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Proximity to mining industry and cancer mortality.

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Abstract: Mining installations could be releasing toxic substances into the environment which may pose a health problem to populations in their vicinity. We sought to investigate whether there might be excess cancer-related mortality in populations residing in towns lying in the vicinity of Spanish mining industries governed by the Integrated Pollution Prevention and Control Directive, and the European Pollutant Release and Transfer Register Regulation, according to the type of extraction method used. An ecologic study was designed to examine municipal mortality due to 32 types of cancer, across the period 1997 through 2006. Population exposure to pollution was estimated on the basis of distance from town of residence to pollution source. Poisson regression models, using the Bayesian conditional autoregressive model proposed by Besag, York and Mollié and Integrated Nested Laplace Approximations for Bayesian inference, were used: to analyze risk of dying from cancer in a 5-kilometer zone around mining installations; effect of type of industrial activity; and to conduct individual analyses within a 50-kilometer radius of each installation. Excess mortality (relative risk, 95% credible interval) of colorectal cancer (1.097, 1.041-1.157), lung cancer (1.066, 1.009-1.126) specifically related with proximity to opencast coal mining, bladder cancer (1.106, 1.016-1.203) and leukemia (1.093, 1.003-1.191) related with other opencast mining installations, was detected among the overall population in the vicinity of mining installations. Other tumors also associated in the stratified analysis by type of mine, were: thyroid, gallbladder and liver cancers (underground coal installations); brain cancer (opencast coal mining); stomach cancer (coal and other opencast mining installations); and myeloma (underground mining installations). The results suggested an association between risk of dying due to digestive, respiratory, hematologic and thyroid cancers and proximity to Spanish mining industries. These associations were dependent on the type of mine.

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Highlights:

- Increased risk of cancer mortality among populations in the vicinity of mines
- We found underground coal mining related with digestive cancers and thyroid cancer
- We found lung cancer associated with open-air coal mining
- We used information from European Pollutant Release and Transfer Register
- Integrated nested Laplace approximations (INLA) was used as Bayesian inference tool

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7 Title page

3 Title: Proximity to mining industry and cancer mortality

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27 Abstract

28 Mining installations could be releasing toxic substances into the environment
29 which may pose a health problem to populations in their vicinity. We sought to
30 investigate whether there might be excess cancer-related mortality in populations
31 residing in towns lying in the vicinity of Spanish mining industries governed by the
32 Integrated Pollution Prevention and Control Directive, and the European Pollutant
33 Release and Transfer Register Regulation, according to the type of extraction method
34 used. An ecologic study was designed to examine municipal mortality due to 32 types
35 of cancer, across the period 1997 through 2006. Population exposure to pollution was
36 estimated on the basis of distance from town of residence to pollution source. Poisson
37 regression models, using the Bayesian conditional autoregressive model proposed by
38 Besag, York and Mollié and Integrated Nested Laplace Approximations for Bayesian
39 inference, were used: to analyze risk of dying from cancer in a 5-kilometer zone around
40 mining installations; effect of type of industrial activity; and to conduct individual
41 analyses within a 50-kilometer radius of each installation. Excess mortality (relative
42 risk, 95% credible interval) of colorectal cancer (1.097, 1.041-1.157), lung cancer
43 (1.066, 1.009-1.126) specifically related with proximity to opencast coal mining,
44 bladder cancer (1.106, 1.016-1.203) and leukemia (1.093, 1.003-1.191) related with
45 other opencast mining installations, was detected among the overall population in the
46 vicinity of mining installations. Other tumors also associated in the stratified analysis by
47 type of mine, were: thyroid, gallbladder and liver cancers (underground coal
48 installations); brain cancer (opencast coal mining); stomach cancer (coal and other
49 opencast mining installations); and myeloma (underground mining installations). The
50 results suggested an association between risk of dying due to digestive, respiratory,

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51 hematologic and thyroid cancers and proximity to Spanish mining industries. These
52 associations were dependent on the type of mine.

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54 Key words: cancer mortality, mining industry, INLA, European Pollutant Release and
55 Transfer Register.

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- 77 Abbreviations
- 78 PAHs= Polycyclic aromatic hydrocarbons
- 79 IARC= International Agency for Research on Cancer
- 80 IPPC= Integrated Pollution Prevention and Control
- 81 EPER= European Pollutant Emission Register
- 82 E-PRTR=European Pollutant Release and Transfer Register
- 83 INE= Instituto Nacional de Estadística (National Statistics Institute)
- 84 MARM=Ministerio de Medio Ambiente y Medio Rural y Marino (Ministry for the Environment and Rural & Marine Habitats)
- 85
- 86 SMRs=Standardized Mortality Ratios
- 87 INLA=Integrated Nested Laplace Approximations
- 88 RR= Relative risks
- 89 CIs=Credible intervals
- 90 O_i =Observed deaths
- 91 E_i =Expected deaths
- 92 $Expos_i$ =Variable of exposure
- 93 Soc_i =Standardized sociodemographic indicators
- 94 ill=Percentage of illiteracy
- 95 unem =Percentage of unemployed
- 96 far=Percentage of farmers
- 97 ps=Population size
- 98 pph=Average persons per household
- 99 inc=Mean income as a measure of income level

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102 1. Introduction

103

104 Mining operations are large-scale industrial operations with significant health
105 impacts including cancer. Not only are mine workers directly affected by their work
106 environment (Gonzalez and Agudo, 1999;Donoghue, 2004;Attfield and Kuempel,
107 2008), but toxic substances released may be transported from the mine site and affect
108 local communities and the environment (Garcia-Sanchez et al., 2010;Wu et al.,
109 2011;Gonzalez-Montana et al., 2011;Huertas et al., 2012). These toxic substances
110 emitted from mining facilities include a wide range of toxic substances, such as dioxins,
111 cyanide, mercury, arsenic, lead, cadmium, antimony, polycyclic aromatic hydrocarbons
112 (PAHs) and numerous others, some of them recognized as human carcinogens by the
113 International Agency for Research on Cancer (IARC) (IARC (International Agency for
114 Research on Cancer), 1987).

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116 Spain is a leading global producer of mineral resources in the European Union,
117 with stress on the production of ornamental rocks and minerals (Ministerio de Ciencia e
118 Innovación, 2012). However, the Spanish mining sector displays a general downward
119 trend in terms of both the amount of saleable material and the number of facilities and
120 work sites. More specifically, there has been a gradual reduction in the extraction of
121 energy products such as coal, and a stabilization in metal ore mining (copper, nickel, tin
122 and tungsten). In this context, certain minerals and ornamental rocks, such as celestite,
123 feldspar, gypsum, slate, marble or granite, are becoming more relevant in the sector
124 (Ministerio de Ciencia e Innovación, 2012). The principal coal mines are located in the
125 northern region, specifically in the provinces of Asturias and Leon. The main iron ore
126 deposits are also found in the north, particularly in the provinces of Santander and

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127 Vizcaya, while the south (Autonomous Region of Andalusia) is known for metal ore
128 mining, with over half the country's production. The highest values of production in
129 Spain are registered by the Autonomous Regions of Castille-Leon (coal, anthracite,
130 slate, glauberite and tungsten) and Catalonia (oil, ornamental rocks and potash)
131 (Ministerio de Ciencia e Innovación, 2009).

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There is some evidence of excess risk of some cancers in the proximity of
mining facilities. Some authors have described significant excess mortality due to lung
cancer (Dondon et al., 2005; Hendryx et al., 2008; Wang et al., 2011), stomach cancer
(Wang et al., 2011) and other types, such as esophageal cancer (Wang et al., 2011), in
communities surrounding industrial mines.

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With respect to pollution sources, the European Commission directives passed in
2002 afforded a new means of studying the consequences of industrial pollution: the
Integrated Pollution Prevention and Control (IPPC), governed both by Directive
96/61/CE and by Act 16/2002, which incorporates this Directive into the Spanish legal
system, lays down that, to be able to operate, industries covered by the regulation must
obtain the so-called Integrated Environmental Permit. Information gathered as a
consequence of the application of these statutory provisions constitutes an inventory of
geo-located industries with environmental impact in Spain and across Europe. This
same enactment implemented the European Pollutant Emission Register (EPER), now
updated in the form of the new European Pollutant Release and Transfer Register (E-
PRTR), which incorporates additional information on releases. This new register makes
it compulsory to declare all emissions that exceed the designated thresholds. IPPC and
E-PRTR records thus constitute a public inventory of industries, created by the

152 European Commission, which is a valuable resource for monitoring industrial pollution
153 and, by extension, renders it possible for the association between residential proximity
154 to such pollutant installations and risk of cancer mortality to be studied. Moreover, E-
155 PRTR records contain information about the activities in which the installations are
156 involved, e.g., in the case of the mining industry, there is a description of the ore-
157 extraction method (opencast or underground) as well as the industrial sub-activity of
158 each installation recorded. A description of this database has already been published
159 elsewhere (Garcia-Perez et al., 2007) .

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In this context, due to the availability of information on several categories of
162 mines in the IPPC database, the fact that previous studies focused on only a few tumors
163 and specific types of mines (i.e., coal or metal ore), and the different statistical
164 approaches adopted for analyzing the association between residential proximity to
165 pollutant installations and cancer, the aims of this study were: (1) to assess possible
166 excess mortality due to 32 types of cancer among populations residing in the vicinity of
167 Spanish mining installations governed by the IPPC Directive and E-PRTR Regulation;
168 (2) to study this risk in the context of different types of mines by reference to their
169 respective E-PRTR categories; and, (3) to perform analyses for the population, both
170 overall and by sex, in order to assess possible differences vis-à-vis some mining
171 installations which might or might not point to occupational exposures.

172

173 2. Materials and Methods

174

176 We designed an ecologic study to examine 32 causes of cancer mortality at a
177 municipal level (8,098 Spanish towns), across the period 1997–2006. Separate analyses
178 were performed for the population, both overall and by sex.

179
180 Observed municipal mortality data were drawn from the records of the National
181 Statistics Institute (Instituto Nacional de Estadística - INE) for the study period, and
182 corresponded to deaths due to 32 types of malignant neoplasm (Table 1 Supplementary
183 Material (SM)). Expected cases were calculated by taking the specific rates for Spain as
184 a whole, broken down by age group (18 groups, 0-4, 5-9,....,85 and over), sex, and five-
185 year period (1997–2001, 2002–2006), and multiplying these by the person-years for
186 each town, broken down by the same strata. For calculation of person-years, the two
187 five-year periods were considered, with data corresponding to 1999 and 2004 taken as
188 the estimator of the population at the midpoint of the study period. Population data were
189 likewise drawn from INE records.

190
191 Population exposure to industrial pollution was estimated by reference to the
192 distance from the town centroid (municipality) to the industrial facility. Data on
193 industries were obtained from the IPPC database for 2007, provided by the Spanish
194 Ministry for the Environment and Rural & Marine Habitats (Ministerio de Medio
195 Ambiente y Medio Rural y Marino). We selected the 120 mining installations that
196 corresponded to facilities coded as “3a” (underground mining and related operations) or
197 “3b” (opencast mining and quarrying) in the E-PRTR category, which, according to
198 Spain's National Classifications of Economic Activities (Clasificación Nacional de
199 Actividades Económicas - CNAE) governed by Royal Decree 472/2007, included
200 extraction of: anthracite and coal (45 facilities) — category 5.1; lignite (2 facilities) —

201 category 5.2; iron (1 facility) — category 7.1; non-metallic minerals (6 facilities) —
202 category 7.29; ornamental rocks (31 facilities) — category 8.11; sand and clay (25
203 facilities) — category 8.12; chemical products and fertilizers (2 facilities) — category
204 8.91; salt (1 facility) — category 8.93; as well as other mining industries (6 facilities) —
205 category 8.99; and support activities for other mining installations (1 facility) —
206 category 9.9 (see Table 2 SM). The geographic coordinates of their position recorded in
207 the IPPC database were validated, by meticulously reviewing industrial locations using
208 the following: Google Earth, with aerial images and the Street View application; the
209 Spanish Farm Plot Geographic Information System (SIGPAC) (Ministerio de Medio
210 Ambiente y Medio Rural y Marino (MARM), 2012), which includes orthophotos of the
211 entire surface of Spanish territory, along with topographic maps showing the names of
212 the industries, industrial estates, roads, buildings and streets; the GoogleMaps server
213 (Google Inc, 2012), which allows for a search of addresses and companies, and offers
214 high-quality aerial photographs; the Yellow Pages web page (Yell Publicidad SAU.,
215 2011), which allows for a search of addresses and companies; Internet aerial
216 photographs; and the web pages of the industries themselves, to ensure that location of
217 the industrial facility was exactly where it should be. We also used the information
218 yielded by a previous validation analysis of some of these geographic coordinates
219 (Garcia-Perez et al., 2008).

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221 Sociodemographic variables were obtained from the 1991 Spanish Census and
222 chosen for their availability at a municipal level and potential explanatory ability vis-à-
223 vis the geographic mortality patterns (Lopez-Abente et al., 2006b), including percentage
224 of illiteracy (ill), percentage of unemployed (unem), percentage of farmers (far),

225 population size (ps), average persons per household (pph), and mean income as a
226 measure of income level (inc) (Ayuso-Orejana et al., 1993).

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228 2.2. Statistical analysis

229

230 In a first phase, we conducted exploratory “near vs. far” analyses to estimate the
231 relative risks (RRs) of towns situated at 15 different distances (from 1 to 15 km) from
232 mining industries. The “exposure” variable was coded as a “dummy”, with three levels,
233 namely: 1) exposed group (“near”), consisting of towns having their municipal centroid
234 at ≤ 5 km from any mining installation; 2) intermediate group, consisting of towns at ≤ 5
235 km from any industrial installation other than mining facilities; and, 3) unexposed group
236 (“far”), consisting of towns having no IPPC-registered industry within 5 km of their
237 municipal centroid (reference level).

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239 After determining the “best distance”, in terms of being able to best discriminate
240 the risk and furnish a number of observed deaths which would have enough statistical
241 power, we conducted a second analysis, stratifying the risk by type of industrial activity.
242 For the purpose, we created a new variable with the levels shown below, according to
243 the mining method employed, which, in turn, depended on the characteristics of the
244 mineral deposits to be exploited and the similarity of their pollutant emission patterns
245 recorded in the IPPC dataset. The exposure variable was coded as a “dummy”, with 8
246 levels (see Mining groups in Table 3 SM): 1) group 1, i.e., towns lying at \leq “best
247 distance” from a single mining group category-1 installation (underground extraction of
248 anthracite, bituminous coal and lignite); 2) group 2, i.e., towns lying at \leq “best distance”
249 from a single mining group category-2 installation (underground extraction of metallic

250 or non-metallic minerals); 3) group 3, i.e., towns lying at ≤“best distance” from a single
251 mining group category-3 installation (underground extraction of ornamental rocks, sand,
252 clay, chemical products, fertilizers or other); 4) group 4, i.e., towns lying at ≤”best
253 distance” from a single mining group category-4 installation (opencast extraction of
254 anthracite, bituminous coal and lignite); 5) group 5, i.e., towns lying at ≤“best distance”
255 from a single mining group category-2 installation (opencast extraction of metallic or
256 non-metallic minerals); 6) group 6, i.e., towns lying at ≤“best distance” from a single
257 mining group category-6 installation (opencast extraction of ornamental rocks, sand,
258 clay, chemical products, fertilizers or other); 7) group 7, i.e., towns lying at ≤”best
259 distance” from more than one E-PRTR category-3a or -3b mining installation (multiple
260 pollution sources); and, 8) unexposed mining group, i.e., towns having no mining IPPC-
261 registered industry within a radius of the “best distance” from the centroid (reference
262 level).

263

264 Finally, in view of that fact that the characteristics of the respective mining
265 installations could vary (type and volume of emissions, level of production),
266 installations were analyzed individually, with the analysis being confined to an area of
267 50 km surrounding each installation, so as to have a local comparison group. The
268 regression coefficient of the exposure term in the models gave us the logarithm of the
269 ratio between the respective standardized mortality ratios (SMRs) for the exposed and
270 reference zones, which we called “RR”.

271

272 RR and their 95% credible intervals (95% CIs) were estimated for all the
273 analyses on the basis of Poisson regression models, using a Bayesian conditional
274 autoregressive model proposed by Besag, York and Mollié (BYM) (Besag et al., 1991),

275 with explanatory variables. Observed deaths (O_i) were the dependent variable and
276 expected deaths (E_i) were the offset. All estimates for the above variable of exposure
277 ($Expos_i$) were adjusted for the standardized sociodemographic indicators (Soc_i),
278 outlined above.

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280 In the BYM Bayesian autoregressive model, the random effects terms include
281 two components, namely: a spatial term containing municipal contiguities (b_i); and the
282 municipal heterogeneity term (h_i). The variable of exposure and potential confounding
283 covariates were fixed-effects terms in the models:

284

$$O_i \sim \text{Poisson}(\mu_i = E_i \lambda_i)$$

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$$\log(\lambda_i) = \alpha \text{Expos}_i + \sum_j \beta_j \text{Soc}_i + h_i + b_i \rightarrow \log(\mu_i) = \log(E_i) + \alpha \text{Expos}_i + \sum_j \beta_j \text{Soc}_i + h_i + b_i$$

288

$$\text{Soc} = \text{ill} + \text{unem} + \text{far} + \text{ps} + \text{pph} + \text{inc}$$

290

$$h_i \sim \text{Normal}(\theta, \tau h)$$

292

$$b_i \sim \text{Car} . \text{Normal}(\eta_i, \tau b)$$

293

$$\tau h \sim \text{Gamma}(\alpha, \beta)$$

294

$$\tau b \sim \text{Gamma}(\gamma, \delta)$$

295

Integrated Nested Laplace Approximations (INLAs) (Rue et al., 2009) were used
as a tool for Bayesian inference. To this end, we used R-INLA (Rue et al., 2012) with
the option of Gaussian estimation of the parameters, a package available in the R
environment (R Development Core Team, 2010). A total of 8,098 towns were included,

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300 and the spatial data on municipal contiguities were obtained by processing the official
301 INE maps. No account was taken of cancer induction periods because the mines have
302 been in operation for many years.

303

304 3. Results

305

306 Figure 1 depicts the geographic distribution of the 120 mining industries studied
307 by mining group (see Table 3 SM). While the majority of installations in groups 1, 2, 3
308 and 4 were located in the northwest of the country, those in groups 5 and 6 tended to be
309 spread over parts of central, northeastern and southern Spain. The mining groups with
310 the highest number of facilities were numbers 1 (underground extraction of anthracite,
311 hula and lignite) and 6 (opencast extraction of ornamental rocks, sand, clay, chemical
312 products, fertilizers or other), with 35 and 59 installations, respectively. Mining group 2
313 (underground extraction of metallic or non-metallic minerals) registered the lowest
314 number of installations (2).

315

316 The RRs and their 95% CIs of dying from cancer in towns lying close to mining
317 industries (using the 15 different distances analyzed) are shown in Figures 1-4 of the
318 Supplementary Material. We chose 5 kilometers as the “best distance” in terms of being
319 able to best discriminate the risk and furnish a number of observed deaths that would
320 have enough statistical power.

321

322 Table 1 shows the RRs and 95% CIs of dying from cancers that registered
323 statistically significant results in towns situated at a distance of 5 km or less from
324 mining installations, estimated using spatial regression models: there were statistically

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325 significant RRs of dying due to colorectal cancer (both sexes), lung cancer (men),
326 bladder cancer (men), and leukemia (both sexes) in the proximity of mining
327 installations. The highest RR corresponded to the association with bladder cancer (RR
328 (95% CI) for men= 1.129 (1.030-1.237)), for which the numbers of observed and
329 expected deaths were 822 and 746.71, respectively.

330

331 Table 2 shows the RRs of dying from cancers with significant results and a total
332 number of observed deaths ≥ 5 , in towns situated at a distance of 5 km or less from
333 mining installations, by type of mining group. This stratified analysis served to
334 highlight significant results that were masked in the analysis shown in Table 1. Hence:
335 in mining group 1 (underground extraction of anthracite, bituminous coal and lignite),
336 there were significant RRs for colorectal (men), gallbladder (men) and thyroid gland
337 (men and women) cancers; in mining group 2 (underground extraction of metallic or
338 non metallic minerals), there were no towns in the “exposed” area; in mining group 3
339 (underground extraction of ornamental rocks, sand, clay, chemical products, fertilizers
340 or other), there were significant RRs for colorectal cancer (men), bladder cancer (men)
341 and myeloma (men); in mining group 4 (opencast extraction of anthracite, bituminous
342 coal and lignite), there were significant RRs for colorectal (women), liver (men), lung
343 (men) and brain cancer (men); in mining group 5 (opencast extraction of metallic or
344 non-metallic minerals), there were significant RRs for stomach cancer (women) and
345 leukemia (men); in mining group 6 (opencast extraction of ornamental rocks, sand, clay,
346 chemical products, fertilizers or other), there were significant RRs for colorectal cancer
347 (both sexes) and leukemia (women). The statistically significantly highest excess risk
348 was concentrated among men in the vicinity of mining group 3 installations, in relation

349 with myeloma (RR (95% CI) = 2.26 (1.26-4.04)), with 12 and 5.5 observed and expected
350 deaths, respectively.

351

352 Lastly, Table 4 SM shows the RRs of mortality for areas (≤ 5 km) surrounding
353 individual mining industries for ever-decreasing radiuses within a 50-kilometres circle
354 drawn round each installation. Data are shown for installations having a statistically
355 significant excess risk in the “near vs. far” analysis and a total number of observed
356 deaths ≥ 5 .

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359 4. Discussion

360 This study is one of the first to use publicly accessible, E-PRTR and IPPC
361 information to explore the effects of the mining sector on cancer mortality among
362 neighboring populations. Summarizing all the results, our study indicates an excess risk
363 of cancer mortality across the sexes among persons living in the vicinity of certain types
364 of mining installations. The main results, based on the association analysis that included
365 all the mining facilities, showed an excess of colorectal, lung and bladder cancer- and
366 leukemia-related mortality among men and women living in the vicinity of mining
367 installations. When stratified by mining group, the results indicated, moreover, that
368 these associations were related with certain specific types of mining facilities, and also
369 pointed to some new associations with gallbladder, thyroid, bladder, liver, brain and
370 stomach cancers, and with myeloma.

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373 Another aspect to be borne in mind is that in some specific installations or
374 groups of installations, excess risks solely affected men, a finding that may be indicative
375 of a possible source of occupational exposure.

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377 Our results show significant excess mortality due to cancers of the digestive
378 system, related with all the mining groups analyzed. There was evidence of risk of
379 colorectal, gallbladder and bladder cancer among men living near underground mining
380 facilities, pointing to a possible occupational exposure. Indeed, there are some papers
381 which confirm this association between digestive cancers and occupational mining
382 exposures (Lopez-Abente et al., 2006a; Rushton et al., 2010). According to these
383 authors, mining exposure could include some potential carcinogens, such as asbestos,
384 diesel engine exhaust, nickel, PAHs and lead, among others. A more detailed
385 examination reveals that there was an association between proximity to mining group 1
386 facilities and colorectal or gallbladder cancer mortality among men working in
387 underground coal and bituminous coal mines (individual analyses, Tables 3.a and 3.b
388 SM). As will be seen, moreover, the association between mining group 3 (underground
389 extraction of ornamental rocks, sand, clay, chemical products, fertilizers or other) and
390 bladder cancer focused (individual analyses, Tables 3.a and 3.b SM) on potash mines, a
391 finding in line with previous reports (Kogevinas et al., 2003; Lopez-Abente et al.,
392 2006a).

393

394 With regard to proximity to opencast mining groups, there was evidence of risk
395 of colorectal and stomach cancer in men and women alike, pointing to a potential
396 environmental exposure. Specifically, colorectal cancer appeared in association with
397 proximity to anthracite, bituminous coal and lignite mines (mining group 4) and

398 ornamental rock mines (mining group 6). On the other hand, stomach cancer in women
399 was associated with proximity to installations extracting metallic or non-metallic
400 minerals (mining group 5). In the individual analysis, this association was not detected
401 due to the low number of observed cases. In men, a relationship was in evidence
402 between proximity to group 4 installations (opencast extraction of anthracite,
403 bituminous coal and lignite) and primary liver cancer, an association already
404 documented in relation to mercury mines (Gomez et al., 2007).

405

The associations described above are supported by previous studies, namely:

407 Wang et al. (Wang et al., 2011) showed that stomach cancer mortality rates were
408 significantly higher in the environs of a multi-metal sulfide mine; Su et al (Su et al.,
409 2006) showed that cumulative mortality from stomach cancer was significantly higher
410 among iron-mine workers who were exposed to dust than among those who were not so
411 exposed; and Weinberg et al. (Weinberg et al., 1985) showed that coal mining could be
412 a risk factor for stomach cancer among females married to miners.

413

414 A further interesting result is the excess thyroid-related mortality seen in the
415 vicinity of mining group 4 installations (opencast anthracite, bituminous coal and
416 lignite mines). These types of mining industries emit a wide range of carcinogenic
417 pollutants to air (discussed above) and have been linked to this particular cancer
418 (SMITH, 1959;Hendryx et al., 2008;Hendryx et al., 2010).

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420 A further interesting result is the excess thyroid-related mortality seen in the
421 vicinity of mining group 1 installations. Although there was not enough statistical
422 power for this to be detected in the individual analysis, the mining-group analysis

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423 showed it as affecting both men and women. The best-evidenced etiologic factor
424 implicated in thyroid cancer is ionizing radiation. In 1978, McBride et al (McBride et
425 al., 1978) examined the uranium and thorium content of fly ash from coal-fired power
426 plants in Tennessee and Alabama (USA): they estimated radiation exposure around the
427 coal plants and compared it with exposure levels around boiling-water reactor and
428 pressurized-water nuclear power plants. The estimated radiation doses ingested by
429 people living near the coal plants were equal to or higher than doses for people living
430 around the nuclear facilities. This fact may go to support the idea of a possible
431 association between coal mines and thyroid cancer. In addition, on studying municipal
432 mortality due to thyroid cancer in Spain, Lope (Lope et al., 2006) found a clear pattern
433 of excess thyroid cancer mortality in the north of Spain, where most of the country's
434 coal mines are located, indicating that environmental factors might provide possible
435 etiologic hypotheses to be borne in mind in future geographic studies.

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With regard to hematologic cancers, excess myeloma-related mortality was
observed in the vicinity of mining group 3 (underground extraction of ornamental rocks,
sand, clay, chemical products, fertilizers or other) in men, and leukemia-related
mortality in the vicinity of mining groups 5 (opencast extraction of metallic or non
metallic minerals) in men and 6 (opencast extraction of ornamental rocks, sand, clay,
chemical products, fertilizers or other) in women. The most relevant etiologic factors
implicated in these cancers are ionizing radiation and benzene (Herrinton et al.,
1996;Boice, Jr. and Lubin, 1997;Siemiatycki et al., 2004;Linet et al., 2006). Although
these factors cannot be clearly related with the mining groups described, the individual
analysis detected some associations between leukemia-related mortality and mining
group 1 installations (underground extraction of anthracite, bituminous coal and lignite),

448 which were more closely related with exposure to ionizing radiation. Even so, our
449 results could be pointing in the same direction as those of Strom SS et al. (Strom et al.,
450 1994), who found an excess of these cancers in a mining community and identified
451 combustion waste as an etiologic cause.

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454 Lastly excess brain-cancer-related mortality was found in the vicinity of mining
455 group 4 installations in men. Once again, ionizing radiation is one of the best-evidenced
456 etiologic factors implicated in this cancer. As mentioned previously, coal mines could
457 linked to both workers and nearby towns being affected by this exposure (McBride et
al., 1978).

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460 Finally, though the results from the individual analysis served to highlight few
461 new significant results in relation with esophageal, breast, uterine, ovarian, testicular
462 and prostate cancers, they generally pointed in the same direction as did the results
stratified by mining group.

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465 The mining groups created in this study were intended to pool installations
466 having similar characteristics, in terms of the factors to be taken into account when
467 trying to comprehend the environmental impact of any given mining enterprise. These
468 were: (1) the type of mining method used, which, in turn, depends on the characteristics
469 of the mineral deposit to be exploited. There are two main methods, each closely related
470 to impacts of differing degrees on nature and society. These are (a) underground
471 mining, and (b) opencast mining; and, (2) the characteristics of the minerals to be
472 extracted and their intended use, since this will dictate the treatment they receive when
they are being mined and processed. Minerals can broadly be divided into: non-metallic

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473 (such as those used to make construction materials), which require little physical
474 treatment, e.g., crushing and grinding, and no chemical treatment at all; and, metallic,
475 which require a high level of processing as well as the application of many chemical
476 reagents, all of which generates great amounts of waste (Ministerio de Ciencia e
477 Innovación, 2012).

478

479 Within this framework, the main environmental effects of mining installations
480 (Kesler, 1994;Ripley et al., 1996;Marcus, 1997) could include the following. First, (a)
481 air pollution: air can be polluted by solid impurities that can reach the lungs, such as
482 dust (with silica, asbestos, beryllium, fluorite, nickel, quartz, mercury, vermilion,
483 titanium dioxide, manganese oxides, uranium compounds and tin minerals) and toxic or
484 inert fuels produced or employed at different times during the mining process. Possible
485 additions to this are residual gases or vapors containing cyanide, mercury and sulfur
486 dioxide, released by incomplete combustion processes, ponds or lagoons with stagnant,
487 polluted water and/or decomposing organic material. Second, (b) perturbation of surface
488 water: the waste produced in the exploitation area may cause the sedimentary layers of
489 the region's rivers to grow. Dams and oxidation ponds, badly built, maintained or used,
490 can lead to the contamination of surface waters by spillage of liquid waste. Equally
491 damaging and likely are inadequate usage, storage and/or transport of different
492 consumables, such as fuels, lubricants and chemical reagents. It is also worth
493 mentioning that the material extracted from underground mines may contain high
494 concentrations of chlorides and sulfates. This should be a primary concern in the case of
495 salt dumps in damp climates, where rainfall accumulates dissolved salts. Third, (c)
496 perturbation of phreatic or groundwater: groundwater can be contaminated by used oils,
497 reagents and mineral salts leached by rainwater from the waste piles of solid post-

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498 treatment residuals. Likewise, spillage or leakage from tailings dams, or polluted water
499 that escapes during the extraction process, may reach the phreatic layers. Finally, if
500 local groundwater is used to supply the significant needs of an opencast mining
501 operation, the water table may drop significantly. Underground mining can also pollute
502 groundwater. Mine waters are an important source of contamination, as the solutions
503 used for in situ leaching and refrigerants escape during the work of pitting. Surface
504 water from the dumps and other sources can also leach into groundwater and impair
505 their quality. Fourth, (d) other: mining activities have resulted in the formation of
506 dumps in the vicinity of mines, which may contain metal residues hazardous to health.

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Owing to the nature and definition of the data used, there were several study
508 limitations, one of which was the use of mortality rather than incidence. The lack of
509 information on non-lethal cancer cases may have served to bias the analysis. In the
510 absence of a population-based incidence registry covering the entire country, we used
511 mortality data. In Spain, however, tumors with lower survival rates are well represented
512 using death certificates, according to Perez-Gomez (Perez-Gomez et al., 2006).

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Another limitation was that distance to the pollution source was used as a proxy
515 of exposure, by assuming an isotropic model. This could introduce a problem of
516 misclassification, since real exposure is critically dependent on other variables, such as
517 prevailing winds or geographic landforms. Previous studies within the same project
518 have discussed this topic in depth (Garcia-Perez et al., 2009; Ramis et al., 2009; Ramis et
519 al., 2011). Nevertheless, we should like to make the point that the problems of using
520 isotropic instead of anisotropic distances would, in any event, affect the analysis, by

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2 522 restricting the ability to find positive results and shifting the results towards the null
3 523 hypothesis, rather than furnishing spurious results.
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7 525 A further possible bias lies in the use of centroids as coordinates for pinpointing
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9 526 the entire population of a town, when, in reality, the population may be fairly widely
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11 527 dispersed. We also assumed that subjects' registered place of residence determined
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13 528 exposure, which implies that the whole municipal population was exposed to the same
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15 529 type and amount of pollutant substances. Nevertheless, the use of small areas as units
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17 530 reduces the risks of ecologic bias and misclassification stemming from these
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19 531 assumptions (Richardson et al., 2004), and these problems would be posed in all cases,
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21 532 limiting the capacity to find positive results but in no way invalidating the associations
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31 A critical decision in the definition of the exposure variable was the maximum
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33 535 distance of 5 km. We repeated the analysis for several distances (ranging from 1
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35 536 through 15 km), as a way of deciding on the best distance for detecting risks and having
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37 537 enough statistical power.
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43 Another point to be borne in mind is that some installations, for which
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45 540 statistically significant RRs are observed, might be situated in areas with other
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47 541 industries releasing pollution into the environment, a problem when it comes to
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49 542 interpreting the results. Nevertheless mining installations are usually situated far from
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51 543 other facilities, and including towns exposed to other mining IPPC installations as the
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53 544 “intermediate group” in the statistical analyses goes some way to solving this problem.
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547 5. Conclusions

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2 548 The results suggest a possible increased risk of cancer mortality among
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4 549 populations residing in the vicinity of mining installations. Specifically, digestive
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7 550 cancers and thyroid cancer tend to be related with underground coal mining, and lung
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10 551 cancer with opencast coal mining. In order to confirm these results, it would be of great
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12 552 interest to analyze cancer incidence, which was not included in this study, and assess
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14 553 the possibility of using better exposure markers for studying what is happening in the
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17 554 environs of each specific installation. Despite all the limitations mentioned in the
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19 555 manuscript, the design of the present study could be a useful tool for studying point-
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22 556 source environmental pollution and cancer.

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34 561 This study was funded by Spain's Health Research Fund (Fondo de
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37 562 Investigación Sanitaria — FIS 080662 and FIS CP11/0012) and formed part of the
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39 563 MEDEA project (Mortalidad en áreas pequeñas Españolas y Desigualdades socio-
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41 564 Económicas y Ambientales — Mortality in small Spanish areas and socioeconomic and
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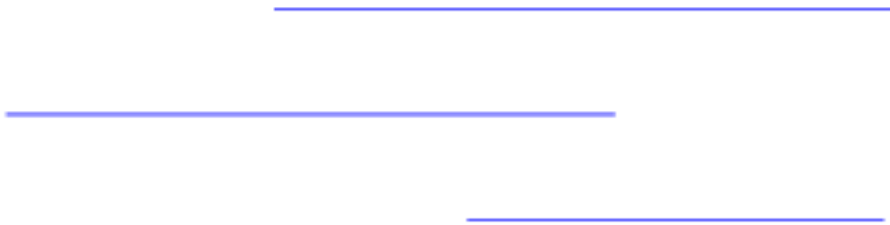
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Table 1. Relative risk (RR) and 95% Credible Intervals (95%CI) of dying from those cancers with significant results in towns situated at a distance of less than 5 km from mining installations, estimated using spatial regression models.

		Number of	Obs ^a	Exp ^b	RR	95%CI	
	Both	126	2817	2634.1	1.10	1.04	1.16
	Men	126	1626	1506.1	1.10	1.03	1.17
	Women	126	1191	1128.0	1.09	1.02	1.17
tumor	Both	126	4334	4077.7	1.07	1.01	1.13
	Men	126	3904	3615.3	1.08	1.02	1.14
	Women	126	430	462.4	0.97	0.86	1.09
Colorectal	Both	126	977	897.7	1.11	1.02	1.20
	Men	126	822	746.7	1.13	1.03	1.24
	Women	126	155	151.0	1.02	0.86	1.22
	Both	126	712	646.2	1.09	1.00	1.19
	Men	126	398	366.9	1.12	1.00	1.25
	Women	126	314	279.3	1.12	0.99	1.27
Lung							

^aObs= observed deaths ^bExp= expected deaths ^cNumber of towns= number of towns included in the analysis

Bladder

Table 2. Relative risk (RR) of dying from those cancers with significant results in towns situated at a distance of less than 5 km using spatial regression models by mining group (1=Underground extraction of anthracite, bituminous coal and lignite; 2=Underground extraction of metallic or non metallic minerals;3=Underground extraction of ornamental rocks, sand, clay, chemistry products, fertilizers or other; 4=Open-pit extraction of anthracite, bituminous coal and lignite;5=Open-pit extraction of metallic or non metallic minerals;6=Open-pit extraction of ornamental rocks, sand, clay, chemistry products, fertilizers or other).

Tumor	Group	Both						Men						Women					
		RR	95%CI ^a	N ^b	Obs ^c	Exp ^d	RR	95%CI ^a	N ^b	Obs ^c	Exp ^d	RR	95%CI ^a	N ^b	Obs ^c	Exp ^d			
Stomach	1	1.16	0.94	1.43	13	365	298.2	1.15	0.91	1.44	13	229	179.1	1.13	0.88	1.46	13	136	119.1
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	0.99	0.70	1.41	10	46	42.3	1.01	0.66	1.54	10	28	26.3	1.04	0.63	1.73	10	18	16.0
	4	1.13	0.83	1.53	12	105	97.6	1.13	0.80	1.59	12	66	60.5	1.13	0.76	1.67	12	39	37.2
Colorectal	5	1.51	0.95	2.38	6	24	19.4	1.28	0.71	2.31	6	13	12.4	1.97	1.05	3.70	6	11	7.0
	6	1.08	0.97	1.20	81	744	797.4	1.07	0.95	1.21	81	478	507.4	1.06	0.91	1.22	81	266	290.0
	1	1.27	1.12	1.44	13	740	605.7	1.31	1.13	1.52	13	452	331.8	1.15	0.98	1.35	13	288	273.9
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	1.22	0.97	1.54	10	98	86.5	1.36	1.03	1.81	10	61	49.4	1.06	0.75	1.50	10	37	37.1
Liver	4	1.26	1.04	1.51	12	217	197.8	1.16	0.92	1.46	12	118	112.2	1.29	1.02	1.64	12	99	85.5
	5	0.72	0.47	1.11	6	23	38.6	0.79	0.46	1.36	6	14	22.6	0.64	0.33	1.24	6	9	16.0
	6	1.09	1.02	1.16	81	1623	1590.7	1.08	1.00	1.18	81	924	926.2	1.09	1.00	1.19	81	699	664.5
	1	1.12	0.83	1.51	13	167	122.3	1.18	0.87	1.61	13	132	86.5	1.15	0.71	1.86	13	35	35.8
Gallbladder	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	1.12	0.64	1.93	10	18	17.1	1.03	0.54	1.94	10	12	12.4	1.36	0.56	3.29	10	6	4.7
	4	1.51	0.99	2.30	12	54	40.1	1.69	1.09	2.63	12	42	29.0	1.21	0.59	2.52	12	12	11.1
	5	0.50	0.16	1.62	6	3	8.2	0.24	0.03	1.75	6	1	6.1	1.16	0.28	4.93	6	2	2.1
	6	0.94	0.81	1.11	81	313	330.5	0.94	0.79	1.11	81	225	247.2	0.98	0.76	1.28	81	88	83.3
Lung	1	1.09	0.79	1.52	13	54	69.7	1.53	1.00	2.35	13	29	23.0	0.81	0.52	1.26	13	25	46.7
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	1.16	0.92	1.47	10	123	125.3	1.20	0.93	1.53	10	113	111.5	0.84	0.44	1.62	10	10	13.8
	4	1.22	1.01	1.49	12	348	295.3	1.29	1.05	1.59	12	331	261.9	0.57	0.33	0.97	12	17	33.4
Bladder	5	1.07	0.80	1.44	6	62	62.1	1.11	0.82	1.51	6	58	55.4	0.71	0.26	1.93	6	4	6.7
	6	1.05	0.98	1.12	81	2640	2546.6	1.06	0.98	1.14	81	2358	2261.9	0.99	0.85	1.14	81	282	284.7
	1	1.04	0.85	1.28	13	208	204.9	1.10	0.88	1.37	13	174	167.2	0.84	0.58	1.21	13	34	37.8
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	1.86	1.36	2.54	10	54	30.5	1.92	1.37	2.70	10	45	25.2	1.78	0.91	3.47	10	9	5.2
Brain	4	0.96	0.71	1.32	12	63	68.2	1.02	0.73	1.43	12	55	56.5	0.69	0.34	1.40	12	8	11.7
	5	0.92	0.50	1.70	6	11	13.2	1.10	0.59	2.04	6	11	11.1	0.00	0.00	5.E+07	6	0	2.1
	6	1.10	0.99	1.22	81	598	541.7	1.10	0.98	1.23	81	498	454.5	1.15	0.93	1.43	81	100	87.2
	1	0.96	0.76	1.21	13	101	107.4	0.93	0.69	1.24	13	55	58.0	1.00	0.72	1.38	13	46	49.4
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
Thyroid gland	3	0.84	0.47	1.51	10	12	14.6	0.86	0.41	1.83	10	7	8.1	0.82	0.34	1.99	10	5	6.5
	4	1.37	0.98	1.90	12	45	36.1	1.75	1.19	2.57	12	32	20.0	0.86	0.49	1.52	12	13	16.1
	5	1.26	0.65	2.47	6	9	8.0	1.43	0.63	3.23	6	6	4.7	0.97	0.31	3.05	6	3	3.4
	6	0.99	0.87	1.12	81	317	331.9	0.96	0.82	1.13	81	179	191.8	1.02	0.85	1.22	81	138	140.1
Myeloma	1	1.77	1.15	2.71	13	29	13.9	2.05	1.01	4.13	13	9	4.4	1.70	1.02	2.84	13	20	9.5
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	0.00	0.00	5.E+07	10	0	1.9	0.01	0.00	8.E+08	10	0	0.6	0.01	0.00	1.E+08	10	0	1.3
	4	0.50	0.12	2.05	12	2	4.4	1.45	0.35	5.93	12	2	1.5	0.00	0.00	2.E+07	12	0	2.9
Leukaemia	5	2.67	0.65	10.90	6	2	0.9	3.46	0.48	25.06	6	1	0.3	2.01	0.28	14.54	6	1	0.6
	6	1.00	0.70	1.44	81	34	35.5	1.14	0.65	1.98	81	14	12.9	0.90	0.57	1.43	81	20	22.6
	1	1.07	0.83	1.37	13	83	77.8	1.22	0.88	1.70	13	42	37.3	0.96	0.69	1.36	13	41	40.5
	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	1.58	0.97	2.58	10	17	10.9	2.26	1.26	4.04	10	12	5.5	0.92	0.38	2.23	10	5	5.4
Leukaemia	4	1.22	0.83	1.78	12	31	25.1	1.59	0.99	2.55	12	20	12.5	0.85	0.46	1.56	12	11	12.6
	5	0.41	0.10	1.65	6	2	4.9	0.00	0.00	3.E+07	6	0	2.5	0.83	0.21	3.33	6	2	2.3
	6	0.94	0.80	1.09	81	192	197.9	1.00	0.81	1.23	81	103	102.4	0.89	0.71	1.11	81	89	95.6
	1	1.10	0.91	1.33	13	154	141.7	1.17	0.92	1.48	13	86	77.0	1.05	0.80	1.37	13	68	64.7
Leukaemia	2	-	-	-	0	0	0.0	-	-	-	0	0	0.0	-	-	-	0	0	0.0
	3	0.74	0.44	1.23	10	15	20.1	1.08	0.61	1.91	10	12	11.4	0.33	0.11	1.02	10	3	8.7
Leukaemia	4	1.15	0.86	1.55	12	54	47.2	1.24	0.86	1.79	12	32	26.6	1.07	0.69	1.66	12	22	20.7

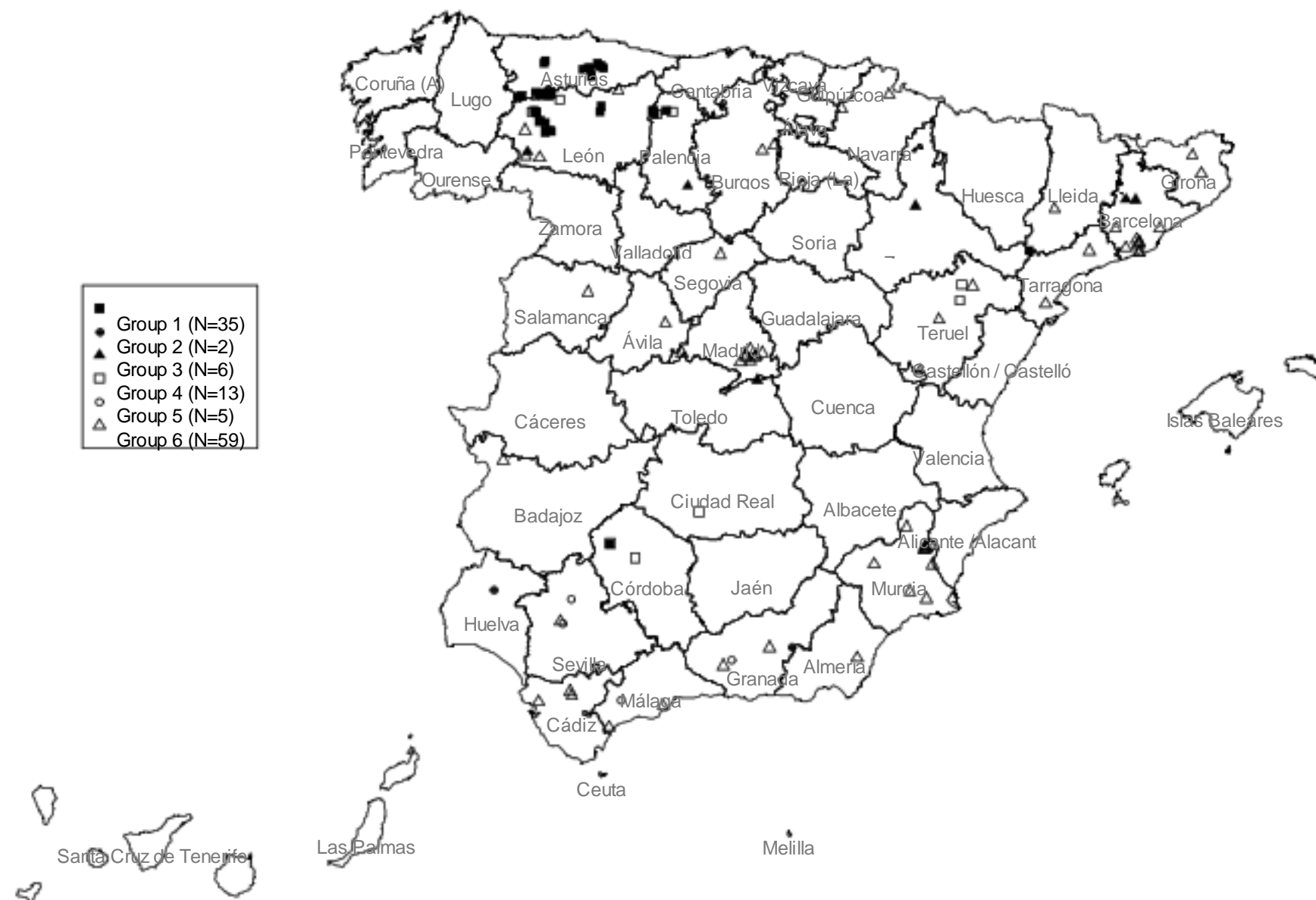
5	1.69	1.02	2.79	6	16	9.7	2.20	1.23	3.92	6	12	5.7	1.02	0.38	2.74	6	4	4.1
6	1.10	0.99	1.22	81	447	400.0	1.05	0.91	1.20	81	241	231.1	1.18	1.02	1.37	81	206	168.9

^a95% CI= 95% Credible interval of the RR; ^bN= Number of towns in the “exposure” area; ^cObs=number of observed deaths in the “exposure” area; ^dExp=number of expected deaths in the “exposure” area.

Figure 1. Geographic distribution of Spanish mining industries. Mining groups:
1=Underground extraction of anthracite, bituminous coal and lignite; 2=Underground extraction of metallic or non metallic minerals; 3=Underground extraction of ornamental rocks, sand, clay, chemistry products, fertilizers or other; 4=Open-pit extraction of anthracite, bituminous coal and lignite; 5=Open-pit extraction of metallic or non metallic minerals; 6=Open-pit extraction of ornamental rocks, sand, clay, chemistry products, fertilizers or other.

Figure

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