunosuppressive phenotype at advanced stages of the disease [196,225]. Gradual  
9 increase of cathepsin S expression in brain-resident macrophages from normal brain  
10 to early- and late-stage brain metastasis was reported [225]. VBY-999, an inhibitor of  
11 this breast-to-brain metastasis mediator, decreased brain metastasis burden in 65-  
12 77% as measured by BLI in a TNBC brain metastasis model [225]. In this assay, brain  
13 metastases were induced by intracardiac inoculation and the effect of the inhibitor was  
14 exclusively observed in the brain, and in preventive trials but not when metastases  
15 were established [225]. Another example of targeting the pro-tumoral BME in brain  
16 metastasis is the use of silibinin, a STAT3 inhibitor, to target a subpopulation of  
17 reactive astrocytes that benefit the growth of metastatic lesions by promoting an  
18 immunosuppressive environment [196]. Silibinin treatment both in preventive  
19 (intracardiac inoculation) and interventional (intracranial implantation) trials decreased  
20 brain metastasis burden in 56-62% in a melanoma brain metastasis mouse model  
21 [196]. Additionally, silibinin treatment in advanced lung cancer patients with brain  
22 metastases who failed previous lines of therapy induced 75% overall response rate in  
23 the brain, with 3/18 patients even showing complete response [196].  
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26 The influence of the BME in therapy response may also derive from its active role  
27 inducing resistance mechanisms to a given drug [41]. In this sense, different types of  
28 breast and lung brain metastatic cells were reported to engage with astrocytes through  
29 gap-junctions and activate a pro-inflammatory programme in this cell type, which  
30 signals back to the cancer cells and promote their growth and resistance to  
31 chemotherapy [41]. In this study, treatment with the gap-junction inhibitors  
32 meclofenamate and tonabersat in combination with the BBB-permeable  
33 chemotherapy carboplatin decreased brain metastasis burden by BLI, while  
34 carboplatin alone did not reduce metastatic growth significantly [41]. This  
35 chemoresistance was observed in a TNBC brain metastasis model, in which treatment  
36 was administered in an interventional set up following intracardiac inoculation of cancer  
37 cells [41]. As a result from this study, the use of meclofenamate in patients with  
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1 progressive brain metastasis from solid tumors is currently being evaluated in an  
2 ongoing clinical trial (NCT02429570).  
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### 4 **5. 3. The plasticity of cancer cells is key to organ-adaptation.**

5

6 Adaptation of cancer cells to the high selective pressure imposed by the brain implies  
7 rewiring of genomic, transcriptomic and metabolomic hallmarks, resulting in extremely  
8 dynamic tumors that are continuously evolving (Figure 2) [26].  
9

10 At the genomic level, clinical sampling of tumors from the primary site, extracranial  
11 and brain metastases have consistently shown genomic divergence and branched  
12 evolution of brain colonizing clones, supporting an independent genomic remodelling  
13 of brain metastases [30,39,55,60,95,123,174,186,195,216]. In addition, genetic  
14 alterations associated with sensitivity to PI3K/AKT/mTOR, CDK, and HER2/EGFR  
15 inhibitors were identified in the brain metastases, suggesting potential differential  
16 response to targeted therapies particularly in the brain compared to the primary tumor  
17 [30,39,174,195]. Based on these findings, it will be of ultimate importance to exploit  
18 existing and novel preclinical models that reproduce therapeutically relevant  
19 alterations obtained from clinical sampling to explore the biology of CNS metastases  
20 and more importantly, to design rationale therapeutic approaches.  
21

22 Transcriptomic analyses of brain metastatic cell lines from human origin xenografted  
23 in nude mice have allowed *in situ* characterization of how cancer cells grow in the  
24 hostile brain microenvironment following colonization [183,267]. Engraftment of  
25 multiple cell lines from four different primary tumor origins (melanoma, lung, breast  
26 and colon cancer) in the brain, skin and orthotopic sites allowed to investigate the  
27 influence of different organ microenvironments on the transcriptomic profile of the  
28 cancer cells [183]. Gene expression data revealed strong reprogramming of  
29 metastatic cells by the BME towards a neuronal phenotype, mimicking transcriptional  
30 programmes of neurogenesis [183]. These changes were driven by epigenetic  
31 modifications, mainly a shared DNA methylation profile between tumors engrafted in  
32 the brain compared to those from the orthotopic sites, which maintain methylation  
33 patterns from their respective cell lines [183]. Acquisition of neuronal-like programmes  
34 was also confirmed by another study, in which an RNA-seq approach that  
35 distinguishes between human and murine transcripts with higher sensitivity and  
36 accuracy was used [267]. In addition, not only the organ itself, but specific locations of  
37 the brain were shown to modulate the transcriptome of brain metastases *in situ* [267].  
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In contrast to spatially separated human brain metastases, which were genomically homogenous [30], the transcriptomic hallmarks between tumor cells from forebrain and hindbrain metastases were distinct [267]. Although some of the pathways induced in cancer cells by the BME were previously described, such as the WNT/TCF in a lung cancer brain metastasis model [163], both functional characterization and validation of these findings derived from experimental models [183,267] using human samples remains to be investigated.

While the genetic features underlying the metastatic process to the brain have been extensively investigated, the metabolic rewiring that might be associated to brain colonization has remained unexplored. Tumor cells that metastasize to the brain must reshape their metabolism to meet the energetic demands imposed by the novel microenvironment encountered. Concurrently, they must maintain the high metabolic activity that supports the rapid proliferation distinctive of malignant tissue. Since glucose is the main substrate utilized by the normal brain, brain metastatic cells rewire their metabolism to utilize alternative metabolic sources. For instance, brain-tropic breast cancer cell lines gain the capability for glucose-independent growth, not present in their non-metastatic counterparts. This switch involves the utilization of branched chain amino acids (BCAAs) and glutamine as alternative fuels in addition to upregulate gluconeogenesis via fructose 1,6-biphosphatase (FBP) activities [40]. Additionally, brain metastatic cells are also able to employ acetate as a substrate for acetyl-CoA formation via acyl-coenzyme A synthetase (ACSS2) [188], and therefore supply the tricarboxylic acids (TCA) cycle [144,187], similar to other metastatic tumors [219]. Mitochondrial oxidation has been revealed to be a key component of brain metastasis metabolism [142,144]. Indeed, RNA-seq analysis of melanoma brain metastasis compared to patient-matched extracranial metastases ranked oxidative phosphorylation the top upregulated gene network in brain metastases [69]. Moreover, <sup>13</sup>C tracing analysis utilizing [U-<sup>13</sup>C]-glucose conducted in mice harboring human melanoma cells intracranially and subcutaneously revealed an enhanced mitochondrial activity in view of the higher levels of m+3 TCA intermediates in the brain metastasis with similar levels for m+2 glycolytic metabolites [69]. Targeting the dependency on mitochondrial metabolism has been proved in BRAF-mutant melanoma brain metastases by treatment with β-sitosterol, which induces ROS formation and apoptosis *in vitro*. Furthermore, a mouse model of brain metastasis generated by intracardiac injection of DL2 cells in NOD/SCID mice experienced a

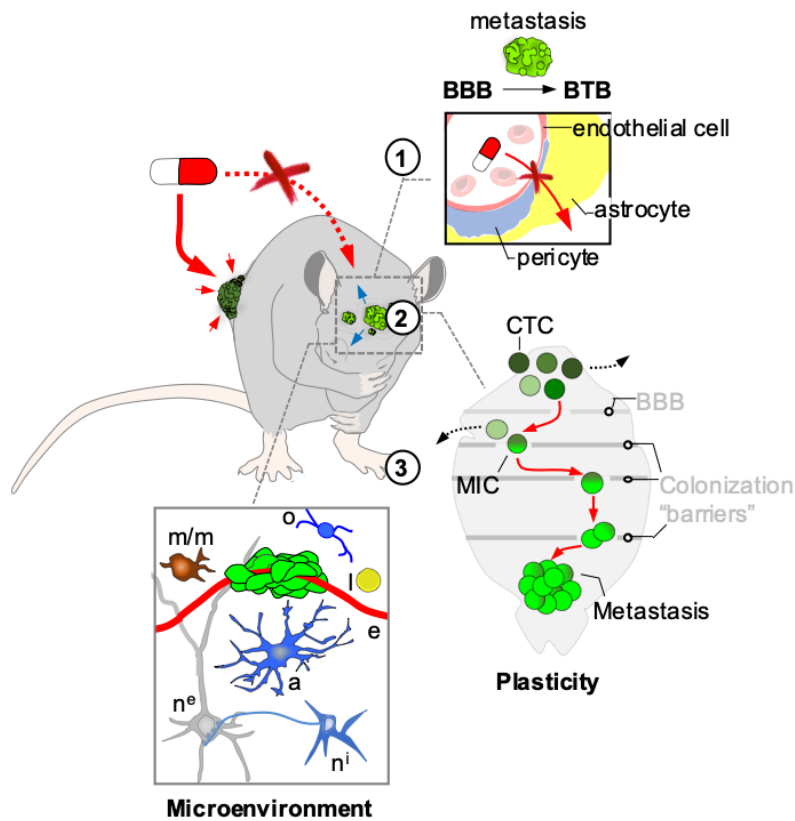
1 significantly increase in survival ( $80.2 \pm 4.8$  days for treated versus  $55.7 \pm 1$  days for  
2 untreated) when a preventive treatment initiated 1 week before cancer cell inoculation  
3 was applied.  $\beta$ -sitosterol-treated mice displayed a reduction in the number of  
4 metastasis as determined by MRI as well as by bioluminescence [239]. Interestingly,  
5 breast cancer-derived brain metastases have also been reported to have lower  
6 antioxidant ability compared to those occurring in the lung, which was linked to an  
7 enriched NRF2 signature and active mitochondrial complex I in lung micrometastases  
8 compared to brain micrometastases and mammary tumors [18].

9 Metabolic adaptation to the new niche can be alternatively accomplished by modifying  
10 the substrate availability. This was observed in brain metastatic breast cancer cells  
11 releasing exosomes containing miR-122. Exosomes were taken up by astrocytes in  
12 the brain where the miRNA downregulated pyruvate kinase isozyme M2 (PKM2) and  
13 glucose transporter 1 (GLUT1), which are key enzymes for consumption of glucose.  
14 Consequently, the uptake of glucose by astrocytes was reduced and, consequently,  
15 its availability in the microenvironment increased, which promoted the survival of  
16 cancer cells colonizing the brain [70]. Alternatively, brain metastatic cells can  
17 reprogram their glucose metabolism to optimize its use for maximum proliferative  
18 capacity in the brain. For instance, the serine- and glycine-deprived environment that  
19 cancer cells encounter during brain colonization induced rewiring of glucose flux  
20 towards serine and glycine through D-phosphoglycerate dehydrogenase (PHGDH)  
21 providing intermediates for nucleotide synthesis, which allowed maintenance of cell  
22 proliferation. Treatment with PH-755, a second generation of PHGDH inhibitor, was  
23 used to study the consequences of inhibiting this metabolic adaptation of cancer cells  
24 to the brain. The prophylactic use of PH-755 (treating mice before systemic inoculation  
25 of cancer cells) resulted in 20-fold reduction of brain metastases, with half of the  
26 treated animals remaining metastasis-free, and increase the overall survival a median  
27 of 21.5 days more. Treatment of established metastases in a TNBC model and a renal  
28 cell carcinoma models also reduced the number of lesions as determined by histology  
29 leading to an increase in survival. [162].

30 In summary, mimicking the colonized organ (i.e. acquisition of neuronal phenotype,  
31 metabolic rewiring) is part of the process of adaptation that metastatic cells must  
32 accomplish. This process allows cancer cells to outcompete brain resident cells in the  
33 use of the limited resources while generating novel metabolic vulnerabilities that can  
34 be exploited by focusing on halting the specific benefits of such adapting behaviour in  
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cancer cells. These therapeutic strategies could be exploited in combination with more general anti-cancer approaches to achieve better responses when cancer has disseminated.



**Figure 2. Organ-specific properties that influence drug efficacy.**

A given drug might or might not work equally in the orthotopic location, where the primary tumor is located (i.e. intradermal for a melanoma model), compared to the brain affected by metastases (light green). This differential therapeutic response could depend on the ability of a given drug to (1) access the blood-brain barrier (BBB) or the brain-tumor barrier (BTB), once the metastasis is established in the brain. Additionally, (2) once circulating-tumor cells (CTC) have reached the brain, only a few of them have the ability to cross the BBB. Out of them, only a minor percentage of metastasis initiating cells (MIC) will be able to adapt overcoming different barriers (i.e. microenvironment, metabolism, subtherapeutic drugs concentrations) to generate a macrometastases. Thus brain metastases could evolve differently to cancer cells located in the primary tumor. (3) The influence of the microenvironment involves interactions with many different cell types, resident or infiltrated from the periphery (a: astrocyte, e: endothelial cell, l: lymphocyte, o: oligodendrocyte, m/m: macrophage/microglia, n<sup>e</sup>: excitatory neuron, n<sup>i</sup>: inhibitory neuron).

## 6. The ideal brain metastasis model

Increasing efforts are taken in the preclinical arena to improve experimental models and their analysis so better therapeutic strategies could be identified. A major goal is to establish clinically compatible scenarios that could be applied as soon as possible

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to patients so prevention rather than treatment of brain metastasis could be achieved. We discuss here emerging strategies (Figure 3).

### **6.1. Spontaneous metastasis: a key tool for preventive strategies**

Although scarce (see section 2.3), genetically engineered mouse models (GEMM) that develop spontaneous tumors with the ability to evolve into a systemic disease are invaluable to explore various clinically relevant scenarios. The contribution of the pre-metastatic niche has been mostly studied using organotropic cell lines [94]. In contrast, the majority of spontaneous models of cancer are poorly metastatic, specially to the brain [44,86,104,147]. Additionally, the initiation of brain metastasis has been mostly examined in cancer-free mice after the inoculation of metastatic cells in circulation [252], in contrast to heavily treated cancer patients, which corresponds to one of the most frequent clinical presentations of secondary brain tumors. Finally, spontaneous models of metastasis are a key tool to evaluate the benefits of organ-specific therapeutic strategies and to optimize their use with more general anti-cancer approaches. However, the technical difficulties to perform these experiments (i.e. the need to incorporate surgery for the primary tumor), the significant time to detect brain metastasis in the very few models that develop them (i.e. approximately 6 months) and the amount of mice required to buffer the intrinsic variability of metastasis generation (i.e. approximately incidence of brain metastasis could vary from 20-50% of mice) make this approach very challenging [44,104,147,252].

### **6.2. Adding local and systemic therapies**

Although brain metastasis could be the first manifestation in cancer patients, it is more frequently associated with advanced stages of systemic disease [241]. Consequently, patients with brain metastasis are frequently heavily pre-treated with systemic therapies. Indeed, this has been hypothesized to contribute to the ability of certain clones to emerge in the brain [95]. In addition, brain metastases could be treated locally either with surgery, whole brain radiotherapy (WBRT) or stereotactic radiosurgery (SRS), a more recent focal and more effective modality of radiation with less secondary effects. In spite of these considerations, the vast majority of publications on brain metastasis are based on treatment naïve experimental models. Indeed, no models exist to study local relapse after neurosurgery, a major clinical problem, and very limited studies have studied the influence of radiotherapy on the

1 biology of brain colonization to improve this therapeutic approach [143,220,229,276].  
2 Ideally, in order to get as close as possible to the many different situations in which  
3 brain metastasis could arise in patients, incorporating different clinically relevant  
4 therapeutic modalities might provide faster avenues to translation.  
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### 7 **6.3. Increasing genomic complexity**

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10 The genomic landscape of brain metastasis in patients contains additional  
11 complexities derived from its branched evolution [30,95]. This principle (i.e. that  
12 metastases have a more complex genome than primary tumors) is not specific to the  
13 brain but to metastases in general [21,95,197,207,281]. However, the degree of  
14 divergence from the primary tumor and extracranial metastasis as well as the similarity  
15 between metastasis in the brain has been reported to be higher [30]. Anyhow,  
16 reproducing the genomic complexity of brain metastasis in experimental models is a  
17 strategy that will allow to functionally evaluate additional organ-specific drivers, if exist  
18 [226]. Nowadays the landscape of available models is broad and includes not only  
19 organotropic cell lines but also PDX models [252] , which recapitulate the genomic  
20 complexity of specific patients. Additionally, CRISPR-Cas9 strategies [42] or  
21 approaches to induce genomic instability [16] could be applied in order to reproduce  
22 the levels of genomic complexity seen in patients without the caveat of banning the  
23 use of immune competent hosts.  
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### 36 **6.4. Liquid biopsies**

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38 The best approach to challenge systemic cancer, including metastasis in the brain, is  
39 early diagnosis. Early stages of metastasis in the brain (and elsewhere) respond better  
40 to therapy both in experimental models and in patients [8,233,234]. Thus, strategies  
41 to detect metastatic deposits in the brain or, even better, biomarkers that could reliably  
42 identify primary tumors with high risk to metastasize in the brain, is a major goal for  
43 researchers and clinicians interested in this progression of cancer. At the moment,  
44 clinical trials have demonstrated that liquid biopsies, mostly from the cerebrospinal  
45 fluid (CSF), are compatible with the analysis of the evolution of clinically-detectable  
46 established brain metastasis in response to treatment [223]. However, improved  
47 technologies applied to liquid biopsies will surely get to a point where small metastasis  
48 could be detected and its evolution followed over time to decide when and what  
49 treatment should be applied. At the moment liquid biopsies could help to decide  
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1 whether actionable genomic alterations could be specifically identified in the brain,  
2 independently of its presence or absence in the primary tumor, which could facilitate  
3 to initiate organ-specific treatments [108,223].  
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## 5 **6.5. Improving non-invasive imaging**

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8 Traditionally, the assessment of a brain malignancy is performed by MRI to obtain the  
9 location and size of the tumor mass. *In vivo* molecular imaging is usually conducted  
10 through PET (Positron Emission Tomography) using the glucose analog  $^{18}\text{F}$ -FDG  
11 (Fluorodeoxyglucose), although other alternatives do exist [66,83,255,273]. However,  
12 this approach presents some restrictions in light of the intrinsically high glucose uptake  
13 of the brain [227], and the information retrieved, which indicates the uptake of the  
14 substrate only, without providing any additional insight about its subsequent  
15 metabolism. Alternatively, chemical composition of malignant tissue can be obtained  
16 non-invasively through MRS (Magnetic Resonance Spectroscopy) and chemical  
17 exchange saturation transfer (CEST) [58], which display a static landscape of the  
18 tumor metabolism. In order to explore metabolic fluxes,  $^{13}\text{C}$  MRS, wherein the tumor  
19 is infused with a  $^{13}\text{C}$ -labeled substrate has to be performed. However, this technique  
20 is limited by its low sensitivity, although new signal processing procedures have been  
21 recently implemented to increase the signal-to-noise ratio for  $^{13}\text{C}$  MRSI (Magnetic  
22 Resonance Spectroscopy Imaging) [31]. In the recent years, alternatives for improved  
23 molecular imaging have been developed, such as hyperpolarized  $^{13}\text{C}$  MRS. This  
24 method increases the sensitivity of  $^{13}\text{C}$  detection through MRS by 10,000-fold [9] that  
25 results in quantification of *in situ* metabolic flux. Hyperpolarized  $^{13}\text{C}$  MRS outperforms  
26 FDG-PET in some tumors [93] while also avoiding the use of ionizing radiation. As the  
27 most aggressive forms of cancer have high glycolytic activity and rapid pyruvate-to-  
28 lactate conversion [56], the most widely used  $^{13}\text{C}$  tracer is  $^{13}\text{C}$ -pyruvate. This  
29 methodology has been applied in investigations of CNS malignancies and in patients  
30 with brain metastasis [149]. Moreover, ongoing clinical trials are also utilizing the  
31 pyruvate-to-lactate conversion through hyperpolarized  $^{13}\text{C}$ -pyruvate as a reporter of  
32 response to treatment in intracranial metastasis (NCT03324360). Despite of the  
33 notable abilities of hyperpolarized MRS, the high costs of the equipment currently  
34 prevent wide clinical implementation. An alternative approach for imaging the  
35 dynamics of tumor metabolism *in situ* was recently reported in animal models of brain  
36 malignancies. By monitoring the loss-of-signal in the  $^1\text{H}$  MRS spectrum after injection  
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1 of a deuterated substrate, glycolytic flux and acetate consumption can be investigated  
2 using standard MRS approaches [205]. Moreover, deuterated probes have been  
3 revealed to be safe in humans and deuterium-based metabolic imaging of brain tumor  
4 patients have been recently reported [54].  
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7 Molecular imaging must occupy a major position in the assessment of brain metastasis  
8 considering its remarkable abilities to inform *in situ* about the functioning of  
9 biochemical pathways associated to the disease and the development of new imaging  
10 strategies with increasing sensitivity. The possibility to incorporate some of these  
11 technologies to mouse models of brain metastasis will help to apply additional  
12 interventions mimicking the disease in patients.  
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## 18 **6.6. Getting to know the immune system**

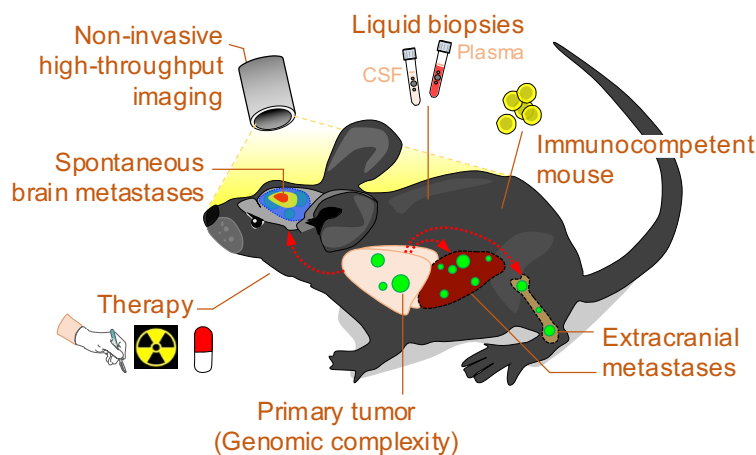
19 The rapid development of immunotherapies that have been applied more recently to  
20 brain metastasis with positive results have challenged the prevailing dogmas  
21 regarding the use of antibodies to treat brain disorders and the limited contribution of  
22 the immune system in the brain. However, given the limited knowledge on the biology  
23 of response (or lack of it) to immune checkpoint blockade in general and in particular  
24 in the brain and the still primitive interpretation of the crosstalk between the brain and  
25 local and peripheral components of the immune system, it is too naïve to assume that  
26 this therapy is a major achievement against brain metastasis. For instance, the  
27 importance of corticoids, broadly used in brain metastasis, on the variable response  
28 of patients with secondary brain tumors is not well-defined [133], the influence of  
29 extracranial disease to enhance positive response to immunotherapy locally in the  
30 brain [242], the local versus systemic action of immune checkpoint blockade on T cells  
31 [250], the lack of characterization of circulating lymphocytes among responders and  
32 non-responders [215], the contribution of resident brain cells to influence local  
33 responses to immunotherapy [196], the differences with other brain tumors (i.e.  
34 glioblastoma) that do not show positive responses [215] are all open questions of  
35 major importance to understand basic aspects of local immunology and its role in the  
36 therapeutic response to immune checkpoint blockade. Indeed, research on these  
37 aspects will be useful to design immune based therapies to other highly prevalent and  
38 incurable brain disorders [130,222].  
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## 58 **6.7. The ideal model versus the real disease in patients.**

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The huge variability in prognosis and survival that could be seen among patients affected with brain metastasis suggests that it is not a single disease. The presence or absence of systemic disease extracranially, the presence of single or multiple metastasis, the amount of lines of therapy previous to the diagnosis of the brain metastasis, the age and general status of the patient are all major contributors to the clinical behaviour of metastatic cells in the brain [3,241]. Experimental models should evolve in a direction that could reproduce the complexity of the many faces of the human disease in order to study not just a single situation (i.e. aggressive disease, treatment naïve, cancer free previous to the presence of metastasis, limited genetic complexity, immunosuppressed hosts) but the many clinically-relevant status that patients with brain metastasis have to face including the prevalent neurocognitive impairment, which remains completely uncharacterized experimentally [153].



**Figure 3. The ideal brain metastasis model.** Potential strategies to improve existing experimental models of brain metastasis aiming to recapitulate all the stages and pathophysiology of brain metastatic disease in patients.

### Concluding remarks

Models of brain metastasis are abundant and well-characterized however still limited as models since they are mostly able to reproduce induced metastasis rather than spontaneous and are frequently studied in a naïve situation rather than in a situation involving any treatment, as it is frequently the case in patients. Knowledge generated with available brain metastasis models have been translated to clinical trials and contributed to the modest but real improvement of patients with brain metastasis. This is a strong evidence that experimental approaches using experimental *in vivo* tools

are a valid strategy to exploit cellular and molecular mechanisms of this progression of cancer. Continuing with the efforts already invested in mouse models of metastasis is an absolute need to decipher basic aspects and vulnerabilities of systemic disease. Combining such models with 3D strategies and patient-derived material in clinically-relevant contexts will continue to generate highly needed therapeutic opportunities to this unmet clinical need.

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