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1 **Molecular effects of polystyrene nanoplastics toxicity in zebrafish embryos**
2 **(*Danio rerio*)**

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11

12 **Abstract**

13 Plastics pose a health hazard to living beings and the environment. Plastic degradation produces nano-
14 sized plastic particles (NPs) that end up in terrestrial and aquatic ecosystems, including oceans, rivers,
15 and lakes. Their presence in air, drinking water, sediments, food, and personal care products leads to a
16 variety of exposure routes for living beings, including humans. The toxicity mechanisms of these
17 nanomaterials (NMs) in living organisms and ecosystems are currently unknown, making it a priority to
18 understand their effects at the molecular and cellular levels. The zebrafish (Zf) (*Danio rerio*) is a model
19 organism which has a high homology with humans and has been widely used to assess the hazard of
20 different xenobiotics. In this study, the expression changes of different genes in 120 hpf Zf embryos
21 (Zfe) after exposure to polystyrene (PS) NPs (30 nm) at concentrations of 0.1, 0.5 and 3 ppm were
22 investigated. The results showed that the gene encoding heat shock protein (*hsp70*) was down-regulated
23 in a dose-dependent manner. The genes encoding superoxide dismutase (*SOD 1* and *SOD 2*), apoptotic
24 genes (*cas 1* and *cas 8*) and interleukin 1- β (*ill β*) were activated at the concentration of 3 ppm PS NP,
25 while the anti-apoptotic gene *Bcl2 α* was inhibited at 0.5 and 3 ppm. In addition, the neurotransmitter-
26 related gene Acetyl-Cholinesterase (*ache*) was significantly inhibited and the DNA repair genes

27 (*gadd45a* and *rad51*) were also down-regulated. In contrast, the mitochondrial metabolism-related gene
28 *cox1* did not alter its expression in any of the treatments. Most of the changes in gene expression
29 occurred at the highest concentration of NPs. Overall, the results indicated that NPs generated cellular
30 stress that caused certain alterations in normal gene expression (oxidative stress, apoptotic and
31 inflammatory processes, neurotoxicity and anti-apoptotic proteins), but did not cause any mortality after
32 120 hpf exposure at the three concentrations assayed. These results highlight the need for further studies
33 investigating the effects, at the molecular level, of these materials in humans and other living organisms.

34

35 ***1. Introduction***

36 Plastics are widely used in our daily lives and consequently a large amount of plastic waste is discharged
37 into the environment. Plastic waste degradation generates particles of micro or nano-size. Microplastics
38 (MPs) have a diameter of less than 5 mm, while nanoplastics (NPs) have a diameter of 1 to 100 nm,
39 although some authors consider it to be <1000 nm (Gigault et al., 2018). Plastics are synthetic products
40 that have many commercial applications due to their ease of production, low cost, and hydrophobicity,
41 and their production is increasing every year. In 2019, the global production reached 370 million tons,
42 being almost 58 million tons produced in Europe (Plastics Europe, 2020). However, only 10% of the
43 waste was properly recycled (Rhodes, 2018; Zhang et al., 2020). Plastic that is not recycled is incinerated
44 or ends up in the environment (Rhodes et al., 2018). This leads to plastic contamination of water, air,
45 and soil. Once plastics enter the environment, physical, chemical, and biological interactions produce
46 large plastic fragments to degrade and form smaller plastic fragments. In addition, industry also
47 manufactures tiny plastic particles to add to personal care products, generating an additional source of
48 population exposure.

49 Currently MP and NPs have been detected in both aquatic and terrestrial ecosystems, and their harm to
50 biota for both humans and other living organisms cannot be ignored. However, the molecular
51 mechanisms of toxicity involving these nanomaterials and their impact on human health remain an
52 unknown. The study of the toxicology of NPs is a priority in public health and environmental health.

53 Zfe have been used as a model in environmental toxicology, human disease, and drug development.
54 (Lleras-Forero et al., 2020; Deveau et al., 2017; Pereira et al., 2019; Garcia et al., 2016; Dai et al., 2014).
55 Zebrafish is a prototypical organism with great advantages due to its small size and short development
56 time. Furthermore, they do not present bioethical problems if larvae up to 120 hpf are used (Directive
57 2010/63/EU). Moreover, the Organization for Economic Co-operation and Development (OECD) and
58 the Environmental Protection Agency (EPA) have standard guidelines for toxicity studies with the Zfe
59 (OECD 236, 2013). Therefore, the Zfe is a suitable model for assessing the effects of MPs and NPs at
60 the biological, molecular, and cellular levels (Torres-Ruiz et al., 2021; de Marco et al., 2022). This
61 organism has a high genetic homology with humans (>70%), which allows predicting the possible health
62 effects (Howe et al., 2013). The study of the toxicity of NPs in the Zfe model has only recently begun
63 (Bhagat et al., 2020; Torres-Ruiz et al. 2021), but there is insufficient information on the effects of these
64 nanomaterials.

65 Research on the molecular effects of PS NPs is very scarce and it is a priority to understand the
66 mechanisms of action of these nanomaterials present in the environment and their potential hazards to
67 living beings, including humans.

68 The aim of the present study was to determine the specific molecular response of genes involved in
69 different metabolic pathways (cellular stress response, oxidative stress, DNA damage, apoptosis,
70 inflammation, mitochondrial and neurotransmission), using Zfe exposed to PS NPs of about 30 nm at
71 three concentrations of 0.1, 0.5 and 3 ppm for 120 h.

72

73 ***2. Material and Methods***

74 **2.1. Nanoparticle preparation**

75 Pristine commercial polystyrene round particles with a mean diameter of 30 nm were purchased from
76 Thermo ScientificTM (Madrid, Spain), catalogue number 5003A. NP of 1.05g/cm³ density were provided
77 as a 10% solution in water with < 2% surfactant (SDS) to prevent agglomeration. This stock solution
78 was diluted to working concentrations in embryo medium and the surfactant was diluted enough not to

79 cause effects in embryos (Pedersen et al. 2020). As per manufacturer instructions, the stock solution was
80 shaken and sonicated for 15 sec prior to use. In addition, every time ZFe medium was changed, solutions
81 were shaken and sonicated for 60 sec prior to use. NP working concentrations in the range of those
82 predicted to be encountered in nature (Torres-Ruiz et al., 2021) were tried in a pilot study to select the
83 ones that best allowed appreciating effects and avoiding mortality. As a result, solutions of 0.1, 0.5 and
84 3 ppm were used in Zfe exposure.

85 **2.2. Nanoparticle characterization**

86 NP solutions in embryo water at the concentrations used for exposure were characterized by observation
87 under a transmission electron microscope (FEC Tecnai 12) operated at 120 kV. Briefly, samples were
88 sonicated for 1 min, then incubated on copper grids for 10 min, washed with deionized water from a
89 MilliQ system (Merck RiOs™ Essential 24) and then dyed with 2% uranyl acetate before observation.

90 **2.3. Zebrafish maintenance**

91 Adult wild type zebrafish (*Danio rerio*) were maintained in glass recirculating aquariums at 25 - 26°C,
92 pH 7-7.5, oxygen 6-7 mg/L, conductivity 500-600 µS/cm, NO₃ < 5 mg/L, NH₄ and NO₂ < 0.05 mg/L.
93 Water for fish was prepared using deionized water from a MilliQ system (Merck RiOs™ Essential 24)
94 and a mixture of salts: Cl₂Ca.2H₂O (294 mg/L), MgSO₄.7H₂O (123 mg/L), NaHCO₃ (64.7 mg/L), and
95 KCl (5.8 mg/L). Fish were maintained in a light/dark cycle of 14:10h and they were fed twice a day with
96 frozen brine shrimp (*Artemia salina*, Ocean Nutrition™, Belgium), shell free brine shrimp eggs (Ocean
97 Nutrition™, Belgium) or Tropica Basic fish flakes (Dajana®, Czech Republic). The fish laboratory
98 adheres to all animal welfare according to Directive 2010/63/EU.

99 **2.4. Embryo culture and NP exposure**

100 Embryos were obtained by placing a breeding tank inside the aquarium. Eggs were laid at the onset of
101 light (8:30 am) and were collected within 1h of oviposition. Embryos were washed with embryo water
102 (same as fish water, see above) and placed in large Petri dishes where they were immediately selected
103 under a stereoscope and placed in experiment plates. Embryos were treated at NPs concentrations of
104 0.1, 0.5 and 3 ppm. Three plates (24 wells) per NP concentration and three plates (24 wells) for negative

105 control (embryo water) were used resulting a total of 72 embryos per treatment/control groups. Plates
106 were kept in an incubator (Nuaire) at 28 °C until the end of the study (120 hpf) and medium was renewed
107 every 24 h. Mortality was assessed every 24 h in control and exposed larvae that were used for molecular
108 analysis.

109 **2.5. RNA extraction and cDNA synthesis**

110 Total RNA was extracted from exposed and control Zfe using a guanidine isothiocyanate-based method,
111 performed with a commercial kit (Trizol, Invitrogen) according to the manufacturer's protocol. The
112 embryos frozen at -80 °C were homogenised in 500 µl Trizol and left for 5 minutes at room temperature.
113 Next, 0.2 volume of chloroform was added to each sample, mixed, and left for 5 minutes at room
114 temperature. The samples were then centrifuged for 15 minutes at 4 °C and 15,000 g. After transfer of
115 the aqueous phase, the RNA was precipitated with isopropyl alcohol (0.5 v/v), washed with 70% ethanol,
116 and resuspended in DEPC water. The quality and quantity of total RNA was determined by agarose
117 electrophoresis and absorbance (Biophotomer Eppendorf), and the purified RNA was finally stored at -
118 80 °C.

119 cDNA synthesis was performed with 500 ng total RNA, 500 ng Oligo dT20 (Invitrogen) and 100 u/µl
120 of MMLV enzyme (Invitrogen, Germany). The synthesised cDNA was stored at -20 °C until used for
121 PCR reactions.

122 **2.6. Real-time PCR**

123 The synthesised cDNA was used as a template in real-time PCR to analyse the messenger RNA (mRNA)
124 expression profile of genes related to cell stress (*hsp90*, *hsp70* and *hsp27*), oxidative stress (*SOD 1*, *SOD*
125 *2*, *cat*), DNA repair (*gadd45a* and *rad51*), apoptosis (*cas 1*, *cas 3a*, *cas 8* and *Bcl2a*), and inflammatory,
126 mitochondrial, and neurotransmission (*il1β*, *cox 1* and *ache*) responses. Treated embryos RNAs were
127 compared with RNAs extracted from control embryos. The reaction was performed under the following
128 conditions: initial denaturation at 95 °C for 3 min and 40 cycles of denaturation at 95 °C for 5 s; annealing
129 at 58 °C for 15 s; and elongation at 65 °C for 10 s. The sequences of the oligonucleotides designed in
130 this study for each of the used genes are shown in Table 1. All samples were analysed in duplicate, and
131 two replicates of each plate were performed. Actin, beta 2 (*actb2*) and Glyceraldehyde 3-phosphate

132 dehydrogenase (*GAPDH*) genes, with a coefficient of variation < 0.25 and an M-value < 0.5, were used
 133 as endogenous reference controls to normalise the expression data of the selected study genes.

134 **Table 1. Oligonucleotides sequences**

| Gene | Function | Accession n° | Primer (5'-3') | bp |
|--|---|--------------|--|-----|
| <i>actb2</i> | Reference gene | NM_181601.5 | F: TGACCGAGAGAGGCTACAG R: CAATGGTGATGACCTGTCCG | 174 |
| <i>GAPDH</i> | Reference gene | NM_001115114 | F: CACCAGGTTGTGTCCACTGA R: GATGGGAGAATGGTCGCGTA | 225 |
| <i>cox1</i> | Mitochondrial metabolism | NC_002333 | F: GGAATACCACGACGGTACTCT R: AGGGCAGCCGTGTAAT | 195 |
| <i>SOD 1</i> (<i>Cu/Zn</i> -) | Oxidative stress | BC055516 | F: GTGTGAGACACGTCGGAG R: TGCCGATCACTCCACAGG | 222 |
| <i>SOD 2</i> (<i>Mn</i> - <i>SOD</i>) | Oxidative stress | NM_199976 | F: CCCACTGCTTGGGATAGATG R: ATAGACACTCGGTTGCTCTC | 188 |
| <i>hsp90</i> | Stress | NM_131328 | F: GAGCTTGACAGATCCGAGCA R: CCTGCAGAGCCTCCATGAAA | 185 |
| <i>hsp70</i> | Stress/Antiapoptotic | AB062116 | F: CCAGCTATGTGGCCTTCAC R: GGCTTTCCTCCATCTCTGAC | 188 |
| <i>hsp27</i> | Stress | EU000061 | F: ATGCGAGGGCCGTCCTTG R: AGAAGGCTTCTGGAGG | 111 |
| <i>cat</i> | Stress | NM_130912 | F: AGGGCAACTGGGATCTTACA R: TTTATGGGACCAGACCTTGG | 499 |
| <i>gadd45a</i> | DNA repair BER/NER | BC152637.1 | F: CTCAGTCATCCACATGGAAAG R: GATGACTGGCACCCACTGG | 92 |
| <i>rad51</i> | DNA repair | BC062849 | F: CGTCTCGCTGATGAGTTTGG R: CTACATATCCTCGTCTACC | 180 |
| <i>ache</i> | Neurotransmission | NM_131846 | F: AATGAGCAAAAAGCATGTGGGC R: ACTCCACTTCCAATGTCGC | 154 |
| <i>cas 1</i> | Apoptosis/inflammation | NM_131505 | F: TTCTCTGATGTCGTGCACCC R: AGTCCGGGAACAGGTAGAA | 237 |
| <i>cas 3a</i> | Apoptosis-related cysteine peptidase a | NM_131877 | F: GGACATGCGGATACGGAGAC R: TGCAGATGCCCCATCCTTAC | 204 |
| <i>cas 8</i> | Apoptosis-related cysteine peptidase/ inflammation | NM_131510 | F: GTTTTGGGCACAGATGGTAA R: TACTGTGGCCATTCCGATCA | 258 |
| <i>Bcl2a</i> | Antiapoptotic | NM_001030253 | F: AACCGACTCTTCTGCTCG R: GACATTTCCACACATATCCTCGC | 138 |
| <i>il1β</i> | Inflammatory response | AY340959.1 | F: GTCACACTGAGAGCCGGAAG R: GGAGATTCCTCAAACACACAGG | 111 |

135 **Abbreviations:** *bactin2*- *bactin2*; *GAPDH*- Glyceraldehyde-3-phosphate dehydrogenase; *cox1* – cytochrome C oxidase subunit
 136 I; *sod1*- superoxide dismutase type 1; *sod 2*– mitochondrial superoxide dismutase; *hsp90*- heat shock protein; *hsp70*- heat shock
 137 protein; *hsp27*- heat shock protein; *cat*- catalase ; *gadd45a* – growth arrest DNA damage; *ache*- Acetyl-Cholinesterase; *cas 1*-
 138 caspase 1; *cas 3a*- caspase 3a ; *cas 8*- caspase 8; *Bcl2a*- B-cell lymphoma 2; *il1β*- Inflammatory response. In grey are the
 139 reference genes used.

140 2.7. Statistical analysis

141 The statistical analysis of the gene expression was performed using IBM SPSS Statistics 25. Normality
 142 and homogeneity were tested using Levene's tests. Analyses were performed using values obtained from
 143 a pool of Zfe in triplicate. Comparisons were made between control and treated larvae using analysis of

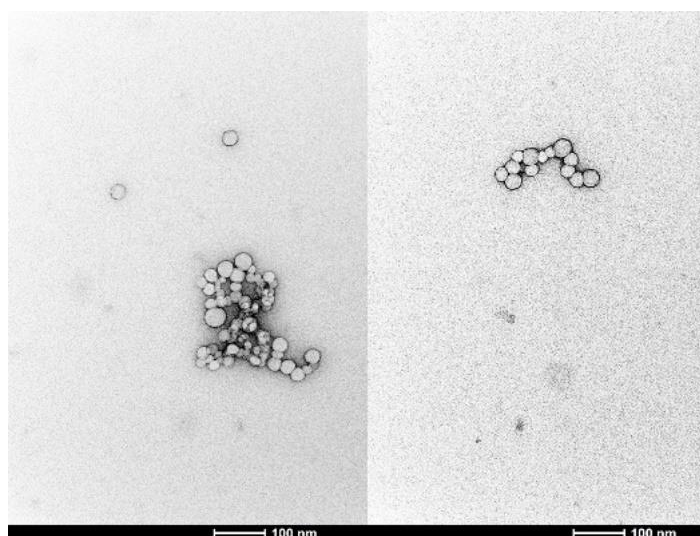
144 variance (ANOVA) with Dunnett's multiple comparison tests. A difference was considered significant
145 at a $p < 0.05$.

146

147 **3. Results and discussion**

148 **3.1. Nanoparticle characterization**

149 Transmission electron microscopy (TEM) characterization of 30 nm polystyrene nanoparticles in
150 embryo medium showed that these particles were round with a mean size of 25.1 ± 4 nm, according to
151 commercial specifications. However, we observed agglomeration of these particles at all concentrations
152 assayed, and especially at 3 ppm (Figure 1) even after mixing and sonicating according to manufacturer
153 instructions. Agglomeration of polystyrene particles has been observed before in *in vitro* experiments
154 (Eitzen et al., 2020) and is expected to be the more probable presentation in nature (Summers et al.,
155 2018), which may alter their toxicological profiles. There are some studies that have shown lower
156 toxicity for particles that are more aggregated (Manfra et al., 2017). On the other hand, a reduction of
157 acute toxicity in *Daphnia magna* following sonication of PS NPs compared to non-sonicated NPs has
158 been described by Vaz et al., 2021. Sonication may reduce or eliminate agglomerates during the time of
159 exposures, but they are likely to re-form over time (Zhao et al., 2021). NPs are found in nature both, in
160 aggregated and non-aggregated states, and their level of aggregation will depend on characteristics of
161 aquatic medium (i.e. pH, salt type, ionic strength) in which they are found (Wang et al., 2021).



162

163 **Fig. 1.** Transmission electron microscopy (TEM) characterization of sonicated 30 nm polystyrene nanoparticles
164 used in the study at 0.5 ppm.

165 **3.2. Mortality**

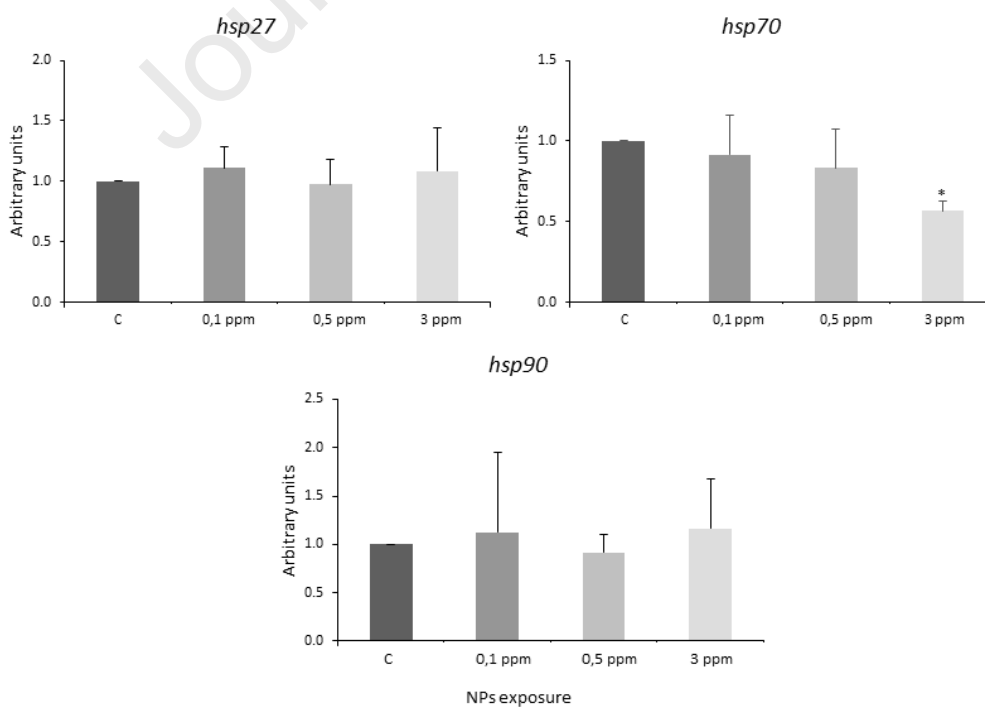
166 The percentages of mortality observed were very low in all the treatments, no mortality was found in
167 the control and 3 ppm exposed groups, 1.4% in the 0.1 ppm group, and 0.7% in the 0.5 ppm group. This
168 indicates a minimal mortality, independent of treatment, which we commonly observe in our laboratory,
169 under the conditions tested. These results agree with previous studies in Zfe, with most showing that
170 NPs do not affect embryo mortality in the ranges of NPs concentrations assayed in the present research
171 (Torres-Ruiz et al., 2021; Lee et al., 2019). However, at higher concentrations (around 50-200 µg/ml of
172 NPs), increased mortality has been observed in Zfe (Brun et al., 2018; Duan et al., 2020; Zhang and
173 Goss, 2020) but it is very possible that these concentrations are higher than those present in the
174 environment. The concentrations chosen for this study are based on the limited previous literature
175 (Gallego-Urrea et al., 2010; Torres-Ruiz et al., 2021) which concludes that concentrations of
176 approximately 0.55 to 8.6 ppm would be those found in the environment and therefore the most realistic
177 for use in toxicity testing. Future studies will be needed to confirm real NP concentrations in different
178 ecosystems, as currently this is not known with precision (Torres-Ruiz et al., 2021).

179 **3.3. Gene expression**

180 Recently published studies indicated that exposure to MPs and NPs can induce oxidative stress and
181 inflammatory process at the molecular, cellular, and tissue level (Moyan et al., 2020; Yee et al., 2021),
182 but more evidence is needed to understand the mechanism of action of these nanomaterials. In the
183 present study, we investigated the changes in the expression of different genes involved in different
184 metabolic pathways (cellular and oxidative stress responses, DNA damage, apoptosis, inflammatory,
185 mitochondrial, and neurotransmission) in Zfe exposed to PS NPs of about 30 nm. The Zfe is a robust
186 model in which whole-organism molecular and cellular effects can be assessed. In addition, this aquatic
187 organism shows a high homology with humans, making it an excellent candidate for evaluating the
188 molecular and cellular effects of these nanomaterials in humans.

189 **3.4. Stress response: HSPs proteins**

190 Heat shock proteins (HSPs) are a family of proteins that are expressed in response to stress factors
 191 (Lindquist and Craig, 1988). In addition, these proteins are anti-apoptotic, associating with apoptotic
 192 factors to block apoptotic cell death (Joly et al., 2010; Wang et al., 2014). The Zfe stress response to
 193 NPs showed a dose-dependent down-regulation at all concentrations studied in *hsp70* gene expression,
 194 although only at the highest concentration tested was this significant. On the other hand, *hsp90* and
 195 *hsp27* mRNA levels did not change (Figure 2). A similar result was obtained in the inhibition of *hsp70*
 196 gene expression in human stem cells (Im et al., 2022) and an aquaculture species *Apostichopus japonicus*
 197 (Liu et al., 2022) exposed to NPs. This result indicates an altered stress response and possible anti-
 198 apoptosis regulation. This decrease in *hsp70* genes has been previously described after exposures to other
 199 nanomaterials. In human lung fibroblasts and keratinocytes, inhibition of HSP90 protein after exposure
 200 with Single-walled carbon nanotubes (SWCNTs) has been described (Ong et al., 2017), and in *C.*
 201 *riparius* after exposure to Multi-walled carbon nanotubes (MWCNTs) (Martinez-Paz et al., 2019). These
 202 studies link this heat shock genes down-regulation to an activation of apoptosis. Possibly, and based on
 203 the results of these investigations, nanomaterials would inhibit the expression of some of these anti-
 204 apoptotic proteins.



205

206 **Fig. 2.** Expression of the *hsp27*, *hsp70* and *hsp90* genes. Asterisks indicate statistical differences compared to
207 controls ($p < 0.05$).

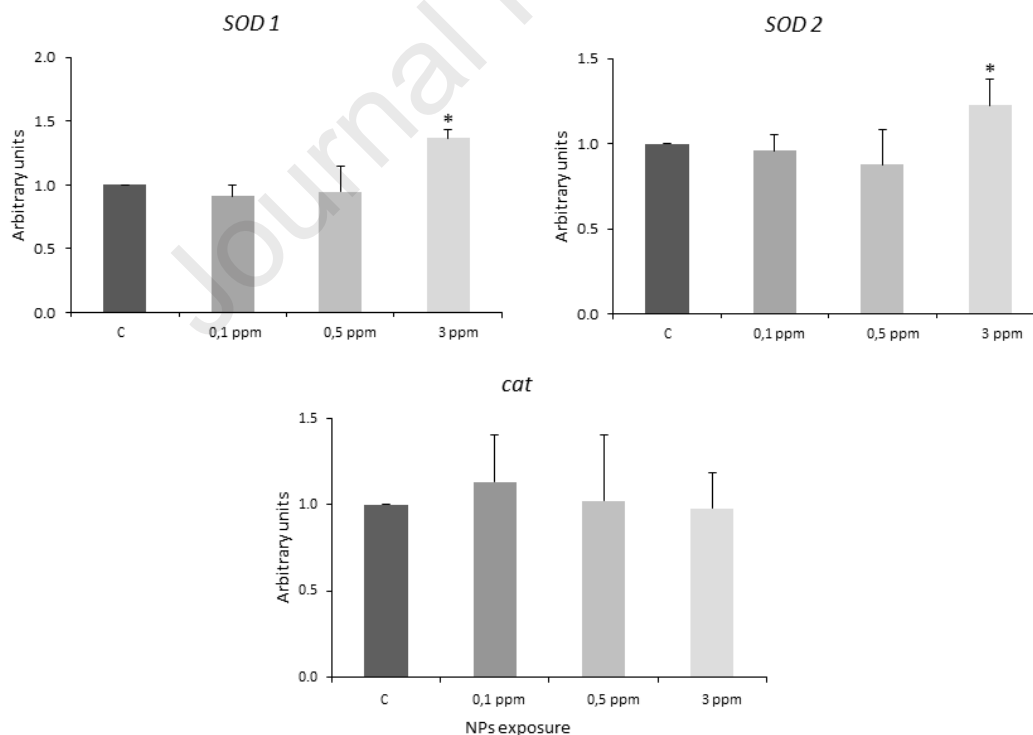
208

209 **3.5. Oxidative stress response: SOD and CAT**

210 Recent toxicity studies of PS NPs in model organisms (*D. pulex*, *D. rerio*, etc.) and in human cells have
211 shown that they can stimulate ROS production (Liu et al., 2020; Lu et al., 2016; Yu et al., 2018; Poma
212 et al., 2019; Im et al., 2022). ROS production can generate oxidative stress, mitochondrial damage,
213 increased inflammatory cytokines and pro-apoptotic factors that could induce cell death in mammals
214 (Banerjee et al., 2021), and also can stimulate apoptosis in cells (Zucker et al., 1997). Antioxidant
215 enzymes such as superoxide dismutase (SOD) and catalase (CAT) have a role in protecting against ROS
216 (Livingstone, 2001) and help maintain cellular homeostasis. Superoxide dismutase (SOD) is an
217 antioxidant detoxification enzyme involved in the superoxide anions metabolism (Ighodaro and
218 Akinloye, 2018). Specifically, SOD 1 (Cu/Zn SOD) binds Cu and Zn and localizes to the cytoplasm and
219 mitochondrial intermembrane space and SOD 2 (Mn SOD) binds manganese at the catalytic core and is
220 located within the mitochondria (Wang et al., 2018). Catalase (CAT) is a key enzyme in the biological
221 defence system (Winston, 1991). In the present study, changes in mRNA expression of the mentioned
222 genes involved in antioxidant responses, were evaluated in Zfe exposed to NPs. We have found that the
223 molecular expression of CAT did not change and this result is consistent with previously published
224 findings assessing its enzymatic activity (Parenti et al., 2019; Torres-Ruiz et al., 2021). Instead, a
225 significant increase in the expression of SOD 1 (Cu/Zn SOD) and SOD 2 (Mn-SOD) could be observed
226 at the highest concentration studied (Figure 3). Therefore, it is logical that the PS NPs used in the present
227 study (at 3ppm) produced oxidative stress, stimulating the up-regulation of SOD 1 and SOD 2. In support
228 of this assertion, the SOD results are in agreement with data obtained in Zfe exposed to PS NPs (Liu et
229 al., 2021), as well as in other aquatic organisms (Liu et al., 2020). On the other hand, there are studies
230 showing that NPs can induce a decrease in SOD levels in Zfe (Hu et al., 2020b; Zhang et al., 2020; Lu
231 et al., 2018). The decreased expression of these genes could be due to a depletion of the antioxidant
232 response, as a consequence of toxicity (Zhang et al., 2020). The fact that at the concentrations studied,

233 the expression of CAT was not altered but SOD 1 and SOD 2 (3 ppm) were altered may be due to
 234 catalase not being able to compensate for the excessive production of ROS. Previous studies with
 235 microplastics inhibit the activity of CAT enzymes. This inhibition is associated with the energetic cost
 236 of the oxidative stress response induced by microplastic exposure (Yu et al., 2018). Another possible
 237 explanation is that SOD 1 and SOD 2 respond in a first phase to ameliorate the oxidative stress produced
 238 by PS NPs.

239 The speed of the response reaction will depend on the concentration of the PS NPs and therefore
 240 activation is seen at the highest concentration. To test these hypotheses, it would be necessary to analyse
 241 the mRNA expression of SOD 1 and SOD 2 in Zfe at times before and after 120 hpf. Some reports
 242 suggest that oxidative stress is the main cause of MP and NP damage (Prata et al., 2020). ROS
 243 production causes oxidative stress enzymes to be activated, but at some point, they may not be able to
 244 activate due to the energetic cost of oxidative stress (Yu et al., 2018).



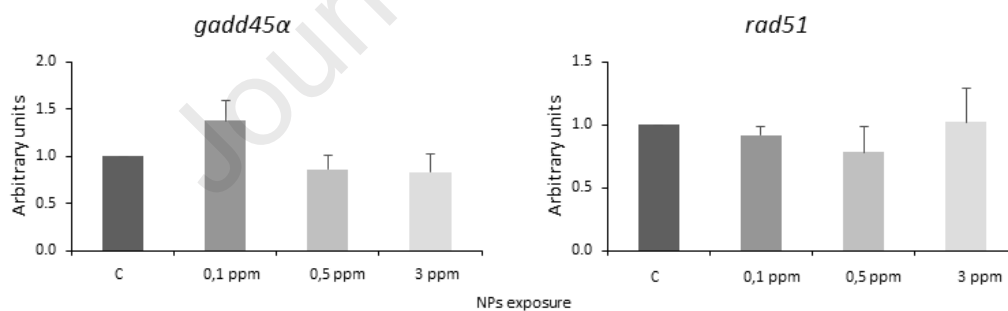
245

246 **Fig. 3.** Expression of *SOD 1*, *SOD 2* and catalase genes. Asterisks indicate statistical differences compared to
 247 controls ($p < 0.05$).

248

249 3.6. DNA Damage response: *gadd45a* and *rad51*

250 DNA damage activates DNA repair mechanisms, and in this study we analysed two genes related to this
 251 DNA repair process, *gadd45a* and *rad51*, in *Zfe* treated with different concentrations of PS NPs. *Rad51*
 252 is a gene involved in DNA repair of double-strand breaks, and its malfunction is linked to diseases such
 253 as cancer (Bonilla et al., 2020). *Gadd45a* is activated following DNA damage (Salvador et al., 2013).
 254 The results of this study showed that *gadd45a* mRNA levels were increased at the lowest NP
 255 concentration and inhibited at the two highest NP concentrations, although in neither case significantly.
 256 In the case of *rad51*, transcriptional activity decreased non-significantly at 0.1 and 0.5 ppm NP (Figure
 257 4). The down-regulation of *gadd45a* and *rad51* seems to indicate that DNA repair mechanisms are being
 258 altered by the presence of NPs, affecting the general DNA repair capacity. At this stage, it cannot be
 259 established that NPs damage DNA, although it has been reported that they are capable of producing
 260 ROS (Liu et al., 2020; Lu et al., 2016; Yu et al., 2018; Poma et al., 2019; Im et al., 2022) and this may
 261 induce DNA damage (Romdhani et al., 2022). However, further research is needed to fully understand
 262 the mechanisms involved.



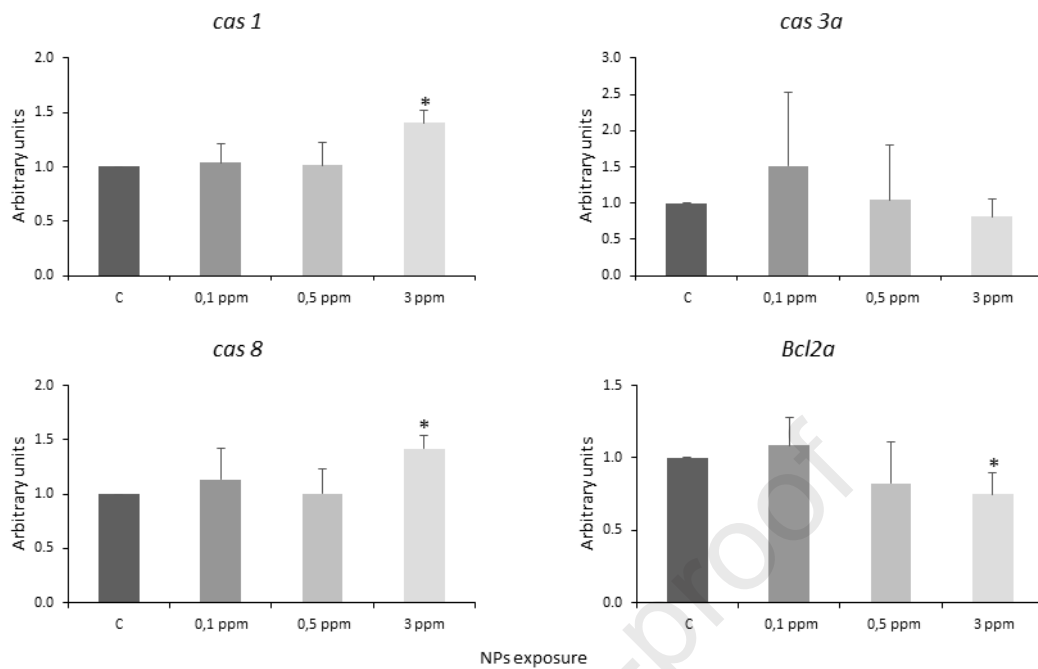
263
 264 **Fig. 4.** Expression of *gadd45a* and *rad51* genes. Asterisks indicate statistical differences compared to controls (p
 265 < 0.05).

266 267 3.7. Apoptotic response: caspases and anti-apoptotic genes

268 Caspases are a family of highly conserved, cysteine-dependent intracellular proteases, well known for
 269 their role in inflammatory responses and apoptosis (McIlwain et al., 2013). Caspases are classified as
 270 inflammatory (Caspases-1, -4, -5 and -12), initiators (Caspases-2, -8, -9 and -10) or apoptosis

271 executioners (Caspases-3, -6 and -7) (Spead et al., 2018). However, caspases (cas) have also other
272 functions in cell proliferation, tissue regeneration and repair, differentiation, motility, and migration
273 (Spead et al., 2018). In this study, we have analysed the expression changes of three caspases (*cas 1*,
274 *cas 3a* and *cas 8*) involved in inflammatory response and apoptosis mediation. The results show a
275 significant activation of *cas 1* and *8* at the highest NP concentration of 3 ppm (Figure 5). In the case of
276 *cas 3a*, we observed an increase in expression at the lowest concentration and inhibition at the highest
277 concentration, although these changes were not significant (Figure 5). Caspase-1 has an inflammatory
278 function that is activated by inflammasome complexes in response to pathogens or endogenous factors
279 (Sun et al., 2016). Inflammation is a mechanism responsible for defence against pathogens and noxious
280 agents, including xenobiotic or toxic compounds. Caspase-8 induces apoptosis as a consequence of cell
281 membrane rupture (extrinsic pathway). Caspase-8 initiates the apoptotic cell signalling pathway in
282 vertebrates and is involved in the control of cell death. However, it has also been found to be involved
283 in the optimal transcription of certain inflammatory cytokines (Spead et al., 2018; Orning and Lien,
284 2021). Recently, an increase in *cas 8* expression has been described after NPs exposure from *P. lividus*
285 hedgehog embryos (Della Torre et al., 2014), according to the results obtained in our study. Caspases
286 are specific initiators of cellular apoptosis and inflammatory responses (Riedl et al., 2004). Different
287 types of caspases are activated depending on the organelle in which they trigger apoptosis. The up-
288 regulation of caspase-8 induced by NPs in Zfe may be due to cell membrane rupture and/or
289 inflammasome activation. Previous studies suggest that caspase-8 may mediate IL-1 β and IL-18
290 processing, independently of the conventional inflammasome and cas 1 pathway (Maelfait et al., 2008;
291 Orning and Lien, 2021). Our results show, for first time, that PS NPs induce the activation of *cas 1* and
292 *cas 8* genes involved in inflammatory processes related to the inflammasome and the initiation of
293 apoptosis in Zfe. A possible hypothesis for the response of *cas 3a* in this study could be that this
294 executioner protein is activated at longer exposure times. In view of the response of caspases to the
295 presence of NPs, the role of these proteins in inflammatory processes and cell apoptosis needs to be
296 further investigated.

297 On the other hand, *Bcl2a* belongs to the family of anti-apoptotic genes whose function is to inhibit pro-
298 apoptotic genes (Youle et al., 2008). In this study, we evaluated the response of *Bcl2a* mRNA expression
299 after exposure of *Zfe* to NPs. The results show a down-regulation of its expression at 0.5 and 3 ppm,
300 only significant at the highest concentration used (Figure 5). These results suggest that NPs inhibit the
301 expression of this anti-apoptotic gene. The down-regulation of this gene supports the result obtained in
302 our study with the antiapoptotic gene *hsp70*. In both genes (*hsp70* and *Bcl2a*) an inhibition of mRNA
303 expression is observed. The down-regulation of these antiapoptotic genes may be related to an activation
304 of apoptosis. It would suggest that NP inhibit the expression of these antiapoptotic proteins. It is likely
305 that the down-regulation of both anti-apoptotic genes (*hsp70* and *Bcl2a*) is due to altered apoptosis. The
306 activation of apoptosis may need inhibition of antiapoptotic proteins. The increased mRNA levels
307 observed for *cas 1* and *cas 8* support this idea because they are key proteins in apoptotic signal
308 transduction and execution (Spead et al., 2018). A previous study in *Zfe* has shown that NPs induce the
309 expression of other apoptosis-related genes (Bhagat et al., 2021). It can be suggested that activation of
310 caspases and down-regulation of *hsp70* and *Bcl2a* genes show the progression of apoptosis. The failure
311 of DNA repair genes to be activated could explain, in part, the activation of apoptosis as a consequence
312 of the cell's failure to repair DNA double-strand breaks. All these events could be the consequence of
313 elevated ROS production that can stimulate apoptosis and inflammation (Lu et al., 2018; Redza-
314 Dutordoir and Averill-Bates, 2016). Further research is needed to gain insight into each of the above
315 proposed mechanisms.



316

317 **Fig. 5.** Expression of *caspases (1, 3a and 8)* and *Bcl2a* genes. Asterisks indicate statistical differences compared
 318 to controls ($p < 0.05$).

319

320 **3.8. Inflammatory, neurotransmission and mitochondrial response: *il1 β* , *ache* and *cox1***

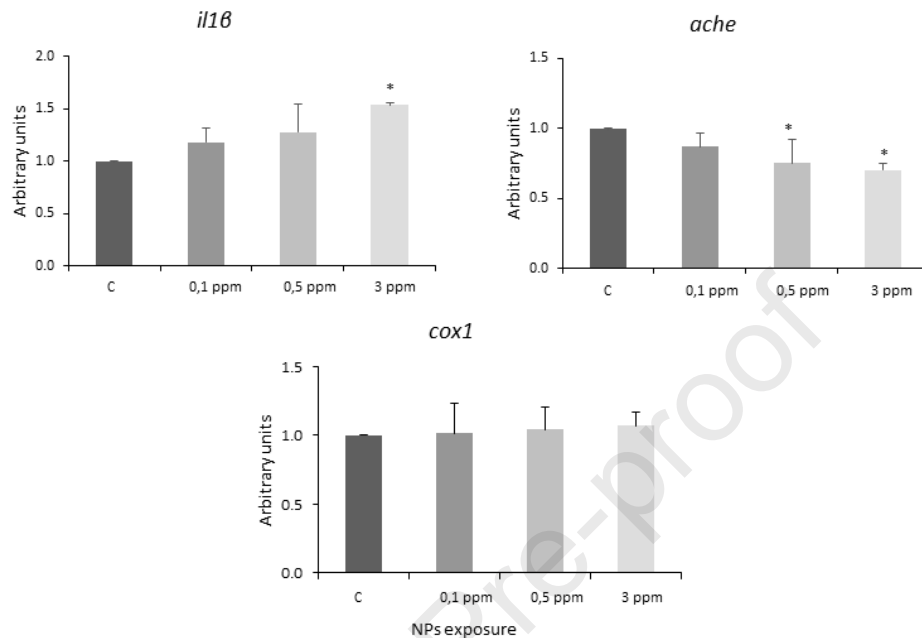
321 In addition, other genes, *il1 β* , *ache* and *cox1*, related to inflammation, neurotransmission, and
 322 mitochondrial metabolism, were analysed (Figure 6). Our results show that *Il1 β* was up-regulated, in
 323 concentration-dependent manner, in Zfe exposed to NPs. Moreover, at the highest concentration of NPs
 324 the expression of *il1 β* is significantly increased. Pathogens entering an organism can trigger a
 325 nonspecific inflammatory response that is mediated by the proinflammatory cytokine interleukin-1 β
 326 (Il1 β). The response of cells to the presence of NPs could generate an inflammatory response like that
 327 of an infection. Activation of the inflammatory response has been previously described in Zf exposed
 328 to MPs (Qiang and Cheng, 2019) and NPs (Bhagat et al., 2021, Brun et al., 2018). Our results are in
 329 agreement with these findings, suggesting that NPs could activate an inflammatory response in the cell.
 330 Taken together, our results show that NPs induce the expression of three proteins involved in
 331 inflammatory response: CAS 1, CAS 8 and IL1 β . Caspase-1 has an inflammatory function that is
 332 activated by inflammasome complexes in response to pathogens or endogenous factors. The

333 inflammasome promotes the maturation of proinflammatory cytokines such as interleukin 1- β and
334 interleukin-18 (Sun et al., 2016). Recent studies show that caspase-8 has several key roles in
335 inflammation and immunity (Orning and Lien, 2021).

336 Acetylcholinesterase (*ache*) is an enzyme belonging to the cholinesterase family and its primary function
337 is to degrade Acetylcholine (*ache*) and terminate neurotransmission. This enzyme is essential for the
338 proper functioning of the nervous system of both vertebrates and invertebrates (Massoulié et al., 1993,
339 Deng et al., 2017; Lei et al., 2020; Chen et al., 2017; Sarasamma et al., 2020). The inhibition of its
340 activity leads to the accumulation of acetylcholine and to very severe muscle deterioration (Massoulié
341 et al., 1993). Our results at the molecular level showed that the expression of *ache* mRNA was inhibited.
342 Especially significant down-regulation occurs at the two highest concentrations of NPs used. These
343 dysregulations raise the possibility that exposure to NPs may induce adverse effects on
344 neurotransmission. Previous studies in several marine organisms such as *Hediste diversicolor* (Missawi
345 et al., 2021), *Scrobicularia plana* (Ribeiro et al., 2017), *Mytilus galloprovincialis* (Avio et al., 2015) and
346 *Eriocheir sinensis* (Yu et al., 2018) showed neurotoxicity induced by environmental MPs due to
347 inhibition of AChE activity. Recent reports suggest that MPs and NPs can inhibit *ache* enzymatic activity
348 and generate changes in neurotransmitter levels (Prüst et al., 2020). Our data are in agreement with
349 previous results obtained with NPs in the down-regulation of *ache* mRNA levels in *Zfe* (Bhagat et al.,
350 2021). Future research should be conducted to further investigate the neurotoxic effects of these
351 materials.

352 Cytochrome c oxidase (COX) has a regulatory role in electron transport (Little et al., 2010). However,
353 little is known about the role of COX1 in the response to pollutants. Some studies have shown that many
354 drugs and xenobiotics inhibit COX activity and produce mitochondrial stress, but their mechanisms of
355 action are unknown (Chandran et al., 2009; Srinivasan et al., 2012). There are no previous data in the
356 literature on *cox1* expression in response to NPs in *Zfe*. However, Parenti et al (2019) found decreased
357 COX activity in *Zfe* exposed to 500 nm NP, and other mitochondria alterations have been observed in
358 response to NP exposure (Trevisan 2019, 2020). Our results showed that *Zfe* exposed to the

359 concentrations and times of the study did not modify *cox1* expression. These results contradict previous
 360 data and possible differences in NP size and/or concentrations could be responsible for these differences.



361
 362 **Fig. 6.** Expression of *Il1β*, *ache* and *cox1* genes. Asterisks indicate statistical differences compared to controls (p
 363 < 0.05).

364

365 4. Conclusions

366 In this study, the effects of PS NPs on oxidative stress response genes, cellular stress, DNA damage,
 367 apoptosis, anti-apoptosis, inflammatory, mitochondrial and neurotoxic responses were evaluated.

368 The PS NPs concentrations used in this study did not affect Zfe mortality but were able to alter the
 369 expression of genes involved in oxidative stress, anti-apoptosis, inflammatory response, apoptosis, and
 370 neurotoxicity. The greatest changes in gene expression were observed after exposures to the highest
 371 concentration of NPs, although this concentration is very possible in nature. Significant changes were
 372 observed in *hsp70*, *SOD 1*, *SOD 2*, *cas 1*, *cas 8*, *Bcl2a*, *il1β* and *ache* genes. The expression of *hsp70*,
 373 *il1β* and *ache* was modified in a dose-dependent manner at the concentrations studied. The NPs did not
 374 affect the expression of *hsp27*, *hsp90*, *cat* and *cox1* genes.

375 Taken together, our results of this work suggest that NPs may induce oxidative stress that could lead to
 376 activation inflammatory response and apoptosis, together with inhibition of anti-apoptotic genes. In

377 addition, these nanomaterials affect neurotoxicity. However, the specific mechanisms of action of these
378 emerging contaminants need to be studied in more detail. Further research is needed to unravel the
379 relevance of each of the mechanisms postulated above. Our results show the advantages of molecular
380 techniques to assess the effect of NPs and show the risk that these emerging pollutants pose to living
381 organisms.

382 **Credit author statement**

383 *All authors contributed to the conception and design of the study. Raquel Martín Folgar:*
384 *Conceptualization, Methodology, Analysis, Research, Writing - preparation of the original draft,*
385 *Writing - review and editing Resources. Mónica Torres-Ruiz: Methodology, Analysis, Research, Writing*
386 *- review and Characterization of carbon nanomaterials. Ana I. Cañas-Portilla: Methodology, Writing -*
387 *review and Supervision. Mercedes de Alba: Methodology, Analysis, Research, Writing – review. M^a*
388 *Carmen González: Methodology, Analysis, Research. Monica Morales: Conceptualization,*
389 *Methodology, Writing of original draft, Preparation, Supervision.*

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394 **Conflict of interest**

395 The authors declare that they have no conflicts of interest.

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- PS NPs exposures did not significantly affect Zfe mortality
- NPs increased oxidative stress genes (*SOD 1*, *SOD 2*)
- NPs down-regulated antiapoptotic genes (*hsp70*, *Bcl2a*)
- DNA repair (*gadd45α*, *rad51*) and mitochondrial (*cox1*) genes were not altered
- NPs activated the inflammatory and apoptosis response through *cas1*, *cas8* and *IL1β*

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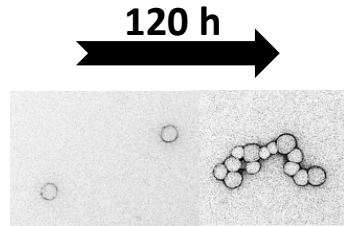
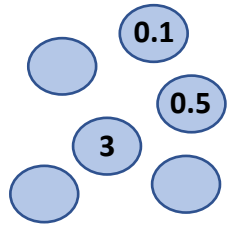
Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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30 nm Nanoplastics (ppm)



Zfe



Zfe Cell

