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**New species genetic approach to identify strains of streptococci mitis group that are donors of rifampin resistance to *Streptococcus pneumoniae***

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Running title: Rifampin resistant viridans streptococci

*Keywords: RNA polymerase/ rifampin resistance/ viridans streptococci/ rpoB*

1       **Eight rifampin resistant streptococci of the mitis group were identified at the**  
2 **species level by using a concatenated 16S rDNA-*sodA-rpoB-hlpA* sequence.**  
3 **Characterization of their *rpoB* alleles showed single amino acid changes involved in**  
4 **rifampin resistance. Comparison of RpoB sequences from pneumococcal recombinant**  
5 **isolates, viridans isolates and type strains revealed a species-specific amino acid**  
6 **signature, which allowed ascertaining that recombinant RpoBs were originated in**  
7 **genetic interchanges with *Streptococcus mitis* and *Streptococcus oralis*.**

1 Viridans streptococci (VS) form part of the microbiota of the oropharynx, and the  
2 gastrointestinal and female genital tracts (13, 37). However, they cause endocarditis in  
3 native valves, and pneumonia in neutropenic cancer patients (7, 8, 43). By their 16S  
4 ribosomal DNA (rDNA) sequences, VS can be classified into five groups: mutans,  
5 salivarius, anginosus, sanguinis and mitis (18). Species of the mitis group (SMG) includes  
6 *Streptococcus mitis*, *Streptococcus sanguinis*, *Streptococcus parasanguinis*, *Streptococcus*  
7 *gordonii*, *Streptococcus oralis*, *Streptococcus cristatus*, *Streptococcus infantis*,  
8 *Streptococcus peroris*, *Streptococcus pneumoniae*, and *Streptococcus pseudopneumoniae*.  
9 Clinical features, together with their optochin susceptibility and bile solubility distinguish  
10 *S. pneumoniae* from other SMG species (27, 30, 39) although optochin susceptible VS have  
11 been found (6, 31).

12 SMG isolated from blood cultures of cancer patients are commonly resistant to  
13 antibiotics (2, 16, 21, 22, 25, 42) and constitute a reservoir of resistance by acting as  
14 donors in the horizontal transfer of DNA to pneumococci, as observed for penicillin and  
15 fluoroquinolones (5, 17, 35, 38, 40). Rifampin is used in the treatment of tuberculosis and  
16 in meningitis caused by multiresistant pneumococcal strains, combined with either  $\beta$ -  
17 lactams or vancomycin (9, 33, 36). Rifampin binds to the DNA-dependent RNA  
18 polymerase (RpoB) inhibiting its function (10), which is essential for bacterial growth (15,  
19 26). Resistance changes have been identified in four conserved regions (N, I, II and III) of  
20 RpoB in several bacteria (3, 4, 14, 24, 34). This resistance in *S. pneumoniae* is due to  
21 spontaneous mutations and it has been suggested to be also acquired by recombination with  
22 SMG species (19). In this study we have characterized rifampin-resistant SMG isolates,  
23 complementing the unique study of *S. mitis* (1), to ascertain the origin of the recombinant  
24 *rpoB* genes found in *S. pneumoniae* isolates.

1       **Identification of viridans streptococci isolates to the species level.** Among 1,272 VS  
2 isolates collected from adult patients at Hospital de Bellvitge (Barcelona) during ten years  
3 (1998-2007), 10 (0.79%) were rifampin-resistant as determined by broth microdilution and  
4 agar dilution (11, 12). Eight of them with high resistance level ( $MIC \geq 32\mu\text{g/ml}$ ) were  
5 available for this study (Table 1). Although one VS isolate per patient was recovered,  
6 isolate 113 collected from patient 3 also yielded a rifampin-resistant *S. anginosus* isolate  
7 (113A) that was used for sequence comparisons. The global incidence of rifampin  
8 resistance observed in this study was similar to that found in Spain for *S. pneumoniae*  
9 (0.70%) (19), although a higher rate (3%) has been found in SMG isolated from  
10 hematologic cancer patients (1).

11       The 8 VS isolates were identified by phenotypic (39) and molecular methods. We used  
12 concatenated 16S rDNA-*sodA-rpoB-hlpA* sequences made with partial 16S rDNA, *rpoB*  
13 and *sodA* (1,198, 344, and 324-bp, respectively) and full *hlpA* (276-bp). To amplify 16S  
14 rDNA and *hlpA* we used the following primers: 16SDNAF1 (5'-  
15 GAGTTGCGAACGGGTGAGT-3') and 16SDNAR1 (5'-AGCGATTCCGACTTCAT-3');  
16 huATG (5'-ATGGCAAACAAACAAGATT-3') and huTAA (5'-  
17 TTATTTAACAGCGTCTTTAAGAGC-3'). Partial *sodA* and *rpoB* were amplified and  
18 sequenced as described (28, 19). These genes were selected for their polymorphism among  
19 streptococci, and because they have been used as part of the *ddl-gdh-rpoB-sodA* sequence  
20 to differentiate SMG isolates (29). We assumed that *hlpA*, (coding the histone-like DNA  
21 binding protein HU) would improve our concatenated sequence discrimination capacity  
22 since HU, as an architectural cofactor, may require different DNA binding geometries (41),  
23 and probably sequence specificity. Clustering (bootstrap values  $\geq 92\%$ ) in a phylogenetic

1 tree of the 2,142-bp 16S rDNA-*sodA-rpoB-hlpA* sequences of the eight isolates and type  
2 strains allowed species identification (Fig. 1, Table 1). Among the six clusters observed  
3 (plus the out-group), all except *S. pneumoniae*/ *S. pseudopneumoniae* and *S. sanguinis*/ *S.*  
4 *gordonii* formed species-specific groups.

5 The within-group sequence diversity (mean  $\pm$  standard deviation) for *S. pneumoniae*/ *S.*  
6 *pseudopneumoniae* (0.4%  $\pm$  0.1%), *S. mitis* (1.2%  $\pm$  0.3%), and *S. oralis* (2.0%  $\pm$  0.5%)  
7 clusters, reflected low sequence diversity. Our *S. pneumoniae*/ *S. pseudopneumoniae* value  
8 was nearly half to that obtained using the *ddl-gdh-rpoB-sodA* concatenates (29) and for *S.*  
9 *mitis*, it was 4-to-5 fold lower than the value obtained by MLST (20, 23). Additionally, *S.*  
10 *pneumoniae*/ *S. pseudopneumoniae*, *S. mitis*, and *S. oralis* clusters were clearly separated,  
11 as their genetic distances to the node formed with the branch of *S. pneumoniae* / *S.*  
12 *pseudopneumoniae* were: 1.1%  $\pm$  0.7%, 1.9%  $\pm$  0.0%, and 3.2%  $\pm$  0.4% (mean  $\pm$  SD),  
13 which are statistically significant (p< 0.0001).

14 **Determination of mutations involved in rifampin resistance.** RpoB regions L42-  
15 V175 and Q464-T702 were sequenced as described (19) and compared. Changes were  
16 found in the Q464- T702 region (Table 1 and Fig. 2). Among them, only H499N had been  
17 described in rifampin resistant in SMG (1), while the rest, with the exception of S504F  
18 (isolate 395), had been involved in resistance in *S. pneumoniae* (19), To test its role in  
19 resistance, transformation of *S. pneumoniae* R6 with the Q464-T700 fragment carrying  
20 S504F was performed as described previously (32). The transformant had the same  
21 rifampin MIC of isolate 395 showing that this change was indeed involved in resistance.  
22 Additional changes in cluster III, which were present in both susceptible and resistant  
23 strains (Fig. 2), are supposed not to be involved in resistance.

24 RpoB sequence comparisons revealed that most changes not involved in rifampin

1 resistance were conserved among the species (no more than two amino acid differences in  
2 regions I, II and III) (Fig. 2). These changes could be considered as a species-specific  
3 amino acid signature that give information about the phylogenetic origin of the isolates, as  
4 observed for ComC (29). On the basis of similarity scores with type strains (ClustalW), six  
5 groups could be deduced (Fig. 2) coinciding with the six clusters of the phylogenetic tree  
6 based on 16S rDNA-*sodA-rpoB-hlpA* sequences (Fig. 1). Two exceptions were observed: *S.*  
7 *pseudopneumoniae* type strain that shared the same similarity with *S. pneumoniae* and *S.*  
8 *mitis*; and isolate 889 (*S. parasanguinis* by the concatenated sequence) that shared the same  
9 similarity with *S. gordonii* and *S. sanguinis*. Furthermore, this amino acid signature allowed  
10 us to ascertain the origin of recombinant RpoBs. Six rifampin-resistant *S. pneumoniae*  
11 recombinant isolates, which we had previously characterized (19), were compared with  
12 other VS. Four of them grouped with *S. mitis* and *S. oralis* (RIF13, -25, -24, and 56) (Fig.  
13 2). The source for isolates RIF31 and RIF65 could not be deduced because of the partial  
14 recombinational nature of the first (19) and poor scores with any of the type strains for the  
15 last, due to either the donor is not included in this comparison or several recombination  
16 events have occurred. In conclusion, *S. pneumoniae* and SMG share the same mechanisms  
17 of rifampin resistance and recombination events in *S. pneumoniae* take place mostly with *S.*  
18 *mitis* and *S. oralis* species.

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### 4 **FIGURE LEGENDS**

6 FIG. 1. Phylogenetic tree of concatenated sequences of 16S rDNA, *sodA*, *rpoB*, and *hlpA*.

7 Analysis was conducted with the MEGA program (version 4.0.2) with the Neighbor Joining  
8 algorithm. Bootstrap confidence intervals exceeding 90% are shown in italics. The scale bar  
9 calculated by the MEGA program indicates the genetic divergence. Eight *S. pyogenes*  
10 strains were used as outgroup. Shadowed in grey are clusters that identified *S. pneumoniae*  
11 (Spn) plus *S. pseudopneumoniae* (Sps); *S. mitis* (Smi); *S. oralis* (Sor); *S. parasanguinis*  
12 (Spa); *S. sanguinis* (Ssa) and *S. gordonii* (Sgo); *S. anginosus* (San); and *S. pyogenes* (Spy)  
13 strains. SMG isolates characterized in this work appear in boldface and followed by an  
14 asterisk. The arrow indicates the node that separates *S. pneumoniae* plus *S.*  
15 *pseudopneumoniae* from the rest of the clusters.

16 FIG. 2. Amino acid sequence variations in RpoB (V475- A702) of rifampin-resistant  
17 recombinant isolates of *S. pneumoniae* (Spn-M) and SMG rifampin-resistant isolates  
18 characterized in this work (boldface and marked with an asterisk). RpoB is represented as a  
19 bar with clusters N, I, II, and III as black boxes and zigzagged areas showing sequenced  
20 areas. The amino acids present at each polymorphic site are shown in full for *S.*  
21 *pneumoniae* strains (R6, P1031, Hungary, Taiwan1, TIGR4, and JJA). For the other strains  
22 only sites that differ from those are shown. Residue numbers are indicated vertically above  
23 the sequences and black boxes below numbers localize clusters I and III. Amino acid  
24 changes involved in rifampin resistance are shown in boldface and underlined. Species  
25 nomenclature as in Fig. 1. <sup>T</sup> indicates type strain. Recombinant sequences are shadowed in

- 1 grey. Squares group sequences with highest similarity according to scores obtained by
- 2 ClustalW alignments.

1 TABLE 1. Summary of isolation data, resistance characteristics, and identification.

Isolate	Origin <sup>a</sup>	Resistance Pattern <sup>b</sup>	Phenotypic characterization	Molecular characterization <sup>c</sup>
60	DB	PEN, ERY, RIF	<i>S. sanguinis</i>	<i>S. parasanguinis</i> (98.6)
79	AF	ERY, CLI, SXT, RIF	<i>S. sanguinis</i>	<i>S. oralis</i> (97.6)
113	WS	PEN, RIF	<i>S. oralis</i>	<i>S. oralis</i> (98.1)
395	AF	RIF	<i>S. sanguinis</i>	<i>S. gordonii</i> (98.9)
745	AF	ERY, CLI, TET, SXT, RIF	<i>S. sanguinis</i>	<i>S. oralis</i> (98.4)
779	BL	RIF	<i>S. mitis</i>	<i>S. mitis</i> (98.7)
889	B	PEN, SXT, RIF	<i>S. parasanguinis</i>	<i>S. parasanguinis</i> (97.4)
971	E	ERY, TET, RIF	<i>S. sanguinis</i>	<i>S. parasanguinis</i> (95)

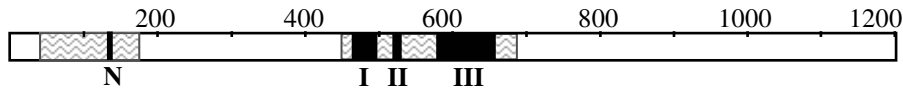
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3 <sup>a</sup>DB, duodenal biopsy; AF, ascitic fluid; WS, wound swab; BL, bronchoalveolar lavage; B,  
4 blood; E, eye.

5 <sup>b</sup> PEN, intermediate or high resistant to penicillin (MIC  $\geq$  0.25  $\mu$ g/ml); TET, resistant to  
6 tetracycline (MIC  $\geq$ 8  $\mu$ g/ml); ERY, resistant to erythromycin (MIC  $\geq$ 1  $\mu$ g/ml); CLI,  
7 resistant to clindamycin (MIC  $\geq$ 1  $\mu$ g/ml); SXT, resistant to trimethoprim-sulfamethoxazole  
8 (MICs,  $\geq$ 4/76  $\mu$ g/ml); RIF, resistant to Rifampin (MIC  $\geq$ 4  $\mu$ g/ml).

9 <sup>c</sup> The species identification was based on clustering with type strains in a phylogenetic tree  
10 obtained with a concatenated of partial sequences of 16S rDNA, *sodA*, *rpoB* and *hlpA*.  
11 Numbers in parentheses indicate the identity percentage with the corresponding type strain.





RESIDUE 4**444445**5555555555666666666666666666666666666677 Rifampin  
 7**888990**6667789999000001122222677899900 MIC  
 5**689594**081390479013784602347914315602 (µg/ml)



Spn strains	V <b>Q</b> MDSHSVDTVYTARNEDTKIQVYANIYNQYAVYDTA	0.015
Spn-M RIF31	... <b>V</b> ..... <b>F</b> .....	512
Sps <sup>T</sup> CCUG4955	.....V.....V.....	0.015
Smi <sup>T</sup> NCTC12261	.....F.....V.....V..K.....	0.015
<b>Smi 779*</b>	..... <b>Y</b> .....F.....V.....V..K.....	128
Spn-M RIF25	..... <b>N</b> .....F.....V.....V..K.....	8
Spn-M RIF13	..... <b>N</b> .....F.....V.....V.DK.....	16
Sor <sup>T</sup> NCTC11427	.....F.....V.....EV.DK.....	0.015
<b>Sor 745*</b>	..... <b>N</b> .....F.....V.....EV.DK.....	32
<b>Sor 113*</b>	.. <b>L</b> .....F.....V.....EV.DK.....	32
<b>Sor 79*</b>	..... <b>F</b> .....AF.....T.....EV.DK...H...	32
Spn-M RIF24	..... <b>N</b> .....F.....V.....EV.DK.V.....	64
Spn-M RIF56	..... <b>N</b> .....F.....V.....EV.DK.V.....	64
Spa <sup>T</sup> NCTC12854	I.....I.K..I.K..EGP.RNFSDQ.DK.....	0.03
<b>Spa 60*</b>	I..... <b>Y</b> .I.K..I.K..EGP.RNFSDQ.DK.....	64
<b>Spa 971*</b>	I..... <b>N</b> .I.K..ISK..KGP.RNFSDQ.DK...H...	32
Ssa <sup>T</sup> SK36	I.....I.K.FI.K..KGP..NFSDQ.DK...H...	0.06
<b>Spa 889*</b>	I.. <b>V</b> ...I.K.FI.K..EGP..NFSDQ.DK...H...	128
Sgo <sup>T</sup> NCTC7868	I.....I.A.FI.K..EGP..NFSDQ.DK...H...	0.125
<b>Sgo 395*</b>	I..... <b>F</b> I.A.FI.K..EGP..NFSDQ.DK...H...	128
San <sup>T</sup> ATCC33397	I.....I..LFI.KT..RA..NFSDQFDK..IH.VS	0.125
San 113A	I.. <b>I</b> .. <b>Y</b> .IN.LFI.K...RA..NFSDQFDH...H.VS	256
Spn-M RIF65	..... <b>N</b> .IAK.....K.A...V...SS..DKF.I.....	16