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Molecular Identification, Antifungal Susceptibility Testing, and Mechanisms of Azole Resistance in *Aspergillus* Species Received within a Surveillance Program on Antifungal Resistance in Spain

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Antimicrob Agents Chemother. 2019 Aug 23;63(9):e00865-19.

which has been published in final form at

<https://doi.org/10.1128/AAC.00865-19>

1 **Molecular identification, antifungal susceptibility testing and mechanisms of azole**
2 **resistance in *Aspergillus* spp. received within a surveillance program on antifungal**
3 **resistance in Spain**

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10 **Running head:** Antifungal resistance surveillance in *Aspergillus*

11 **Key words:** *Aspergillus*, EUCAST, azoles, antifungal resistance, surveillance program,
12 Cyp51, itraconazole, posaconazole, voriconazole, isavuconazole

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14 **Abstract**

15 Antifungal resistance is one of the major causes of the increasing mortality rates in fungal
16 infections, especially for those caused by *Aspergillus* spp. A surveillance program was
17 established in 2014 in the Spanish National Center for Microbiology for tracking resistance
18 on the most prevalent *Aspergillus* species. 273 samples were included in the study and
19 were initially classified as susceptible or resistant according to EUCAST breakpoints.
20 Several *Aspergillus* cryptic species were found within the molecularly identified isolates.

21 Cyp51 mutations were characterized for *A. fumigatus*, *A. terreus* and *A. flavus sensu*
22 *stricto* (*s.s.*) strains that were classified as resistant three *A. fumigatus s.s.* strains carried
23 the TR₃₄/L98H resistance mechanism, while two harbored G54R substitution and one the
24 TR₄₆/Y121F/T289A mechanism. Seventeen strains had no mutations in *cyp51A*, being ten
25 of them resistant only to isavuconazole. Three *A. terreus s.s.* strains harbored D344N
26 substitution in *cyp51A*, one of them combined with M217I, and another carried A249G
27 novel mutation. *A. flavus s.s.* itraconazole resistant strains harbored P220L and H349R
28 alterations in *cyp51A* and *cyp51C*, respectively, that need further investigation on their
29 implication in azole resistance.

30

31 **Introduction**

32 Invasive fungal infections (IFIs) have suffered a significant increase over the last decades,
33 due mainly to the rise in patients subjected to strong immunosuppression (1, 2). These
34 infections present high associated mortality and morbidity rates (1, 3, 4), and a broad
35 range of molds have been reported to cause them (such as *Aspergillus* spp., *Scedosporium*
36 spp., *Fusarium* spp. or Mucorales), with a shift towards new species that are emerging.

37 One of the reasons for the increased mortality caused by IFIs is the development of
38 antifungal resistance. New emerging species present decreased susceptibility to most
39 antifungal drugs, while secondary resistance is increasingly reported in molds, especially
40 for *Aspergillus* spp. (5). Azoles, which are *Aspergillus* first-line antifungal therapy, generally
41 have a good activity against these species, although an increase in *Aspergillus fumigatus*

42 clinical isolates displaying MIC values above the established clinical breakpoints for azole
43 drugs by CLSI and EUCAST has been described in the last years in several countries (6-8),
44 and reports on single cases of *A. fumigatus* strains showing triazole resistance have been
45 made throughout the world (9). Most of *A. fumigatus* triazole resistance cases are
46 explained by point mutations in *cyp51A* gene, which encodes the 14 α -sterol-demethylase
47 of the ergosterol biosynthesis pathway (10). Point mutations associated with azole
48 resistance in *cyp51A* have also been reported in *Aspergillus terreus* and in *cyp51C* in
49 *Aspergillus flavus* (11-15). Resistance in *Aspergillus* has also been described in cryptic or
50 sibling species, which can only be identified to the species level using molecular tools and
51 are classified in “complex” of closely related species when convenient identification
52 techniques are not performed (16). These species usually present decreased susceptibility
53 to several antifungal drugs (17, 18).

54 The first multicenter epidemiological study carried out in Spain in molds (FILPOP) reported
55 triazole resistance rates ranging from 10% to 12.7%, based on different species and
56 antifungals tested, but no secondary resistance in *A. fumigatus* was found (19). As a
57 consequence, a surveillance program on antifungal resistance was implemented in 2014 in
58 the Mycology Reference Laboratory of the Spanish National Center for Microbiology in
59 order to monitor antifungal resistance in the most frequent *Aspergillus* species in our
60 country. In this study we aim to describe the antifungal susceptibility and mechanisms of
61 azole resistance in the isolates included in the program until the end of 2018.

62 **Materials and methods**

63 **1. Strains**

64 From July 2014 to December 2018, 306 samples were received that were suspected of
65 being resistant (tested by any antifungal susceptibility method or due to the lack of clinical
66 response) to at least one antifungal agent belonging to patients from 45 Spanish hospitals.

67 **2. Morphological identification**

68 Strains were morphologically identified to species complex level using malt extract agar
69 (2% malt extract; Oxoid SA, Madrid, Spain), potato dextrose agar (Oxoid SA), dermasel
70 agar base (Oxoid SA), and Czapek-Dox agar (Difco, Soria Melguizo SA, Madrid, Spain) for
71 subculturing the strains to determine their macroscopic and microscopic morphology.
72 Cultures were incubated at 30 °C. Fungal morphological features were examined
73 macroscopically and microscopically by conventional methods (20).

74 **3. Antifungal susceptibility testing**

75 Antifungal Susceptibility Testing (AFST) was performed following the European Committee
76 on Antifungal Susceptibility Testing (EUCAST) reference method 9.3.1. (21). Antifungals
77 used were amphotericin B (Sigma-Aldrich Química, Madrid, Spain), itraconazole (Janssen
78 Pharmaceutica, Madrid, Spain), voriconazole (Pfizer SA, Madrid, Spain), posaconazole
79 (Schering-Plough Research Institute, Kenilworth, NJ), isavuconazole (Basilea
80 Pharmaceutica, Basel, Switzerland (tested from January 2017), terbinafine (Novartis,
81 Basel, Switzerland), caspofungin (Merck & Co., Inc., Rahway, NJ), micafungin (Astellas
82 Pharma, Inc., Tokyo, Japan) and anidulafungin (Pfizer SA). The final concentrations tested
83 ranged from 0.03 to 16 mg/L for amphotericin B, terbinafine, and caspofungin; from 0.015
84 to 8 mg/L for itraconazole, voriconazole, posaconazole and isavuconazole; from 0.007 to 4

85 mg/L for anidulafungin; and from 0.004 to 2 mg/L for micafungin. *A. flavus* ATCC 204304
86 and *A. fumigatus* ATCC 204305 were used as quality control strains in all tests performed.
87 Minimal Inhibitory Concentrations (MICs) for amphotericin B, itraconazole, voriconazole,
88 posaconazole, isavuconazole and terbinafine, and Minimal Effective Concentrations
89 (MECs) for anidulafungin, caspofungin and micafungin were visually read after 24 and 48
90 hours of incubation at 35°C in a humid atmosphere. Clinical breakpoints for interpreting
91 AFST results have been established by EUCAST for some *Aspergillus* species for
92 amphotericin B, itraconazole, voriconazole, posaconazole and isavuconazole (22). These
93 breakpoints were used for classifying as susceptible or resistant to each antifungal all
94 species complex as identified by morphological methods in the first stage and the
95 molecularly identified isolates for which they were available. Breakpoints of echinocandins
96 and terbinafine have not been set yet. Geometric mean (GM), MIC/MEC₅₀ (MIC/MEC
97 causing inhibition of 50% of the isolates tested) and MIC/MEC₉₀ (MIC/MEC causing
98 inhibition of 90% of the isolates tested) were determined. For calculation purposes, the
99 MIC/MEC values that exceeded the maximum concentration tested were transformed to
100 the next dilution (i.e. if MIC/MEC was >8 mg/L it was expressed as 16 mg/L), and values
101 that were less than or equal to the minimum concentration tested were transformed to
102 equal (i.e. if MIC/MEC was ≤0.03 mg/L it was expressed as 0.03 mg/L).

103

104 **4. Molecular identification**

105 *Aspergillus* strains that were classified as resistant to at least one antifungal according to
106 breakpoints were subjected to molecular identification as follows. Isolates were

107 subcultured in glucose-yeast extract-peptone (GYEP) liquid medium (0.3% yeast extract,
108 1% peptone; Difco, Soria Melguizo) with 2% glucose (Sigma-Aldrich, Spain) for 24 h to 48 h
109 at 30°C. After mechanical disruption of the mycelium by vortex-mixing with glass
110 beads, genomic DNA of isolates was extracted using the phenol-chloroform method (23).
111 Molecular identification was performed by PCR amplifying and sequencing ITS1-5.8S-ITS2
112 regions (24) and a portion of β -tubulin gene (25). PCR conditions were set as previously
113 described (19). PCR products were purified using Illustra ExoProStar 1-step (GE Healthcare
114 Lifescience, UK), and sequenced after by Sanger method with a ABI3730XL sequencer
115 (Applied Biosystems, Foster City, CA). DNA sequences were analysed with DNASTar
116 Lasergene 12 software (DNASTar Inc., USA) and compared with reference sequences from
117 the GenBank (<http://www.ncbi.nlm.nih.gov/GenBank/>) and Mycobank
118 (<http://www.mycobank.org/>) databases with InfoQuest FP software, version 4.50 (Bio-Rad
119 Laboratories, Madrid, Spain), as well as with the in-house database belonging to the
120 Mycology Reference Laboratory of the Spanish National Center for Microbiology
121 (restricted access).

122

123 **5. Characterization of molecular mechanisms of azole resistance in *Aspergillus***

124 ***fumigatus*, *Aspergillus flavus* and *Aspergillus terreus***

125 Molecular mechanisms of azole resistance were studied by sequencing the main azole
126 target genes for *A. fumigatus* s.s. (*cyp51A* including its promoter region), *A. flavus* s. s.
127 (*cyp51A*, *cyp51B* and *cyp51C*) and *A. terreus* s. s. (*cyp51A*) strains that were resistant to at
128 least one azole. All *cyp51* genes were amplified and sequenced as previously described

129 (12, 14, 26). DNA sequences were compared with *cyp51A* sequence of reference strain
130 A1163 of *A. fumigatus* (NCBI accession number AFUB_063960), *cy51A* sequence of
131 reference strain NIH2624 of *A. terreus* (NCBI accession number ATEG05917), *cyp51A* (NCBI
132 accession number XM_002375082.1), *cyp51B* (NCBI accession number XM_002379089.1)
133 and *cyp51C* (NCBI accession number XM_002383890.1) sequences of reference strain
134 NRRL3357 of *A. flavus* in order to detect point mutations related to azole resistance.

135

136 Results

137 1. Strains in this study

138 In total, 306 samples were received from 45 hospitals since the implementation of the
139 surveillance program on antifungal resistance in July 2014 until the end of 2018. Three
140 samples were identified as *Candida albicans* and were transferred to the surveillance
141 program on antifungal resistance for yeasts for analysis; 27 samples were identified as
142 molds that did not belong to the *Aspergillus* genus and, therefore, were not further
143 analyzed; and three samples were contaminated and were excluded from the study; thus,
144 273 samples from 33 hospitals were analyzed.

145 2. Morphological identification

146 Macroscopic and microscopic studies allowed the identification of *Aspergillus* strains to
147 the complex level. Among them, 57.9% (158/273) of the strains were identified as part of
148 the *A. fumigatus* complex, 21.6% (59/273) belonged to the *A. terreus* complex, 12.8%
149 (35/273) were part of the *A. flavus* complex and 5.5% (15/273) and 1.5% (4/273) belonged
150 to the *Aspergillus nidulans* complex and the *Aspergillus niger* complex, respectively. Two
151 *Aspergillus* strains were classified as *Aspergillus* spp.

152 3. Antifungal susceptibility testing

153 Antifungal susceptibility testing results are summarized in Table 1, showing GMs,
154 MIC/MEC_{50S}, MIC/MEC_{90S} and MIC/MEC ranges of all antifungals tested against strains
155 belonging to an *Aspergillus* complex with more than 10 isolates. *Aspergillus* species
156 showed a wide range of MIC values for all antifungals tested. Azoles were active against *A.*

157 *fumigatus*, *A. terreus*, *A. flavus* and *A. nidulans* complexes strains, showing lower MIC
158 values for itraconazole and posaconazole than voriconazole and isavuconazole Terbinafine
159 showed a discrete activity against all strains tested. Amphotericin B was more active
160 against *A. fumigatus* complex than against *A. terreus* and *A. flavus* complexes and showed
161 moderate activity against *A. nidulans* complex. Echinocandins displayed good activity
162 against all strains.

163

164 The number of *Aspergillus* strains analyzed that had MIC values above the clinical
165 breakpoints established by EUCAST is included in Table 2.

166

167 **4. Molecular identification**

168 Among *A. fumigatus* complex, 46 strains were molecularly identified in order to identify
169 cryptic species due to their resistance to at least one antifungal. The most prevalent
170 species identified was *A. fumigatus* s.s. (23 strains), followed by several cryptic species of
171 the complex: *Aspergillus lentulus* (13 strains), *Aspergillus udagawae* (four strains),
172 *Aspergillus fumigatiaffinis* (three strains), *Aspergillus felis* and *Aspergillus parafelis* (one
173 strain each). One strain that was resistant to isavuconazole was only identified to the
174 complex level because of absence of amplification of target genes. Thirteen *A. terreus*
175 complex strains resistant to itraconazole, posaconazole and/or isavuconazole were
176 molecularly identified: twelve were *A. terreus* s.s. and one belonged to the cryptic species
177 *Aspergillus citrinoterreus*. Only two strains from the *A. flavus* complex were resistant to

178 itraconazole, and were identified as *A. flavus* s.s.. Out of six *A. nidulans* complex strains
179 showing resistance to itraconazole and/or isavuconazole, four were *A. nidulans* s.s. and
180 four belonged to *Aspergillus spinulosporus* cryptic species.

181

182 **5. Characterization of molecular mechanisms of azole resistance in *Aspergillus***
183 ***fumigatus*, *Aspergillus terreus* and *Aspergillus flavus sensu stricto***

184 Further characterization of *A. fumigatus*, *A. flavus* and *A. terreus* s.s. strains that were
185 resistant to at least one azole drug according to EUCAST breakpoints was performed.
186 Table 3 shows *cyp51A* mutations found in azole resistant *A. fumigatus* and *A. terreus*
187 strains, and *cyp51A*, *cyp51B* and *cyp51C* mutations found in azole resistant *A. flavus*
188 strains. Twenty-three *A. fumigatus* strains were azole resistant. Three of them harbored
189 the TR₃₄/L98H and were panazole-resistant, while two strains carried a G54R substitution
190 showing resistance to itraconazole and posaconazole and another one harbored the
191 TR₄₆/Y121F/T289A mechanism and was resistant to all azoles. Seventeen strains out of
192 twenty-three azole resistant *A. fumigatus* strains did not have mutations in *cyp51A* gene;
193 ten of which were only resistant to isavuconazole. The seven remaining were resistant to
194 at least two azoles.

195 Twelve *A. terreus* strains were azole resistant according to EUCAST breakpoints, from
196 which two were resistant to itraconazole, posaconazole and isavuconazole and had high
197 MICs to voriconazole. Both had a D344N substitution in *cyp51A*, one of them combined
198 with another mutation in the same gene, M217I. The remaining ten were only resistant to

199 isavuconazole (MIC = 2 mg/L) and while seven were *cyp51A* wild-type, two carried the
200 D344N alteration and one harbored A249G novel mutation that was not present in azole
201 susceptible strains.

202 Two *A. flavus* strains were itraconazole resistant. While both of them carried several
203 *cyp51C* substitutions (M54T and S240A in both strains; and D246G, E421D and N423D in
204 one of them) that were also present in *A. flavus* azole susceptible strains, one strain
205 harbored a P220L mutation in *cyp51A* gene and the other carried a H349R substitution in
206 *cyp51C*. These alterations were not carried by azole susceptible isolates and have not been
207 described before.

208

209 Discussion

210 IFIs are an increasing health concern worldwide, as more than one million deaths are
211 attributed to them every year (27). Variable rates of antifungal resistance were found in a
212 population based survey in molds performed in Spain (19), with no secondary resistance in
213 *A. fumigatus*. An antifungal resistance surveillance program for *Aspergillus* spp. was
214 established in our reference laboratory. Identification and AFST results of clinical strains
215 received within this program between its implementation in July 2014 until the end of
216 2018 are reviewed in this report. Molecular mechanisms of azole resistance were further
217 characterized for those *A. fumigatus* s.s., *A. terreus* s.s. and *A. flavus* s.s. isolates that
218 presented azole MICs above the established breakpoints by EUCAST.

219 Out of a total of 274 *Aspergillus* spp. isolates received within the antifungal resistance
220 surveillance program, *A. fumigatus* complex strains represented more than half of the
221 strains identified (57.7%), followed by *A. terreus* (21.5%), *A. flavus* (12.8%) and *A. nidulans*
222 (5.8%) complexes strains. As samples received within the program constitute a biased
223 subset of isolates under the suspicion of being antifungal resistant, *Aspergillus* complex
224 species prevalence in Spanish centers is not fully representative based on these data. In
225 FILPOP and FILPOP2 prospective surveillance studies *A. fumigatus* s.s. was the most
226 prevalent species isolated, followed by *A. flavus* s.s., *A. terreus* s.s. and *A. tubingensis* in
227 FILPOP (19) and *A. niger* s.s., *A. flavus* s.s. and *A. terreus* s.s. in FILPOP2 (28).

228 Overall, voriconazole and isavuconazole, that have been described to display similar *in*
229 *vitro* activities (29, 30), showed higher MICs *in vitro* than itraconazole and posaconazole in
230 the isolates tested. These differences were particularly remarkable against *A. terreus*

231 complex strains. Similar MIC ranges for voriconazole (EUCAST ECCOF > 2 mg/L) and
232 isavuconazole were observed in previous studies against *A. terreus* isolates (29). *A. terreus*
233 and *A. flavus* strains have been reported to display high MIC values to amphotericin B (31-
234 33), although neither clinical breakpoints nor epidemiological cut-off values have been set
235 yet for them by EUCAST. Accordingly, strains from this work showed MIC₉₀ values of 8
236 mg/L for those within the *A. terreus* complex and 4 mg/L for those belonging to the *A.*
237 *flavus* complex.

238 In FILPOP and FILPOP2 studies 12% and 11.5% of the identified strains belonged to
239 *Aspergillus* cryptic or sibling species, respectively (19, 28). Although some *Aspergillus*
240 cryptic species present among the isolates received within the program may have been
241 missed due to the fact that molecular identification was only performed to strains that
242 showed MICs above clinical breakpoints for EUCAST to at least one antifungal, several
243 sibling species were identified in the current study: multi-drug resistant species *A. lentulus*
244 was the most common one (13 identified strains), something in agreement with results
245 from a multicentre international surveillance study (34). Strains identified as part of this
246 species from the *A. fumigatus* complex presented low susceptibility to amphotericin B and
247 to azoles, especially to voriconazole (data not shown), as reported by previous studies (17,
248 18). Four *A. udagawae* and three *A. fumigatiaffinis* strains were also identified. One
249 isolate was identified as *A. citrinoterreus*, from the *A. terreus* complex, which has been
250 reported as the most prevalent cryptic species from this complex in Spain (15).

251 Despite previous studies in Spain showing low rates of *A. fumigatus* azole resistance (19,
252 28, 37), resistance to at least one azole was found in 23 strains molecularly identified as *A.*

253 *fumigatus* s.s.. Three strains had the most frequent mechanism of azole resistance
254 described worldwide (TR₃₄/L98H) (26) (38, 39) and one TR₄₆/Y121F/T289A mechanism (40).
255 These two resistant related changes have been previously described in Spanish isolates
256 (19, 41, 42), TR₃₄ / L98H associated with a pan-azole resistance profile and
257 TR₄₆/Y121F/T289A related to voriconazole and isavuconazole resistance and variable MICs
258 for itraconazole and posaconazole (43, 44), although the isolate carrying it in this study
259 was resistant to all azoles. Other two *A. fumigatus* strains harbored a substitution of
260 glycine for arginine at position 54 of *cyp51A*, which has been linked to cross-resistance to
261 itraconazole and posaconazole (45, 46), in agreement with the MICs obtained in this
262 study. The remaining seventeen azole resistant strains had no mutations in *cyp51A*: two of
263 them were multi-azole resistant, while the rest had different azole resistance profiles.
264 Azole resistant *A. fumigatus* isolates lacking *cyp51A* mutations have been previously
265 reported (47, 48), evidencing the need of investigating further *cyp51A*-independent
266 mechanisms of azole resistance that can be present, such as the overexpression of efflux
267 pumps or *cyp51B* (9). Interestingly, ten of these seventeen isolates with no mutations in
268 *cyp51A* were resistant only to isavuconazole, with MIC values of 2 mg/L. Even though the
269 epidemiological cut-off (ECOFF) value for isavuconazole for *A. fumigatus* is 2 mg/L; its
270 clinical breakpoint was set by EUCAST on 1 mg/L (49) based on the use of standard dosing
271 against a mouse model of disseminated aspergillosis (50). On the basis of this established
272 breakpoint, *cyp51A* wild-type isolates classified as isavuconazole resistant have been
273 reported (30, 44, 51). Nevertheless, a recent study on isavuconazole dose escalation
274 proved to be effective to treat patients infected with an *A. fumigatus* with an

275 isavuconazole MIC of 2 mg/L (44), which could develop into an increase of one 2-fold
276 dilution step of the EUCAST clinical breakpoint or into the categorization of isolates with
277 an isavuconazole MIC of 2mg/L as intermediate as previously suggested (30). For the time
278 being, EUCAST recommends to repeatedly perform AFST including additional markers for
279 azole resistance (itraconazole and voriconazole) and sequence *cyp51A* when isolates have
280 a MIC of 2 mg/L.

281 Only two isolates of *A. terreus* s.s. had MIC values above the established breakpoints for
282 itraconazole and posaconazole. One of them harbored M217I mutation in *cyp51A*, which
283 has been related to itraconazole resistance and high MIC values of voriconazole and
284 posaconazole (14), as shown by this isolate that also carried D344N substitution. This
285 alteration has been reported together with M217V substitution in an isolate only resistant
286 to posaconazole (15). The other itraconazole and posaconazole resistant isolate, which
287 was also isavuconazole resistant, carried D344N mutation alone and showed high MICs to
288 voriconazole as well. Mutations in M217 have been suggested to correlate with M220
289 alterations in *A. fumigatus cyp51A* gene, which are linked to itraconazole and
290 posaconazole resistance and variable voriconazole and isavuconazole MIC values (52, 53).
291 Another amino acid change in this position, M217T, has also been reported in *A. terreus*
292 isolates resistant only to posaconazole (15). Nevertheless, further research is needed in
293 order to confirm the role of these several mutations in M217 and D344N alteration in the
294 development of *A. terreus* azole resistance. Similarly to *A. fumigatus* s.s. strains, ten *A.*
295 *terreus* s.s. strains (18.5%) were only resistant to isavuconazole with a MIC of 2 mg/L, one-
296 fold dilution above the breakpoint: two of them carried D344N substitution, while another

297 harbored a novel mutation (A249G) that was not present in susceptible strains and,
298 therefore, would need to be further studied. The remaining seven did not carry any
299 *cyp51A* alterations. In a study where *in vitro* activity of isavuconazole and voriconazole
300 were compared, a high number of *A. terreus* isolates was found to be isavuconazole
301 resistant but voriconazole susceptible, even though MIC distributions for both azoles were
302 symmetric. If isavuconazole ECOFF value of 2 mg/L for *A. terreus* strains was applied to
303 those isolates, susceptibility categorization was the same for both antifungals (29).

304 In previous studies, *A. flavus* strains with MIC values higher than the epidemiological
305 cutoff value established for voriconazole by CLSI, 1 mg/L, were reported to sometimes
306 harbor *cyp51A*, *cyp51B* and *cyp51C* mutations, as *A. flavus* has three *cyp51* genes. These
307 strains presented different susceptibility patterns, as some of them had reduced
308 susceptibility to all azoles (54) while others showed intermediate MIC values for
309 itraconazole and posaconazole (11, 13). Two *A. flavus* s.s. strains (6%) within the program
310 were classified as itraconazole resistant based on EUCAST clinical breakpoint, and had high
311 MICs against voriconazole, posaconazole and isavuconazole. *Cyp51C* substitutions M54T
312 S250A, D246G, E421D and N423D found these isolates, seem to have no effect on azole
313 resistance, as they were also present in azole susceptible strains. Nevertheless, both azole
314 resistant isolates carried two novel substitutions that were not found in azole susceptible
315 isolates: one of them carried P220L mutation on *cyp51A* gene and the other harbored
316 H349R alteration in *cyp51C*. Further investigation is warranted in order to study their
317 implication in their role in azole resistance.

318 Even though no azole resistance was found among *A. nidulans* strains in FILPOP and
319 FILPOP2 studies, in this study one strain was itraconazole resistant and six isolates were
320 isavuconazole resistant, according to EUCAST breakpoints. Azole resistance mechanisms
321 were not further studied, as it is not clear how this species develops it. Nevertheless, two
322 *cyp51* genes homologous to those of *A. fumigatus* have been described in *A. nidulans* (55).
323 Limitations of this study include that resistance rates are not representative, as they are
324 biased due to the fact that only isolates suspected to be antifungal resistant were received
325 within the program. Nevertheless, this study highlights the interest in establishing an
326 antifungal resistance surveillance program in order to get a deeper insight into antifungal
327 resistance mechanisms, which can help in the future to implement specific control
328 measures and to design adapted strategies to diagnose and manage azole resistance in
329 *Aspergillus*. An important number of azole resistance *A. fumigatus* strains was found with
330 different mechanisms of resistance, and *A. flavus* and *A. terreus* azole resistance
331 mechanisms were studied for the first time in clinical isolates from Spain.

332 **Acknowledgements**

333 O.R.-M. holds a predoctoral fellowship from the Fondo de Investigación Sanitaria
334 (FI14CIII/00025). J.C.-S. holds a predoctoral fellowship from the Fondo de Investigación
335 Sanitaria (F17CIII/00027). J. L. holds a predoctoral fellowship from the Fondo de
336 Investigación Sanitaria (F17CIII/00037). A.A.-I. is supported by a research project from the
337 Fondo de Investigación Sanitaria (PI13/02145 and PI16CIII/00035); she has received
338 research grants or honoraria as a speaker or advisor from Gilead Sciences, MSD, Astellas,
339 Pfizer, F2G, Amplix and Scynexis.

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523

524 **Table 1.** MIC values and ranges for amphotericin B (AMB), itraconazole (ITC), voriconazole (VRC), posaconazole (POS), isavuconazole
 525 (ISA) and terbinafine (TRB), and MEC values for caspofungin (CAS), micafungin (MCF) and anidulafungin (AFG) for *Aspergillus* complex
 526 strains (n ≥10), as determined by EUCAST broth microdilution method.

<i>Aspergillus</i> complex (no. of strains tested)		AMB	ITC	VRC	POS	ISA	TRB	CAS	MFG	AFG
<i>A. fumigatus</i> complex (158)	GM	0.633	0.663	0.726	0.139	1.124	3.431	0.312	0.016	0.024
	MIC/MEC ₅₀	0.5	0.5	0.5	0.12	1	4	0.25	0.015	0.03
	MIC/MEC ₉₀	8	4	4	0.5	4	8	0.5	0.03	0.06
	Range	0.12 - 32	0.015 - 16	0.06 - 16	0.015 - 4	0.25 - 16	0.25 - 32	0.03 - 4	0.004 - 4	0.007 - 8
<i>A. terreus</i> complex (59)	GM	1.638	0.282	1.036	0.098	1.084	0.315	0.358	0.017	0.025
	MIC/MEC ₅₀	2	0.25	1	0.12	1	0.25	0.5	0.015	0.03
	MIC/MEC ₉₀	8	0.5	2	0.25	2	0.5	1	0.06	0.06
	Range	0.25 - 16	0.03 - 16	0.25 - 16	0.015 - 1	0.25 - 8	0.06 - 1	0.03 - 2	0.004 - 4	0.007 - 8
<i>A. flavus</i> complex (35)	GM	1.400	0.541	0.837	0.193	1.224	0.157	0.517	0.068	0.065
	MIC/MEC ₅₀	1	0.5	1	0.25	1	0.12	0.25	0.03	0.03
	MIC/MEC ₉₀	4	1	1	0.5	2	2	32	4	8
	Range	0.5 - 32	0.12 - 16	0.25 - 16	0.03 - 2	0.5 - 16	0.03 - 4	0.06 - 32	0.015 - 4	0.007 - 8
<i>A. nidulans</i> complex (15)	GM	2.639	0.522	0.396	0.154	0.500	0.412	0.497	0.043	0.057
	MIC/MEC ₅₀	2	0.5	0.25	0.12	0.25	0.25	0.25	0.06	0.06
	MIC/MEC ₉₀	16	1	1	0.5	1	2	4	0.5	0.5
	Range	0.5 - 16	0.12 - 4	0.12 - 1	0.03 - 1	0.25 - 8	0.06 - 2	0.03 - 8	0.004 - 2	0.007 - 4
All (273)	GM	0.916	0.534	0.773	0.138	1.082	1.139	0.349	0.021	0.029
	MIC/MEC ₅₀	0.5	0.5	0.5	0.12	1	2	0.25	0.015	0.03
	MIC/MEC ₉₀	8	2	2	0.5	4	8	1	0.06	0.06
	Range	0.06 - 32	0.015 - 16	0.06 - 16	0.015 - 4	0.25 - 16	0.03 - 32	0.015 - 32	0.004 - 4	0.007 - 8

527 **Table 2.** Number of *Aspergillus* strains with MIC values above the established EUCAST breakpoint to amphotericin B, itraconazole,
 528 voriconazole, posaconazole and isavuconazole .

<i>Aspergillus</i> complex	No. and % ^a of strains with MIC values above their established EUCAST breakpoint				
	AMB	ITC	VRC	POS	ISA ^b
<i>A. fumigatus</i> complex (158)	19 (12%)	17 (10.8%)	17 (10.8%)	21 (13.3%)	28 (27.7%)
<i>A. fumigatus</i> s.s.	0 (0%)	11 (64.7%)	7 (41.2%)	13 (61.9%)	17 (60.7%)
<i>A. terreus</i> complex (59)	NA	2 (3.4%)	NA	3 (5.1%)	12 (44.4%)
<i>A. terreus</i> s.s.		2 (100%)		2 (66.7%)	11 (91.7%)
<i>A. flavus</i> complex (35)	NA	2 (5.7%)	NA	NA	NA
<i>A. flavus</i> s.s.		2 (100%)			
<i>A. nidulans</i> complex (15)	NA	1 (6.7%)	NA	NA	6 (46.2%)
<i>A. nidulans</i> s.s.		1 (100%)			4 (66.7%)

529 NA: Not applicable due to the lack of EUCAST breakpoint. S.s. senso stricto.

530 ^a Percentages for senso stricto isolates correspond to % of resistant senso stricto isolates among the total resistant isolates of the
 531 complex

532 ^b Percentages for isavuconazole were calculated according to the number of strains where isavuconazole was tested (101 for *A.*
 533 *fumigatus*, 27 for *A. terreus* and 13 for *A. nidulans*).

534

535

537 **Table 3.** Characterization of molecular mechanisms of azole resistance by studying *cyp51*
538 gene alterations from azole resistant *A. fumigatus*, *A. terreus* and *A. flavus sensu stricto*
539 isolates.
540

Species	Strain	MIC (mg/L)				Mutation found (gene)
		ITC	VRC	POS	ISA	
<i>A. fumigatus</i>	CNM CM-7582	16	4	0.5	ND	TR ₃₄ /L98H (<i>cyp51A</i>)
	CNM CM-7609	16	16	1	ND	TR ₃₄ /L98H (<i>cyp51A</i>)
	CNM CM-9399	16	4	0.5	8	TR ₃₄ /L98H (<i>cyp51A</i>)
	CNM CM-9114	16	0.5	2	0.5	G54R (<i>cyp51A</i>)
	CNM CM-9501	16	0.5	2	0.5	G54R (<i>cyp51A</i>)
	CNM CM-8057	16	16	1	16	TR ₄₆ /Y121F/T289A (<i>cyp51A</i>)
	CNM CM-7510	16	2	0.5	ND	No mutations found (<i>cyp51A</i>)
	CNM CM-7552	16	1	0.5	ND	No mutations found (<i>cyp51A</i>)
	CNM CM-8822	4	8	0.5	4	No mutations found (<i>cyp51A</i>)
	CNM CM-8900	1	4	0.5	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8914	0.5	0.25	0.12	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8915	0.5	0.5	0.12	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8916	0.5	0.25	0.12	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8917	0.5	0.5	0.06	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8922	1	0.5	0.06	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8925	1	0.5	0.25	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9120	1	0.25	0.25	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9307	0.5	1	0.12	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9327	1	2	0.5	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9361	1	2	0.25	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9471	16	8	0.5	8	No mutations found (<i>cyp51A</i>)
CNM CM-9491	1	0.5	0.12	2	No mutations found (<i>cyp51A</i>)	
CNM CM-9494	8	2	0.5	4	No mutations found (<i>cyp51A</i>)	
	Range	0.5-16	0.25-16	0.12-2	0.5-16	
<i>A. flavus</i>	CNM CM-7668	16	0.25	1	ND	P220L (<i>cyp51A</i>)
	CNM CM-9326	16	16	2	16	H349R (<i>cyp51C</i>)
		Range	16-16	0.25-16	1-2	16-16
<i>A. terreus</i>	CNM CM-9079	0.25	2	0.06	2	D344N (<i>cyp51A</i>)
	CNM CM-9280	0.5	2	0.12	2	D344N (<i>cyp51A</i>)
	CNM CM-9490	4	8	0.5	8	D344N (<i>cyp51A</i>)
	CNM CM-7846	16	16	1	ND	M217I; D344N (<i>cyp51A</i>)
	CNM CM-9284	0.5	1	0.12	2	A249G (<i>cyp51A</i>)
	CNM CM-8056	0.5	2	0.06	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8671	0.5	2	0.25	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8852	2	2	0.25	2	No mutations found (<i>cyp51A</i>)
	CNM CM-8952	0.5	8	0.25	8	No mutations found (<i>cyp51A</i>)
	CNM CM-8981	0.25	1	0.06	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9108	0.25	2	0.06	2	No mutations found (<i>cyp51A</i>)
	CNM CM-9285	0.25	1	0.12	2	No mutations found (<i>cyp51A</i>)
		Range	0.25-16	1-16	0.06-1	2-8

541 CNM-CM, Mold Collection of the Spanish National Center for Microbiology. In bold
542 numbers, MIC values that are above the EUCAST clinical breakpoints.