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1 **Deep-Sequencing Analysis of the Dynamics of HIV-1 Quasiespecies in Naive**
2 **Patients during a Short Exposure to Maraviroc.**

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20 **Running title:** Evolution of HIV-1 tropism in naïve-patients after Maraviroc

21

22

23 **Abstract**

24 In this study we have characterized quasispecies dynamics and the evolution of viral
25 tropism in naive HIV-1-infected patients treated with a short-course of maraviroc
26 monotherapy (NCT01060618) independently of their tropism. We randomly selected 20
27 patients displaying different basal tropism -10 R5 and 10 DMX4- at recruitment as
28 determined by phenotypic tests (Trofile[®]). Evolution of viral quasispecies at the end of
29 treatment was determined by ultra-deep sequencing of the V3 region using a 454 Life
30 Sciences Platform and geno2pheno (g2p) algorithm for viral tropism prediction. False
31 Positive Rate (FPR) that defines the probability of classifying an R5-virus falsely as X4
32 was set at 10% .Viral load (VL) X4 was calculated from sequences with FPR < 3.75.

33 Virological response as defined as >1 log₁₀ reduction in VL was detected in 70% of
34 patients independently of their basal tropism. Viral tropism remained stable and non-
35 significant differences in FPR values before and after treatment was found for the
36 majority of patients in both tropism groups. Only 3 patients (1 R5 and 2 DM/X4)
37 showed an increased (>1log) VL-X4 and one patient with DM/X4 tropism displayed a
38 significant reduction in FPR values at the end of treatment. Fast changes in the
39 composition of viral populations were observed in all patients after 10-day of MVC
40 monotherapy treatment and a complete replacement of viral quasispecies was found in
41 3/10 patients carrying R5-using viruses and 4/10 DM/X4-using viruses.

42 **Importance**

43 Initiation of treatment with maraviroc requires previous determination of tropism by
44 genotypic or phenotypic methods because the risk of treatment failure and selection of
45 DM/X4-tropic variants. In this study we confirm previous work showing that virologic

46 response to maraviroc is independent of basal tropism. By deep-sequencing analysis we
47 determined that fast changes in viral populations were due to the emergence of minority
48 variants in some patients whereas in others generation of new strains were detected. The
49 risk of DM/X4 selection was very low as FPR values remained stable and only one
50 patient showed a detrimental switch to DM/X4 variants. Our data show that some
51 DM/X4 viruses are sensitive to maraviroc treatment probably because only a low
52 proportion of DM/X4 uses preferentially the X4 receptor and contain authentically
53 maraviroc-resistant viruses that are not accurately detected by current assays.

54

55 **INTRODUCTION**

56 Entry of human immunodeficiency virus type 1 (HIV-1) into target cells is dependent
57 on the binding of the envelope glycoprotein to the CD4 receptor and to one or both
58 chemokine receptors CCR5 or CXCR4^{1,2,3}. Accordingly HIV-1 isolates are classified as
59 R5 tropic and X4 tropic (using only the CCR5 or CXCR4, respectively), and dual/mixed
60 (DM) (using both co-receptors)⁴. In the majority of patients R5 variants predominate
61 during the early stage of infection while DM/X4-tropic viruses are usually found in
62 advanced stages^{5,6,7,8} and are associated with higher VL, a rapid decrease in CD4⁺ cell
63 counts and faster clinical progression to AIDS^{5,9}. The prevalence of R5, X4 and
64 DM/X4-using viruses is different according to patient's characteristics. In treatment-
65 naive patients, the prevalence of R5-using viruses is 80-90% compared to 50-55% in
66 treatment-experienced patients^{5,10,11,12}. Maraviroc (MVC, Celsentri outside US, Viiv
67 Healthcare, UK) is the first licensed drug of a class of HIV-1 inhibitors called CCR5
68 antagonists¹³. This drug was approved for treatment-experienced adult patients infected
69 with only R5-tropic HIV-1 detectable^{14,15}. Determination of viral tropism prior to

70 initiation with MVC is absolutely required and can be assessed by phenotypic and
71 genotypic assays^{16,17}. Genotypic assays, such as standard genotyping or deep
72 sequencing, are based on the sequence of the third variable (V3) loop of the HIV-1
73 gp120. Several bioinformatics methods have been proposed over the years and are
74 available as online tools¹⁸⁻¹⁹; geno2pheno (g2p) algorithm is the most frequently used
75 one.

76 Standard genotypic methods have low sensitivity to detect minority variants below 15–
77 20% of the viral population. Deep sequencing increases the sensitivity of detection of
78 minority variants of CCR5 and CXCR4-tropic viruses. The emergence of DM/X4-using
79 viruses during MVC therapy could be attributable to outgrowth of pre-existing minority
80 variants or to the generation of resistant viruses leading to treatment failure²⁰. We have
81 previously shown that response to a short course of MVC-monotherapy was
82 independent of viral tropism in treatment-naïve patients. Trofile® report highlighted the
83 fact that majority of X4-tropic variants were detected at a low level suggesting that none
84 was pure X4-tropic virus so that Trofile® assay cannot be used as a marker of viral
85 tropism in this population²¹. The main goal of the present study was to characterize the
86 dynamics of quasispecies variation in a subgroup of HIV-1-naive patients treated with a
87 short course of MVC-monotherapy by using ultra deep V3-pyrosequencing (454-UDS)
88 in combination with coreceptor prediction tools (g2p). We examined populations
89 emerging after treatment in order to identify potential switching from CCR5 to CXCR4
90 or rapid selection of minority pre-existing CXCR4-tropic variants.

91 **MATERIAL AND METHODS**

92 *Population study and biological samples*

93 Samples from twenty treatment-naive patients from an open-label Phase II clinical trial
94 (TROPISMVC, NCT01060618) conducted at Hospital Universitario Ramón y Cajal–
95 IRYCIS and Hospital Universitario La Paz–IdiPaz (Madrid, Spain) between 2008 and
96 2012 were randomly selected for this sub-study keeping the same proportion of R5 and
97 DM/X4 strains at basal level as determined by phenotypic tests. This trial has been
98 previously published²¹. Briefly, written informed consent was obtained from all
99 individuals, the study was approved by the Ethical Committee of Hospital Universitario
100 Ramón y Cajal–IRYCIS and all data were analyzed anonymously. Eligible patients
101 were aged ≥ 18 years old, had chronic HIV-1 infection and had not received previous
102 ART. After the initiation of the study, patients received open-label MVC monotherapy
103 (300 mg-daily) for 10 days. At all-time points during the study, plasma VL was
104 measured using bDNA technology (VERSANT® HIV-1 RNA 3.0 Assay, Siemens
105 Healthcare Diagnostics Inc., Tarrytown, NY, USA) and CD4 cell counts were
106 quantified by flow cytometry. Plasma samples before and at the end of treatment were
107 sent to Monogram Biosciences® to determine phenotypic tropism assay by Trofile®
108 assay. This phenotypic assay uses virus stocks pseudotyped that express patient-derived
109 envelope proteins to infect cell lines engineered to express CCR5 or CXCR4. Patients
110 were grouped according to the R5 or non-R5 analysis results obtained by Trofile®
111 plasma samples from 20 patients were collected and re-assessed retrospectively by deep
112 sequencing at two time points (day 0 –basal-, day 10 -end treatment-)

113 ***Ultra Deep Sequencing (UDPS)***

114 UDPS analysis of the V3 region was performed on the 454 Life Sciences Platform (GS-
115 FLX; Roche Applied Science). A 273-nt fragment encompassing the V3 region
116 generated by nested PCR from an external PCR product containing the entire *env* gen
117 (3,464 nt). Primers for nested PCR were designed including fusion primers, a key

118 sequence to allow multiplexing and a template-specific primer (MID) for amplifying the
119 region of 273-nt fragment containing the V3 env-region. The PCR products were
120 purified with the GFX Purification PCR-DNA and Gel Band purification kit (G.E.
121 Healthcare) and quantified with Quant-iT Picogreen dsDNA assay kit (Invitrogen).
122 Pooled PCR products were clonally amplified on capture beads in water-oil-emulsion
123 micro-reactors. A total of 700,000 HIV-1 *env* enriched-DNA beads were deposited in
124 the wells of each process of deep sequencing with Roche 454-FLX machine using
125 Titanium chemistry.

126 Raw read files (SFF files) were converted to fastq file format with `sff_extract` script
127 (`seq_crumbs`). Samples were demultiplexed with an in house Perl script, allowing no
128 mismatches in the barcode sequence. Adaptors, primers and barcode sequences were
129 trimmed with an in house Perl script. Only sequences including the full V3 region were
130 considered. Then, sequences were clustered within 100% identity and counted with an
131 in house Perl script. Clusters with less than 1% of the reads were not considered for a
132 further analysis.

133 ***Genotypic prediction of viral tropism***

134 The prediction of HIV co-receptor usage was carried out by the `g2p`
135 (<http://coreceptor.geno2pheno.org/index.php>). The algorithm predicts genotypic tropism
136 according to data-base containing V3 sequences of known phenotypic tropism. This tool
137 was applied only to those sequences detected with a frequency above 1% at each
138 sampling time. The result is a quantitative value called the False Positive Rate (FPR)
139 that defines the probability of classifying an R5-virus falsely as X4. The FPR cut-off
140 used for discrimination between CCR5 and CXCR4 was set at 10% in our assay.
141 (Recommendations from the European Consensus Group

142 on clinical management of HIV-1 tropism testing). In this cut-off FPR a value below
143 9.99 is predictive for an X4 virus and a value above 10 reflects an R5 virus.

144 This mean score was used to estimate the concordance between phenotypic tropism
145 (Trofile[®]) and genotypic tropism (g2p) and evolution of V3 sequences during MVC-
146 monotherapy treatment.

147 *X4-specific plasma VL*

148 X4-specific HIV-1 VL was calculated by multiplying the number of sequences with a
149 frequency >1% and a FPR value below 3.75. This more stringent cut-off was chosen
150 because provides unfailing discrimination between R5 and X4 sequences and MVC
151 clinical trials have showed strong association with virological outcomes.

152 *Phylogenetic analyses*

153 The alignment of the reads above 1% from all samples was performed with MAFFT
154 multiple sequence alignment program. Phylogenetic trees were constructed through the
155 FastTree program (open-source software). The phylogeny of each dataset was inferred
156 by an approximately maximum likelihood (ML) method using FastTree software
157 version 2.1.7 and employing the general time reversible (GTR) substitution model with
158 CAT approximation for among-site rate heterogeneity. The trees were visualized and
159 edited using FigTree software (FigTree v1.4.0 program).

160 *Statistical analysis*

161 The main outcome measurement was the decay of the log₁₀ HIV-1 VL between baseline
162 and day 10 corresponding with end treatment. Decay >1 log₁₀ was considered clinically
163 significant and was used as the cut-off. Comparison of log₁₀ VL decay between the R5
164 and DM/X4 groups was done by means of the Mann–Whitney U-test, with a 5%

165 significance level. FPR values obtained by g2p for baseline and end-treatment samples
166 were also compared by Mann–Whitney U-test, with a 5% significance level.

167 **RESULTS**

168 *Study population and samples*

169 The clinical characteristics of patients recruited in the NCT01060618 trial have been
170 previously described²¹. Briefly, median age of selected patients was 37 years (range 23-
171 53), 85% were males and in 90% HIV-1 transmission was produced by sexual
172 intercourse. Mean baseline HIV-1 VL was 4.3 log₁₀. All of them were treatment-naïve
173 patients and were treated with a 300 mg-daily dose of MVC-monotherapy for 10 days.

174 *Viral tropism (Trofile[®]) and virological response*

175 Viral tropism at baseline and at the end of treatment was determined by Trofile[®] assay
176 (Monogram Biosciences[®]). At baseline, 10 patients displayed R5-tropism and same
177 numbers of patients were infected by DM/X4-using virus (Table 1). In 3 patients (1 R5
178 and 2 DM/X4-using virus) the result was non-reportable (NR) at the end of treatment
179 that is a common result for this technique in plasma samples with VL ≤1.000
180 copies/mL. A change in HIV tropism according to Trofile[®] test was observed in one
181 patient initially infected with R5-tropic strain and 2 individuals with DM/X4 tropic
182 viruses (Table 1). However, in these three patients a significant decrease in VL (>1
183 log₁₀) was produced by short-course of MVC-monotherapy treatment (Fig. 1).

184 Virological response as defined as >1 log₁₀ reduction in VL was detected in 80% and
185 60% of patients with an R5 and DM/X4-tropic viruses respectively. Median baseline
186 and end-treatment VL were 16.157 copies/mL (range 2.169-345.414) (4,21 log₁₀) and
187 1.217 copies/mL (range 150-38.530) (3.09 log₁₀), respectively (Fig. 1). Mean VL

188 differences were statistically significant between basal and end-treatment samples for
189 patients carrying either R5 or DM/X4-tropic viruses. However, no statistical difference
190 in median VL decrease was found between patients displaying different tropism.

191 ***Genotypic prediction of viral tropism and evolution of V3 sequences during MVC***
192 ***treatment by UDPS.***

193 In order to define the evolution of viral tropism during the 10-day MVC-monotherapy
194 treatment the following time points were assessed by UDPS: pre-treatment (baseline),
195 and end of treatment (day 10).

196 Overall 20 samples were processed using GS FLX Titanium and 439,039 sequences
197 were generated with a length average of 284 bp (range 278 bp to 291 bp). After
198 processing and quality control approximately one third of the total sequences obtained
199 were selected for the study of viral variability. Between 3,049 and 44,547 reads of the
200 V3 loop were generated for each sample. Only sequences that were above 1% of the
201 overall number of reads were considered for g2p analysis (FPR 10%) and a median
202 value was calculated for each time point.

203 Concordance between genotypic prediction of HIV-1 co-receptor usage by g2p and
204 phenotypic prediction by Trofile[®] was evaluated. We excluded end-treatment samples
205 not reportable by Trofile[®] (R5-8, DM/X4-3 and DM/X4-4). The results were concordant
206 in a 76% and 53% for baseline and end-treatment samples respectively.

207 At baseline, in two patients with R5 tropism by Trofile[®] a full X4 tropism was predicted
208 by g2p on UDPS sequences (R5-1, R5-8) (Table 1 and figure 2). In these patients 100%
209 of amplified V3 sequences were defined as X4 because their FPR was below 10 (Table
210 2). In both patients a significant decrease in viral load ($>1 \log_{10}$) was observed (Figure
211 1). In four patients with DM/X4 tropism by Trofile[®] their FPR was in the R5 range

212 (patients DM/X4 2-3-4-7) (Figure 2). Maraviroc treatment resulted in a significant
213 decrease in viral load in all patients excepting patient DM/X4-3 (Figure 1).

214 Regarding evolution of FPR under MVC pressure (end-treatment, 10 days) FPR were
215 maintained in all patients independently of their basal tropism (Figure 2) whereas a
216 decrease of more than 50% in the score was observed in three patients (R5-9, DM/X4-3
217 y DM/X4-7) but only in DM/X4-3 the FPR dropped into the X4 range (Figure 2).

218 Table 2 summarizes the dynamics of DM/X4 variants at the different time points. Half
219 of patients in the R5-group and all patients in the DM/X4 group displayed DM/X4
220 variants by g2p analysis of sequences obtained by UDPS (between 20 and 100%). In 2
221 of 5 samples with 100% R5 variants before drug pressure (R5-6 and R5-9) an
222 emergence of DM/X4 using viruses were detected at end-MVC treatment.

223 The result of HIV-1 quasispecies composition analyzed in 10 patients classified in the
224 DM/X4 group by Trofile[®] showed a frequency of 100% X4-using variants by deep
225 sequencing in half patients in baseline samples (Table 2). In all but one of these patients
226 (DM/X4-10) a decrease in X4-variants was found at the end-MVC treatment whereas an
227 increase in X4-using variants from 29% to 100% was observed only in a patient
228 (DM/X4-3) and—correlated with absence of virological response. In four patients the
229 proportion of X4-tropic sequences was similar at baseline and end treatment samples.
230 Overall, MVC treatment increased the proportion of X4 sequences at the end of
231 treatment as compared to baseline in only one patient in the DM X4 group (DM X4-3)
232 and two patients in the R5 group (R5-6 and R5-9).

233 ***X4-specific plasma VL.***

234 To assess with a different parameter the impact of MVC treatment on viral
235 quasispecies reported DM/X4 by Trofile we estimated X4-specific HIV-1 VL was by

236 multiplying the number of sequences with a frequency >1% and a FPR value below
237 3.75. Instead of a FPR<10 to define DM/X4 variants, his more stringent cut-off was
238 chosen because provides unfailing discrimination between R5 and X4 sequences and
239 MVC clinical trials have showed strong association with virological outcomes.X4-
240 specific plasma VL was detected in 14 patients (4 R5 and 10 DM/X4 group) (Fig. 3). A
241 decay >0.5 Log₁₀ X4-specific VL was found in DM/X4-group at end-treatment
242 samples (0.61, p<0.001). Three patients (R5-1, DM/X4-1 and DM/X4-2) showed an
243 increased in X4-specific plasma VL (2.24, 2, 0.7 and 1.37 log) at end-treatment (Fig.3).

244 *Phylogenetic analysis.*

245 The inference of the evolutionary history for each dataset was studied at baseline
246 (green) and once treatment with 10-day MVC-monotherapy had been completed
247 (orange). The results of phylogenetic tree revealed two different models, independently
248 of phenotypic tropism. On one hand, 2 out of 10 R5- and 6 out of 10 DM/X4-tropism
249 patients showed a topology where baseline and end-treatment samples are clearly
250 separated. An example of each viral tropism is shown in Figure 4A and 4B. These
251 phylogenetic trees are formed by variants present prior to MVC-monotherapy that were
252 not detected at end-treatment and new variants that appear after drug pressure but which
253 were not detected before treatment. On the other hand, 8 out of 10 R5- and 4 out of 10
254 DM/X4-tropism patients showed a phylogenetic tree with a clear intermingling of
255 sequences from different treatment sampling time-points, indicating the existence of
256 various viral variants that persist along the treatment.

257 **DISCUSSION**

258 In this study, we provide a detailed analysis of co-receptor usage evolution during a
259 short exposure to MVC monotherapy in naïve-patients with the main purpose of

260 identifying potential switch from CCR5 to CXCR4 or rapid selection of minority
261 CXCR4 pre-existing strains. The appearance of DM/X4-using variant during this
262 treatment was analyzed using V3 sequences generated by deep sequencing from plasma
263 samples and a V3-based co-receptor prediction tool (g2p). The use of deep sequencing
264 in this study allowed the detection of minority variant that were not reported using
265 conventional sequencing assays.

266 A major strong point of this study is that naive patients were selected from an open-
267 label Phase II clinical trial permitting access to plasma samples from the same time
268 point and establishing an HIV-1 evolution specific of MVC pressure.

269 A first finding of our study is that virologic responses were similar between tropism
270 groups as classified by phenotype Trofile[®] test. The decrease in VL from baseline was
271 $>1 \log_{10}$ copies/mL even in the DM/X4 group. Only in three out of ten patients in this
272 group VL was not significantly modified. A tropism switch in Trofile[®] result was
273 observed in three patients. The change from R5 to DM/X4 observed in a patient R5-10
274 in which 60% of basal sequences were in the DM/X4 range as determined by UDPS
275 suggests the selection of more tropic X4 variants during MVC treatment. A switch from
276 DM/X4 to R5 was observed in 2 patients (DM/X4-1 and DM/X4-6) in whom FPR
277 values increased with MVC treatment suggesting that some DM/X4 viruses were
278 sensitive to maraviroc increasing the proportion of R5-tropic strains. Alternatively the
279 emergence of R5-resistant variants to maraviroc cannot be excluded but the decrease in
280 viral load favors the first hypothesis, Previous studies reported that conversion from X4
281 to R5 variants is observed shortly after the initiation of antiretroviral therapy, before
282 complete suppression of plasma viraemia^{22,23}.

283 Our analyses of variants obtained by deep sequencing show a fast change in the
284 composition of viral populations in all patients during a 10-day MVC-monotherapy.
285 Together with VL decrease these data suggest both a quantitative and a qualitative
286 impact of MVC treatment on the kinetics of viral quasiespecies independently of
287 baseline viral tropism.

288 In 5 out of 10 patients infected with R5-tropic variants according to Trofile[®] DM/X4
289 variants were detected by UDPS above 25% of all the sequences analyzed. We thus
290 confirmed UDPS is more sensitive in the detection of minor variants as compared to
291 phenotypic testing. In two of these patients (R5-1 and R5-8) a full discordance was
292 found between Trofile[®] and g2p as predicted DM/X4-using variants were 100% by
293 UDPS with a median g2p score of 9 and 3.54 respectively. This discrepancy could be
294 explained by a bias in the amplification and/or cloning of the viral quasiespecies in these
295 patients or to a preferential use of the CCR5 receptor despite the FPR. In the UDS
296 analysis a large diversity of X4-tropic variants was detected thus excluding an
297 amplification bias using this technique. The decrease in viral load, points to a
298 susceptibility of HIV variants to MVC even if they display a DM/X4 genotype by g2p
299 that would be related with a preferential use of the CCR5 receptor. In the rest of patients
300 (R5-group) there is a coexistence and coevolution of R5 and DM/X4-using variants.
301 Actually, In more than half of the patients in both groups (70% R5 and 60% X4) the
302 variants that emerge do not evolve *de novo* as a result of the drug pressure; rather they
303 emerge from pre-existing minor-viral populations present prior to monotherapy with
304 MVC. These results suggest different susceptibility to this drug of both R5 and DM/X4
305 populations that share a preferential use of the CCR5 receptor.

306 One of the main questions in our study was to determine the significance and the
307 clinical relevance of minority variants before and during CCR5 antagonist treatment.

308 We show that emergence and long-term predominance of CXCR4-using variants are not
309 favored by MVC pressure in the majority of patients independently of basal tropism. In
310 only two patients (R5-6 and DM/X4-3) a significant switch in the proportion of X4-
311 tropic variants and a decrease in FPR were detected. This observation is clinically
312 relevant because CXCR4-use is a prognostic factor for disease progression, predictive
313 trait for CCR5 antagonist failure, and represents a prevalent escape pathway for
314 treatment with CCR5-inhibitors⁵⁻⁹.

315 The phylogenetic tree topology obtained with these naïve-patients provides two
316 scenarios of viral evolution independently of baseline tropism. A first scenario shows a
317 replacement of viral population (R5 and DM/X4-using variant) at each time-point. An
318 explanation to this event could be that these variants are not replaced but were
319 undetected because in our assay the depth of sequencing was not enough. Another
320 possibility is that after short exposure to MVC new minority variants merge as a result
321 of drug pressure. A second evolutionary pattern points to the persistence of specific
322 viral variants despite treatment suggesting lower susceptibility to MVC in both R5 and
323 DM/X4-using variants.

324 In our study, we expected to find an increase in X4-specific plasma VL as a result of an
325 increase of DM/X4-using variants and a decrease of R5-using variants. However a
326 decrease of $> 1 \log_{10}$ in total viral load and a decay $>0.5 \log_{10}$ X4-specific VL was
327 found in DM/X4-group at end-treatment. Given these results, it is reasonable to assume
328 those patients with DM/X4-tropism might benefit from treatment with an antagonist of
329 CCR5.

330 One of the limitations of this study is that the use of deep sequencing was restricted to
331 the V3-loop but other regions of HIV-1 envelope, such as V1V2, may be important in

332 coreceptor usage. The large volume of sequences obtained by UDPS is unfeasible for
333 the genotypic determination of HIV-tropism and to establish clinical significance. We
334 addressed this conflict by limiting the analysis to sequences that are above 1% of total
335 sequences obtained. The clinical relevance of very low minority variants is yet under
336 debate. Currently it is not known the X4 variants proportion above which an individual
337 simply can be called X4 with confidence. Our study suggests that variants below 1% do
338 not have significant clinical relevance. Other limitation of this study is the lack of
339 standardized cutoffs for the FPR value chosen for the g2p algorithm could affect the
340 results. Some studies have considered a FPR of 3.5 or 5%^{24,25}, In this work we have
341 chosen a FPR of 10% because is the cutoff considered in clinical studies.

342 The decrease of VL (total and X4) and minor DM/X4-using variant observed in both
343 tropism groups suggests a treatment benefit for DM/X4-group patient. However, our
344 results could be related to short exposure to MVC and we cannot rule out that a
345 prolonged treatment could generate MVC-resistant viruses which did not have much
346 time to expand during the short exposure to that drug.

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351

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455 **Table 1:** Comparison of phenotypic and genotypic tropism as determined by Trofile®
 456 and Ultra-deep sequencing interpreted by geno2pheno –g2p-) from the studied patients
 457 before (baseline) and 10 days after maraviroc treatment (end-treatment). Genotypic
 458 tropism was analyzed in sequences obtained with a frequency above 1% by UDS.

459

Patients	Baseline		End-treatment	
	Phenotypic tropism (Trofile®)	Genotypic tropism (UDS+g2p)	Phenotypic tropism (Trofile®)	Genotypic tropism (UDS+g2p)
R5-1	R5	DM/X4	R5	R5
R5-2	R5	R5	R5	R5
R5-3	R5	R5	R5	R5
R5-4	R5	R5	R5	R5
R5-5	R5	R5	R5	R5
R5-6	R5	R5	R5	DM/X4
R5-7	R5	R5	R5	R5
R5-8	R5	DM/X4	NR*	DM/X4
R5-9	R5	R5	R5	R5
R5-10	R5	R5	DM/X4	R5
DM/X4_1	DM/X4	DM/X4	R5	R5
DM/X4_2	DM/X4	R5	DM/X4	R5
DM/X4_3	DM/X4	R5	NR*	DM/X4
DM/X4_4	DM/X4	R5	NR*	R5
DM/X4_5	DM/X4	DM/X4	DM/X4	R5
DM/X4_6	DM/X4	DM/X4	R5	R5
DM/X4_7	DM/X4	R5	DM/X4	R5
DM/X4_8	DM/X4	DM/X4	DM/X4	R5
DM/X4_9	DM/X4	DM/X4	DM/X4	R5
DM/X4_10	DM/X4	DM/X4	DM/X4	DM/X4

NR* (Non reportable -Trofile®-)

R5: FPR ≥10 DM/X4: FPR ≤9.99

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462 **Table 2:** Percent X4-tropism sequences analyzed to all-time point treatment.

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Patients	Baseline		End-treatment	
	Phenotypic tropism (Trofile®)	% sequences showed X4 tropism (454)	Phenotypic tropism (Trofile®)	% sequences showed X4 tropism (454)
R5-1	R5	100%	R5	50%
R5-2	R5	25%	R5	33%
R5-3	R5	20%	R5	22%
R5-4	R5	0%	R5	0%
R5-5	R5	0%	R5	0%
R5-6	R5	0%	R5	33%
R5-7	R5	0%	R5	0%
R5-8	R5	100%	NR*	100%
R5-9	R5	0%	R5	33%
R5-10	R5	60%	DM/X4	50%
DM/X4_1	DM/X4	100%	R5	22%
DM/X4_2	DM/X4	20%	DM/X4	25%
DM/X4_3	DM/X4	29%	NR*	100%
DM/X4_4	DM/X4	25%	NR*	29%
DM/X4_5	DM/X4	33%	DM/X4	0%
DM/X4_6	DM/X4	100%	R5	22%
DM/X4_7	DM/X4	64%	DM/X4	78%
DM/X4_8	DM/X4	100%	DM/X4	30%
DM/X4_9	DM/X4	100%	DM/X4	9%
DM/X4_10	DM/X4	100%	DM/X4	100%

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472 **Figure legends**

473 **Fig. 1** Log_{10} VL at baseline and after 10 days of maraviroc monotherapy in HIV-1-
474 infected patients naive infected with R5- or DM/X4-using virus.

475 **Fig. 2** Median value of FPR at baseline and end-treatment using all sequences detected
476 with a frequency above 1% at each sampling time.

477 **Fig. 3** Log_{10} X4 VL at baseline and end-treatment with maraviroc monotherapy in HIV-
478 1-infected patients naive infected with R5- or DM/X4-using virus. X4-specific HIV-1
479 VL was calculated with the sequences with a frequency $>1\%$ and a FPR value below
480 3.75.

481 **Fig. 4** Phylogenetic trees illustrating the phylogeny of V3 region using only sequences
482 obtained in more than 1% of the total analyzed. For each patient, the tree depicts the
483 distribution of viral variants according to treatment time-point (baseline are shown in
484 green and end-treatment in orange). **(A)** Four representative similar trees from 4 patients
485 corresponding to R5 and DM/X4 tropism group. These phylogenetic trees had shown a
486 distinct topology. Black triangles and gray circles show R5 and DM/X4 predicted
487 tropism, respectively. **(B)** Four examples of 4 patients who showed phylogenetic trees
488 with a combination of sequences from different treatment sampling time. If a sequence
489 is maintained during treatment it is shown with two colors. Black triangles and gray
490 circles show R5 and DM/X4 predicted tropism, respectively.

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