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1 **Retinoid X receptors in macrophage biology**

2

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14 **Abstract**

15 Retinoid X receptors (RXRs) form a distinct and unique sub-class within the nuclear
16 receptor superfamily of ligand-dependent transcription factors. RXRs regulate a
17 plethora of genetic programs, including cell differentiation, immune response, and
18 lipid and glucose metabolism. Recent advances reveal that RXRs are important
19 regulators of macrophages, key players in inflammatory and metabolic disorders. This
20 review outlines the versatility of RXR action in the control of macrophage gene
21 transcription through its heterodimerization with other nuclear receptors or through
22 RXR homodimerization. We also highlight the potential of RXR-controlled
23 transcriptional programs as targets for the treatment of pathologies associated with
24 altered macrophage function, such as atherosclerosis, insulin resistance,
25 autoimmunity and neurodegeneration.

26

27 **Keywords:** nuclear receptors – gene transcription – macrophage – inflammation –
28 lipid metabolism

29

30 **One for all: RXRs control transcription with multiple partners**

31

32 Retinoid X receptors (RXRs) are members of the nuclear receptor (NR) superfamily
33 of ligand-dependent transcription factors [1, 2]. Three RXR isotypes exist in
34 mammals encoded by distinct genes: RXR α (NR2B1), RXR β (NR2B2), and RXR γ
35 (NR2B3). Each isotype exists in several isoforms, which have specific tissue
36 distributions and expression patterns during development [1, 2]. RXRs are highly
37 conserved NRs, with RXR homologs identified in species from a wide range of
38 invertebrate phyla [3] (Text Box 1).

39 RXRs are master regulators of gene expression and play a unique modulatory
40 and integrative role across multiple functions through their ability to form obligate
41 heterodimers with many other NRs (Figure 1). RXRs additionally regulate gene
42 expression as homodimers [1, 4, 5], and even homotetramers [1, 6], generating an as
43 yet little-explored complexity of RXR-dependent gene regulation. This versatility
44 permits RXRs to exert pleiotropic transcriptional control over a wide range of genetic
45 programs, including cell differentiation, immune response, and lipid and glucose
46 metabolism [2]. Transcriptional regulation by RXRs is a complex and flexible
47 mechanism, determined by three levels of regulation: RXR heterodimer- or
48 homodimer-specific hormone response elements (HREs) (Table 1, Figure 1); the
49 availability of the ligands for RXRs and their heterodimeric partners (Table 1, Figure
50 1); and the dynamics and recruitment of coregulator complexes [1, 2].

51 RXR heterodimers have been classically classified as permissive or non
52 permissive (Figure 1). Permissive heterodimers are formed with peroxisome
53 proliferator-activated receptors (PPARs), liver X receptors (LXRs), pregnane X
54 receptor (PXR), farnesoid X receptor (FXR), Nurr1 and Nur77, and the complex can

55 be activated by either an RXR agonist or an agonist for the heterodimeric partner.
56 Binding by agonists for both partners could have additive or synergistic effects. Non-
57 permissive heterodimers are formed with partners such as retinoic acid receptors
58 (RARs), vitamin D receptor (VDR) and thyroid receptors (TRs). Unlike permissive
59 heterodimers, non-permissive heterodimers are normally activated only by ligands
60 specific for the partner, with the RXR acting as a “silent” partner [2]. However,
61 several exceptions to the standard permissivity definition have been described [2]. For
62 instance, in the case of RXR/RAR heterodimers, although RXR ligands alone cannot
63 activate the heterodimer, binding of RAR ligands allows subsequent binding of the
64 RXR ligand, which enhances the transcriptional potential of the RAR ligand. In
65 addition, in heterodimers with TRs or VDR, RXRs do not always act as silent
66 partners, and the activity of the heterodimer may depend on factors such as tissue
67 specificity, the cellular environment, or the ability of various RXR ligands to recruit
68 coactivator or corepressor complexes [2]. These types of RXR heterodimers have
69 recently been termed conditional permissive heterodimers [2] (Table 1, Glossary).

70

71 **RXRs are targets for drug discovery**

72

73 The first identified natural RXR ligand was the vitamin-A derivative retinoid 9-cis
74 retinoic acid (9cRA) [7], whose status as an endogenous agonist is still debated [2, 8].
75 Some fatty acids are also ligands for RXRs, such as docosahexaenoic acid (DHA),
76 oleic acid, and phytanic acid [2]. Several RXR-specific synthetic ligands, called
77 rexinoids, have also been generated [8]. One rexinoid, bexarotene, is a pan-RXR
78 agonist already used in cancer therapy [9], and others are being tested in preclinical
79 settings to treat insulin resistance and atherosclerosis [2]. However, treatment with

80 rexinoids raises plasma triglyceride levels, suppresses the thyroid hormone axis and
81 induces hepatomegaly [8]. The current challenge in drug discovery is to obtain and
82 characterize selective RXR modulators (SRXRM), to achieve the desired
83 pharmacological effects of rexinoids without unwanted side effects [1, 8, 10].
84 SRXRM include heterodimer- and homodimer-specific RXR agonists and
85 antagonists, compounds that activate only a subset of the functions induced by the
86 pan-RXR agonists or act in a cell-type specific manner [8]. Some of these SRXRM
87 have already been characterized [8]. For instance LG101506 selectively activates
88 RXR/PPAR γ and RXR/PPAR α , antagonizes RXR/RAR signaling, and retains the
89 desired anti-diabetic activities of pan-RXR agonists without suppressing thyroid
90 signaling [8]. Similar anti-diabetic effects of LG100754 have been shown: this
91 compound exhibits antagonistic activities toward RXR homodimers, but acts as an
92 agonist for selective RXR heterodimers [1, 8, 10].

93

94 **Macrophages express RXRs and RXR-partner nuclear receptors**

95

96 Macrophages are effector cells of the innate immune system with primary roles in
97 host defence against pathogens, clearance of cell debris, tissue remodeling following
98 injury, and integration of tissue lipid metabolism [11, 12] (Text Box 2). Prolonged
99 activation or pathological retention of macrophages in tissues can create an
100 inflammatory microenvironment, which in turn contributes to diseases such as
101 atherosclerosis [13], insulin resistance [12], and neurodegeneration [14].

102 Several recent studies point out the potential of direct modulation of RXR
103 signaling in the treatment of macrophage-related pathologies [1, 8]. However, the role

104 of RXRs in the regulation of macrophage functions has not been established, beyond
105 their role as obligatory heterodimerization partners for other NRs.

106 RXR α is highly expressed in all human and rodent macrophage-type cells
107 analyzed to date, whereas RXR β is expressed at lower levels in many macrophage
108 types, and RXR γ is not expressed. Of the 49 NRs found in rodents, 29 are expressed
109 in macrophages [5, 14-19] and of those about 15 dimerize with RXRs [1] (Figure 1,
110 Table 1). The function of RXRs in macrophage biology has been investigated *in vitro*
111 and in *in vivo* studies using myeloid- [4, 20] and hematopoietic-cell-specific RXR α
112 knockout mouse models [21].

113 In this review we discuss the importance of RXRs in monocyte/macrophage
114 differentiation and macrophage specific functions, beyond their subordinate role as
115 heterodimeric partners for other NRs. A better understanding of RXR function as a
116 homodimer and the design of more intelligent heterodimer- or homodimer-specific
117 modulators will offer great therapeutic potential for a variety of inflammatory
118 diseases.

119

120 **RXRs in monocyte/macrophage differentiation**

121

122 Differentiation of myeloid precursors into monocytes and eventually macrophages,
123 and the subsequent proliferation and survival of tissue macrophages, are important
124 determinants of macrophage function. Any imbalance in these processes leads to
125 pathological conditions, such as myeloid leukemia or atherosclerosis [22]. Although
126 several recent studies suggest that RXRs have a role in myeloid development, they
127 focused mostly on their partners, RARs, PPAR γ , VDR, and more recently Nur77
128 [23].

129 *RXRs are important players during physiological and pathological hematopoiesis*
130 The importance of RXRs in myeloid progenitor cell fate has recently been
131 established. RXR α down-regulation is needed for terminal neutrophil differentiation
132 from human myeloid progenitors [24]. However, studies in mice with conditional
133 deletion of RXR α in HSCs demonstrated that lack of RXR α was not sufficient to alter
134 hematopoiesis [21], thus suggesting a compensatory role for RXR β in this model.
135 Supporting this, expression of a dominant negative form of RXR β in myeloid cells
136 blocked differentiation, indicating that RXRs are crucial during physiological
137 myelopoiesis *in vivo* [24]. In addition, RXR α might be involved in the pathogenesis
138 of myelodysplastic syndromes (MDS), since loss of functional RXR α in transgenic
139 mouse models of myeloid leukemia impeded the development of the disease [24].
140 Moreover, the RXR pathway might be dysregulated in patients with advanced MDS,
141 since several RXR target genes that are critical for maintaining a balance between
142 self-renewal and differentiation of HSCs are differentially expressed in normal bone
143 marrow and marrow from MDS patients [25]. Collectively, these novel results shed
144 light on the role of RXRs in the pathogenesis of myelodysplastic diseases, and point to
145 RXRs as potential targets for the management and treatment of myeloid leukemia.

146

147 *RXRs control hematopoietic self-renewal, differentiation and apoptosis*

148 Pharmacological studies using RXR ligands confirm the important role of RXRs in
149 myeloid cell development at different stages of maturation. In the most primitive
150 cells, RXRs control HSC self-renewal and differentiation through their
151 heterodimerization with RARs and PPAR γ . Indeed, allosteric inhibition of RARs by
152 the inverse RXR/RAR agonist LG101506 sustains self-renewal capacity of human
153 HSCs *in vitro* [26]. In contrast, activation of the permissive RXR/PPAR γ

154 heterodimers with a PPAR γ agonist promoted myeloid differentiation as opposed to
155 HSC self-renewal [26].

156 The role of RXRs in more differentiated cells is intriguing. In human and mouse
157 leukemia cell lines, activation of RXRs by 9cRA inhibits clonal expansion, and
158 induces apoptosis or differentiation toward the neutrophil lineage [23, 24]. These
159 effects are mainly dependent on RXR/RAR α heterodimers, although RAR-
160 independent roles of RXRs have been described in some human myeloid leukemia
161 cell lines [23, 24]. However, RXR activation induces differentiation of human
162 leukemia cell lines into functional monocytes, through its heterodimerization with
163 VDR [23, 27] and PPAR γ [28]. RXRs might be also involved in the differentiation of
164 mature macrophages from monocytes [29]. However, their role in this process is
165 unclear; although RXR α expression increases during differentiation of human blood
166 monocytes into macrophages [29], 9cRA and the rexinoid SR11237 block the
167 differentiation of a human monocyte cell line into macrophages [30].

168 All these findings suggest that RXRs play a complex role in hematopoiesis,
169 having pleiotropic effects depending on the hematopoietic target cell and the
170 heterodimeric partners expressed in those cells. The use of SRXRMs would allow the
171 activation of specific pathways leading to self-renewal, cellular differentiation, or cell
172 death, which could improve the treatment of pathologies such as MDS or
173 atherosclerosis.

174

175 **Macrophage RXRs in inflammation and the immune response**

176

177 NRs have been shown to regulate the immune response [31], and recent progress in
178 the field points out the importance of RXRs in the control of macrophage immune

179 phenotype [4, 20]. To date, PPARs and LXRs are the most extensively studied RXR
180 partners in the context of macrophage immune functions [32], although more recently
181 TR, RARs, VDR, PXR, FXR, Nurr1 and Nur77 have also been identified as
182 regulators of macrophage activation [18, 19, 33-36]. Recent findings suggest that a
183 separate RXR homodimer signaling pathway may also affect macrophage immune
184 functions, specifically in the innate immune response [4].

185 Understanding RXR function in macrophages has been significantly advanced
186 by the recent generation of macrophage RXR α -deficient mice [4, 20, 21]. Studies
187 using this mouse model highlight the involvement of macrophage RXRs in self-
188 immunity and the innate inflammatory response [4, 20].

189

190 *Macrophage RXRs control the clearance of apoptotic cells and β -amyloid protein*

191 Mice lacking macrophage RXR α develop an autoimmune renal disease resembling
192 human lupus nephritis [20]. This autoimmune phenotype develops as a consequence
193 of impaired uptake of apoptotic cells by RXR α -deficient macrophages. Deficient
194 clearance of apoptotic cells exacerbates an autoimmune response against dying cells,
195 and also disables the proper anti-inflammatory activation of macrophages. A similar
196 immune phenotype has been observed in mice lacking macrophage PPAR γ , PPAR δ
197 or LXRs [20, 37, 38]. The lack of RXR α impairs the transcription of genes encoding
198 several phagocytosis-related factors, including cell surface receptors (*Cd36*, *Fcgr1*,
199 *Mertk*, *Axl*), opsonins (*Clqa*, *Clqb*, *Clqc*) and transglutaminase-2 (*Tgm2*), which are
200 required for particle binding and engulfment, consequently leading to a phagocytosis
201 deficit [20]. Accordingly, 9cRA increases phagocytosis, and 9cRA and the synthetic
202 RXR agonist LG100268 both induce the transcription of phagocytosis-related genes
203 in wild-type but not in RXR α -deficient mouse macrophages *in vitro* [20]. RXR α

204 controls the transcription of these genes in partnership with PPAR γ , PPAR δ , LXRs
205 and RARs, as indicated by the use of specific ligands and by the identification of
206 HREs in the promoters of these genes [37-40]. These findings show that macrophage
207 RXRs are important constituents of immunological self-tolerance through their
208 promotion of apoptotic cell uptake and anti-inflammatory macrophage activation. In
209 addition, recent studies showed that bexarotene increases the clearance of β -amyloid
210 by microglia and mitigates inflammation in a mouse model of Alzheimer's disease
211 (AD) [41]. Similar effects are obtained by the use of LXR- and VDR-specific ligands,
212 suggesting that RXR/LXR or RXR/VDR heterodimers might promote the capacity of
213 macrophages to maintain phagocytosis [42, 43] (Figure 2).

214

215 *Macrophage RXRs in leukocyte migration and inflammation*

216 Another important role of RXRs in the control of macrophage immune functions is
217 the regulation of chemokine expression, which controls leukocyte migration to
218 inflammatory sites [4] (Figure 2). Lack of macrophage RXR α compromises the
219 transcription of *Ccl6* and *Ccl9* chemokine genes and impairs recruitment of
220 leukocytes to sites of inflammation. This phenotype is associated with prolonged
221 survival in mouse models of sepsis [4]. Accordingly, 9cRA and LG100268 induce
222 *Ccl6* and *Ccl9* expression in mouse macrophages and thus increase their
223 chemoattractant potential *in vitro* [4]. Interestingly, the *Ccl6* and *Ccl9* expression
224 induced by the RXR agonists can be inhibited by the selective RXR homodimer
225 antagonist LG100754, indicating that these genes are targets of RXR homodimers [4].
226 This study highlights that RXR α can control gene transcription in macrophages
227 independently of heterodimeric partners [4]. However, further studies are needed to
228 define the *in vivo* existence and relevance of RXR homodimer mediated signaling [4].

229 RXRs can also control the transcription of other chemokines, such as MCP-1 in a
230 human monocytic leukemia cell line *in vitro* [19], and in activated microglia *in vivo*
231 [44].

232

233 *RXRs and macrophage response to pathogens*

234 Pathogen-induced macrophage responses are also affected by RXRs through their
235 heterodimerization with LXRs. Some cellular pathogens induce macrophage
236 apoptosis, and RXR activation can counteract this process. For example, treatment of
237 mouse macrophages with 9cRA or LXR-specific ligands upregulates the anti-
238 apoptotic genes *AIM/Spalpa*, *bcl-xL*, and *Birc1a* [19], and inhibits the expression of
239 pro-apoptotic factors, including caspases 1, 4/11, 7 and 12, Fas ligand, and Dnase113
240 [19]. Ligands of VDR, TRs and RARs also restrict the survival of pathogens within
241 the macrophage phagosome [33, 36], although the role of RXRs in the underlying
242 mechanisms is uncertain (Figure 2).

243

244 *Potential clinical relevance of macrophage RXRs in immunomodulation*

245 Clinically important beneficial effects of RXR agonists have been shown in animal
246 models of chronic inflammatory diseases, such as insulin-resistant diabetes,
247 atherosclerosis and neurodegenerative diseases [19]. However, the anti-inflammatory
248 effect of RXR ligands on macrophages are still incompletely understood. 9cRA
249 reduces the inflammatory activation of microglia, suggesting a potential medical
250 benefit of RXR activation in neuroinflammatory disorders such as AD or multiple
251 sclerosis [40, 45]. Similar findings with agonists for PPAR α [40], LXRs [43, 45, 46]
252 and VDR [42] suggest that RXRs can act through these NR partners in this process.
253 Similarly, the RXR agonist Ro47-5944 inhibits the transcription of inflammatory

254 genes in rat Kupffer cells and in the RAW264.7 mouse macrophage cell line *in vitro*
255 [47]. However, the inflammatory phenotype of foam cells is not affected by
256 bexarotene *in vivo*, despite the fact that RXR activation can reduce atherosclerosis in
257 mice [1]. The anti-inflammatory role of the RXR partners PPARs and LXRs have
258 been extensively documented under various inflammatory conditions, suggesting that
259 these NRs can mediate the anti-inflammatory effects of RXR ligands [19]. The role of
260 other RXR partners has recently been addressed. For example, VDR and PXR
261 activation reduces the expression of inflammatory mediators in activated
262 macrophages [18, 19, 48]. Similarly, FXR is also implicated in the inhibition of the
263 inflammatory phenotype of intestinal macrophages in a mouse colitis model [17]. In
264 activated mouse microglia, Nurr1 can reduce the inflammatory phenotype and protect
265 against loss of neurons [14]. Conflicting results have been reported for the role of
266 Nur77 in macrophage activation [35], indicating that increased Nur77 expression can
267 either increase or reduce inflammatory gene transcription in mouse macrophages [49,
268 50]. A role of Nur77 has been described in mouse models of chronic inflammatory
269 diseases. However, there is as yet no consensus on its role [50-52].

270 These findings highlight the importance of RXRs in the control of
271 macrophage-related immune functions. Future studies will need to address the utility
272 of selective RXR ligands to modulate these functions and treat inflammatory
273 disorders.

274

275 **RXRs in macrophage lipid metabolism**

276

277 Macrophages are important regulators of lipid metabolism under both homeostatic
278 and pathological conditions [53]. Macrophage lipid-handling mechanisms involve

279 lipid uptake and storage in lipid droplets, β -oxidation, and cholesterol efflux [54]. A
280 precise balance between these processes is crucial for the maintenance of cellular
281 lipid homeostasis and prevention of disease. Several NRs, including RXRs, have been
282 proposed to control macrophage lipid homeostasis by regulating the expression of
283 gene networks involved in lipid metabolism, transport, storage and elimination. The
284 best known of these are PPARs and LXRs [19, 32]. However, recent studies point to
285 other RXR heterodimer partners, PXR, FXR, RARs, Nurr1 and Nur77, as key players
286 in these processes. The importance of RXRs in macrophage lipid metabolism has
287 been addressed by a clinical study in patients with advanced carotid atherosclerotic
288 lesions. In this study, low macrophage expression of RXR α in these lesions was
289 associated with more pronounced disease progression [55]. Activation of RXRs by
290 specific ligands such as bexarotene might have potential in the treatment of
291 atherosclerosis [56] and also in other disorders in which macrophage lipid handling is
292 important, such [57] obesity-associated insulin resistance and metabolic syndrome
293 [12], and neurodegenerative diseases [58].

294

295 *RXRs control macrophage cholesterol uptake, efflux and storage*

296 The mechanism underlying the regulatory effects of RXR activation on macrophage
297 lipid metabolism involves the modulation of scavenger receptors, which mediate
298 uptake of modified lipoproteins. 9cRA and the rexinoids PA024 and HX630
299 upregulate the expression of *CD36* in human macrophage cell lines or mouse
300 peritoneal macrophages [20, 59]. However, activation of RXRs with these ligands
301 also decreases the activity of another scavenger receptor (SRA-I/II) [53], and
302 downregulates the expression of the receptor for ApoB48 [60], the overall effect
303 being a reduction in lipid accumulation and storage. Similar findings have been

304 obtained in *in vitro* studies with PPAR γ and PPAR α agonists [19], indicating that
305 these effects on lipid uptake are mediated by permissive RXR/PPAR heterodimers.
306 Recent studies suggest that other RXR heterodimeric partners regulate the expression
307 of specific scavenger receptors in human and mouse macrophages. Thus, *CD36* is
308 regulated by RXR/RAR heterodimers [19], and possibly by RXR/PXR [61, 62] and
309 RXR/FXR [63] heterodimers. However, in the case of PXR and FXR more studies
310 need to be performed since it is not clear whether these receptors are expressed in
311 mouse macrophages [16, 61]. In addition, SR-A might be regulated by permissive
312 RXR/Nurr1 and RXR/Nur77 heterodimers, since Nurr1 and Nur77, such as RXR,
313 negatively regulate its expression and activity [64].

314 Another function of RXRs in macrophage lipid metabolism is the stimulation
315 of cholesterol efflux through regulation of different ABC transporters. Ligand-
316 dependent induction of RXRs with 9cRA and the rexinoids bexaroten, PA024,
317 HX630, and LG100268 promotes *ABCA1* and *ABCG1* expression in human
318 macrophage cell lines [53, 59], mouse primary macrophages [4, 20] and mouse
319 microglia [41]. Ligand-activated RXRs regulate the expression of other proteins
320 involved in cholesterol efflux, such as ADP-ribosylation factor-like7, a protein
321 implicated in cholesterol transport to the membrane [65], and CYP27A1, an important
322 enzyme in the sterol elimination pathway [66]. Gene expression of these factors is
323 activated by specific ligands for PPAR γ , PPAR α , and/or LXRs [53, 65, 67, 68],
324 which indicates that the control of cholesterol efflux by RXRs is mainly mediated by
325 its permissive heterodimerization with these NRs. RXR/FXR and RXR/RAR γ
326 heterodimers might also be implicated in this process, since ligand activation of FXR
327 and RAR γ increases the expression of *Abcal* in mouse peritoneal macrophages [34,
328 69].

329 RXR activation also modulates the expression of molecules involved in
330 macrophage lipid processing and storage. For example, rexinoid-mediated activation
331 of RXR in human and mouse macrophages [70] and primary mouse microglia [41]
332 induces the expression of apoE, which promotes efflux of lipids to apolipoproteins.
333 RXR activation also increases the expression of Srebp1, a key transcriptional
334 regulator of genes involved in cholesterol biosynthesis and uptake [71], and target
335 gene of the RXR/LXR heterodimers [71]. Finally, 9cRA and LG100268 activation in
336 mouse primary macrophages induces the expression of adipose differentiation-related
337 protein (ADRP) [4, 20], a molecule that contributes to storage of triglycerides and
338 cholesterol in macrophages, and that is a target gene of the RXR/PPAR δ and
339 RXR/PPAR γ heterodimers [20, 53] (Figure 1).

340

341 *RXR ligands in atherosclerosis and other lipid-handling-related diseases*

342 Most data supporting a role of RXRs in atherosclerosis are based on the use of ligands
343 specific for RXR or its heterodimer partners. Rexinoid-mediated activation of RXRs
344 significantly reduced the development of atherosclerosis in two mouse models of
345 dyslipemia [63]. In both studies, rexinoids were able to enhance the lipid efflux
346 capacity of macrophages. Similar anti-atherogenic effects have been reported in
347 different *in vivo* studies with agonists of PPAR γ , PPAR δ and LXR [19], suggesting
348 that RXRs exert their atheroprotective effects by forming permissive heterodimers
349 with these partners. The role of other RXR partners in atherosclerosis is less certain.
350 PPAR α and FXR agonists have been shown to exert antiatherogenic or
351 proatherogenic effects in animal models of dyslipemia [19, 40], and macrophage
352 expression of Nur77 is reported to either prevent or have no effect on the
353 development of atherosclerosis in mice [50-52].

354 Recent research furthermore indicates that modulation of lipid handling by
355 RXRs in specialized macrophages has beneficial effects in the treatment of AD.
356 Activation of RXRs by rexinoids in primary microglia enhances secretion of ApoE
357 HDL particles, which facilitates the degradation of soluble β -amyloid from the brain
358 and reverses β -amyloid-induced symptoms in a mouse model of AD [41].

359 These results underpin the importance of macrophage RXR heterodimers in the
360 control of lipid metabolism and in the development of metabolic diseases. However, a
361 question that needs to be addressed is whether impairment of RXR expression or
362 activity in macrophages affects lipid homeostasis and thus whether modulation of
363 RXR signaling in macrophages might have a clinical impact on metabolic diseases.

364

365 **Concluding remarks**

366

367 Despite the growing body of literature on RXR biology in macrophages, there is still
368 no consensus on the place that RXRs occupy in the transcriptional control of
369 macrophage function. Since the discovery of RXRs in 1990 by Mangelsdorf and
370 Evans [7], they have been mainly studied as subordinate partners of other NRs. It is
371 now clear that RXRs have the ability to modulate other NRs in a ligand-dependent
372 manner *in vivo*, making RXRs important pharmacological targets for the control of
373 gene transcription. Moreover, the discovery of RXR homodimer-mediated gene
374 regulation raises the intriguing possibility that RXR homodimers and heterodimers
375 might act through separate signaling pathways. However, it is still uncertain whether
376 RXR homodimers can function as biologically relevant transcription units
377 (Outstanding Questions). There are still several roadblocks to overcome to address
378 the *in vivo* functions of RXR homodimers. RXRs form homodimers with relatively

379 low affinity compared with RXR heterodimers *in vitro* [19], and a similar scenario is
380 feasible *in vivo*. To overcome this limitation, an animal model is needed to allow
381 RXR homodimerization and heterodimerization to be separated. Progress will also
382 come from *in vivo* studies with the use of the RXR homodimer antagonist LG100754
383 and the design of a new generation of RXR modulators which allow the selective
384 activation or inhibition of RXR homodimers, providing valuable tools the decipher
385 RXR homodimer functions [8].

386 RXRs play multifaceted roles in macrophage immune functions, and also
387 occupy an important place in the control of macrophage lipid metabolism (Figure 2).
388 This versatility of macrophage RXRs points to the potential medical utility of RXR
389 ligands. However, the medical use of the currently available pan-RXR modulators is
390 limited by the pleiotropic effects of RXR activation. This brings urgency to efforts to
391 design SRXRMs, which can achieve cell- and dimer-specific effects. There are
392 already examples of tissue-specific delivery of NR activators [72], opening a new
393 direction in the future design of RXR modulators. For instance, the design and
394 delivery of SRXRMs to macrophages might improve the treatment of macrophage-
395 associated diseases and reduce unwanted side effects of systemic RXR activation
396 (Outstanding Questions). Another strategy to make RXR ligands therapeutically more
397 viable is the use of selective RXR hetero- or homodimer modulators. Currently
398 available dimer-selective modulators, such as LG101506 and LG100754, are able to
399 achieve the antidiabetic effects of pan-RXR agonists without side effects [8]. In
400 addition, RXR-isotype selective modulators are being developed [8]. The use of these
401 ligands might help to answer whether RXR isotypes have distinct pharmacological
402 profiles (Outstanding Questions). However, the highly conserved ligand-binding

403 pocket structure of the three RXRs makes it difficult to achieve isotype-specific
404 activation [8].

405 Recent studies combining crystallographic and fluorescence anisotropy
406 approaches show the correlation between the pharmacological activity of SRXRM
407 and their impact on the structural dynamics of specific RXR-heterodimers [8].
408 Understanding these ligand-dependent structural changes of RXRs can aid the design
409 of SRXRM [8]. Advances in the development of SRXRM and the macrophage-
410 specific delivery of these ligands can overcome the current limitations of RXR
411 targeting in macrophage-related pathologies, such as insulin-resistant diabetes,
412 atherosclerosis and neurodegenerative diseases.

413

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423

424 **Text Box 1:**

425 **RXR biology: an evolutionary outlook**

426 RXRs are highly conserved NRs [3], and have been identified in species representing
427 a wide range of invertebrate phyla, including sponges, flatworms, arthropods,

428 molluscs, echinoderms and chordates. To date the insect RXR homolog ultraspiracle
429 protein is the best characterized invertebrate RXR. Ultraspiracle protein is a
430 heterodimeric partner of the ecdysone receptor and regulates gene transcriptional
431 changes associated with development, metamorphosis, reproduction and behavioral
432 plasticity in insects [73-75]. In general, invertebrate RXRs can bind and be activated
433 by vertebrate RXR ligands; however, flatworm and arthropod RXRs and one chordate
434 RXR lack 9cRA- and bexarotene-dependent transactivation activity [3]. It is likely
435 that RXRs in some invertebrate species can be activated by specific, as yet
436 unidentified endogenous ligands [3, 76]. The vertebrate RXRs (RXR α , RXR β and
437 RXR γ) arose after the divergence of the non-vertebrate chordate and the vertebrate
438 clades, and have evolved by multiple gene duplication events. Functional divergence
439 of RXR α and RXR β was followed by a further separation of RXR γ from RXR α [75].
440 The structure of the three extant vertebrate RXRs is highly conserved, particularly the
441 helix involved in dimerization and the DNA-binding domain [77]. Heterodimerization
442 is a general property of RXRs in evolutionarily distinct species. However,
443 transcriptional control by RXR homodimers has also been reported in a mollusc
444 species [78] and in mouse [4], suggesting that RXR homodimerization might be a
445 more general and evolutionarily conserved mechanism than is considered today.

446

447 **Text Box 2:**

448 **Macrophages: a diverse and plastic cell population**

449 Macrophages are a highly heterogeneous cell population. Subsets of specialized
450 resident macrophages include brain microglia, liver Kupffer cells, bone osteoclasts,
451 lung alveolar macrophages, splenic macrophages, intestinal macrophages, peritoneal
452 macrophages, adipose tissue macrophages, and atherosclerotic plaque foam cells [79].

453 These subpopulations have been historically defined according to anatomic location
454 and surface marker profiles. More recently, exhaustive gene expression profiling has
455 revealed the existence of unique molecular signatures among macrophages from
456 different tissues [79]. The ontogeny of tissue macrophages is also subject of debate.
457 In contrast to the prevalent concept that monocytes are precursors of tissue
458 macrophages [80], recent work demonstrates that some macrophage subpopulations
459 arise from primitive hematopoietic progenitors independently of the monocyte lineage
460 [80]. Moreover, in adulthood, the maintenance of tissue macrophages involves local
461 proliferation independently of monocytes and definitive hematopoiesis [81].
462 Macrophages are moreover highly plastic cells that can rapidly adjust their immune
463 phenotype in response to injury, infection and the surrounding microenvironment.
464 The immune phenotype of macrophages can be broadly classified into classically
465 activated M1 macrophages or alternatively activated M2 macrophages. M1
466 macrophages express proinflammatory cytokines, chemokines, and effector
467 molecules, which increase their pathogen killing activity. In contrast, M2
468 macrophages are low cytokine producers with prominent functions in tissue turnover
469 and renewal, parasite clearance and immune modulation. Alterations in the M1/M2
470 balance are associated with autoimmunity, atherosclerosis, insulin resistance, tumor
471 progression and neuroinflammation [12-14].

472

473 **Outstanding Questions**

- 474 1. Do macrophage-expressed RXRs have potential as targets in the treatment of
475 leukemia, inflammatory and metabolic diseases?
- 476 2. Can we achieve macrophage-specific RXR modulation?

477 3. Is there a separate RXR-ligand-mediated signaling pathway or do RXRs act only as
478 partners for other NRs?

479 4. Do RXR α and RXR β have distinct or overlapping functions in macrophages?

480 5. Do RXR homodimers function as biologically relevant transcription units?

481

482 **Glossary**

483

484 **Bexarotene (LG100269):** a synthetic rexinoid (4-[1-(5,6,7,8-tetrahydro-3,5,5,8,8-
485 pentamethyl-2-naphthalenyl)ethenyl] benzoic acid) that acts as a pan-RXR agonist. It
486 has been approved by the U.S. Food and Drug Administration (FDA) as an
487 antineoplastic agent for the oral treatment of cutaneous T cell lymphoma (marketed
488 name Targretin®).

489 **LG101506:** a synthetic rexinoid ((2*E*,4*E*,6*Z*)-7-[2-(2,2-Difluoroethoxy)-3,5-*bis*(1,1-
490 dimethylethyl)phenyl]-3-methyl-2,4,6-octatrienoic acid) that selectively activates
491 RXR/PPAR γ and RXR/PPAR α , and antagonizes RXR/RAR signaling by an allosteric
492 event that results in inhibition of RAR within the RXR/RAR heterodimer.

493 **LG100268:** a synthetic rexinoid (2-[1-(3,5,5,8,8-pentamethyl-5,6,7,8-tetrahydro-2-
494 naphthyl)cyclopropyl]pyridine-5-carboxylic acid) that acts as a pan-RXR agonist.

495 **LG100754:** a synthetic rexinoid ((2*E*,4*E*,6*Z*)-3-Methyl-7-(5,6,7,8-tetrahydro-5,5,8,8-
496 tetramethyl-3-propoxy-3-naphthalenyl)-2,4,6-octatrienoic acid) that selectively
497 activates RXR/PPAR γ and RXR/PPAR α heterodimers and antagonizes RXR
498 homodimers.

499 **Retinoids:** a class of naturally found compounds chemically related to vitamin A,
500 which can bind to RARs and RXRs. Retinoids play multiple roles in cell physiology;

501 they regulate epithelial cell growth, cell proliferation and differentiation, immune
502 function, as well as vision.

503 **Rexinoids:** a class of synthetic compounds that selectively bind to and activate
504 RXRs, They are currently being tested for the treatment of metabolic syndrome due to
505 their glucose-lowering, insulin-sensitizing, and antiobesity effects in animal models
506 of insulin resistance and type 2 diabetes. However, some of them have been linked to
507 side effects such as hypertriglyceridemia and suppression of the thyroid hormone
508 axis.

509 **Selective RXR Modulators (SRXRMs):** a class of synthetic compounds which
510 include heterodimer and homodimer specific RXR agonists and antagonists;
511 compounds that activate only a subset of the functions induced by the pan-RXR
512 agonists; or act in a cell-type specific manner.

513 **Hormone-response element (HRE):** a short sequence of DNA within the promoter
514 of a gene that allows for binding a specific NR complex, leading to transcriptional
515 activation. RXR may bind to direct repeats (DR), inverted repeats (IR), or everted
516 repeats (ER) of the hexameric sequence AGGTCA separated by 1 to 5 bases,
517 depending on the specific RXR heterodimeric partner.

518 **Permissive heterodimer:** RXR permissive heterodimers, formed with PPARs, LXRs,
519 PXR, FXR, Nurr1 and Nur77 can be activated by either an RXR ligand or a ligand for
520 the heterodimeric partner. Binding by both agonists could have additive or synergistic
521 effects.

522 **Non-permissive heterodimer:** this concept has been classically used to define
523 heterodimers formed by RXRs and RARs, VDR and TRs, which are normally
524 activated only by ligands specific for the partner and not by RXR ligands. In this
525 scenario RXR acts as a “silent” partner.

526 **Conditionally permissive heterodimer:** current concept used to define heterodimers
527 formed between RXR and RARs, VDR and TRs, which are conditionally activated by
528 RXR ligands only in the presence of the partner agonist.

529 **Table 1: RXR and heterodimeric partners expressed in human and/or rodent monocyte/macrophages [1, 5, 14-19, 50, 52]**

NR	Isotypes	Expression in rodents	Expression in humans	Natural ligands	Synthetic ligands	Dimer	DR	REF
RXR	α (NR2B1)	PEM, BMDM, M, KC	Mon, DC	9cRA DHA Honokiol Phytanic acid Oleic acid	Rexinoids: LG100268, Bexarotene (LG100269) LG101506 LG100754	Homo-	DR-1	[5, 14, 15, 19]
	β (NR2B2)	KC, OC, BMDM, M	Mon, DC			Hetero-	*	[5, 14, 15, 19]
PPAR	α (NR1C1)	Low levels of KC	Mon, MDM	Polyunsaturated and oxidized fatty acids	α : GW7647 β/δ : GW0742 γ : TZD	P	DR-1	[15]
	β/δ (NR1C2)	PEM, BMDM, OC, KC, M	Mon, DC					[5, 15, 19]
	γ (NR1C3)	PEM, BMDM, KC, M, AM	DC, MDM					[5, 14, 15, 19]
RAR	α (NR1B1)	BMDM, KC, OC	Mon, DC, MDM	Retinoids	AM580 TTNPB	CP	DR-2 DR-5	[5, 15, 19]
	β (NR1B2)	KC						[15]
	γ (NR1B3)	BMDM, KC, M	Mon					[14, 15]
LXR	α (NR1H1)	PEM, BMDM, KC, M	Mon, DC	Oxysterols	GW3965 T0901317	P	DR-4	[5, 14, 15, 19]
	β (NR1H2)	PEM, BMDM, KC, M	Mon, DC					[5, 14, 15, 19]
TR	α (NR1A1)	BMDM	OC	Thyroid hormones	GC-1 KB141 GC-24	CP	DR-4	[15]
	β (NR1A2)	BMDM	OC					[15]
VDR	(NR1I1)	KC, BMDM, M	Mon, DC	1,25(OH) ₂ VD ₃	MC903	CP	DR-3	[5, 14, 15, 19]
FXR	(NR1H4)	IM, SM	MDM	Farnesol and its metabolites	Fexaramine 6E-CDCA GW406	P	IR-1	[17]
PXR	(NR1I2)	PEM		Xenobiotics Sterols and its metabolites	Rifampicin Ritonavir Carbamazepine	P	DR-3-5 IR-6 ER-6,8	[18]
Nur77	(NR4A1)	BMDM, PEM, Mon, M	Mon, FC, PM	Not known	DIMs, Cytosporone B	P	DR-5	[14, 35, 50, 52]
Nurr1	(NR4A2)	BMDM, PEM, Mon, M	Mon, FC, PM	Not known	DIMs, XCT0139508	P	DR-5	[14, 50]

530 The information about expression is based on documented studies in rodents and human. **PEM**: peritoneal-elicited macrophages; **BMDM**: bone marrow-derived
531 macrophages; **Mon**: blood monocytes; **DC**: dendritic cells; **KC**: Kupffer cells; **OC**: osteoclasts; **IM**: intestinal macrophages; **SM**: splenic macrophages; **MDM**: blood
532 monocyte-derived macrophages; **M**: microglia; **FC**: foam cells; **PM**: blood primary macrophages; **AM**: alveolar macrophages; **DIMs**: diindolylmethanes; **P**: permissive
533 heterodimer; **CP**: conditionally permissive heterodimer ; * Different six-base pair repetitions depending on RXR-heterodimeric partner; **DR**: direct repeat; **IR**: inverted
534 repeat; **ER**: everted repeat
535

536 **Figure 1. RXRs form homodimers or heterodimers with other nuclear receptors**

537 RXRs are active integrators of distinct nuclear receptor signaling pathways,
538 regulating gene transcription by forming permissive heterodimers with PPARs,
539 LXRs, PXR, FXR, Nurr1 and Nur77, and non-permissive heterodimers with VDR,
540 TR and RARs. RXRs can also control gene transcription as homodimers. RXRs are
541 indicated in green and their ligands in red. DR: direct repeat, ER: everted repeat, IR:
542 inverse repeat.

543

544 **Figure 2. Complex roles of RXRs in macrophages**

545 Macrophages express RXR α and RXR β . RXRs play roles in the integration of
546 macrophage immune functions and lipid metabolism by controlling apoptotic cell
547 uptake, β -amyloid clearance, inflammation, pathogen killing, cholesterol transport
548 and lipid handling. Alterations in these RXR-mediated processes cause diseases such
549 as atherosclerosis, neurodegeneration, autoimmunity and disorders of the immune
550 response.

551

552

553

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**Permissive
RXR heterodimers**

**Non-permissive
RXR heterodimers**

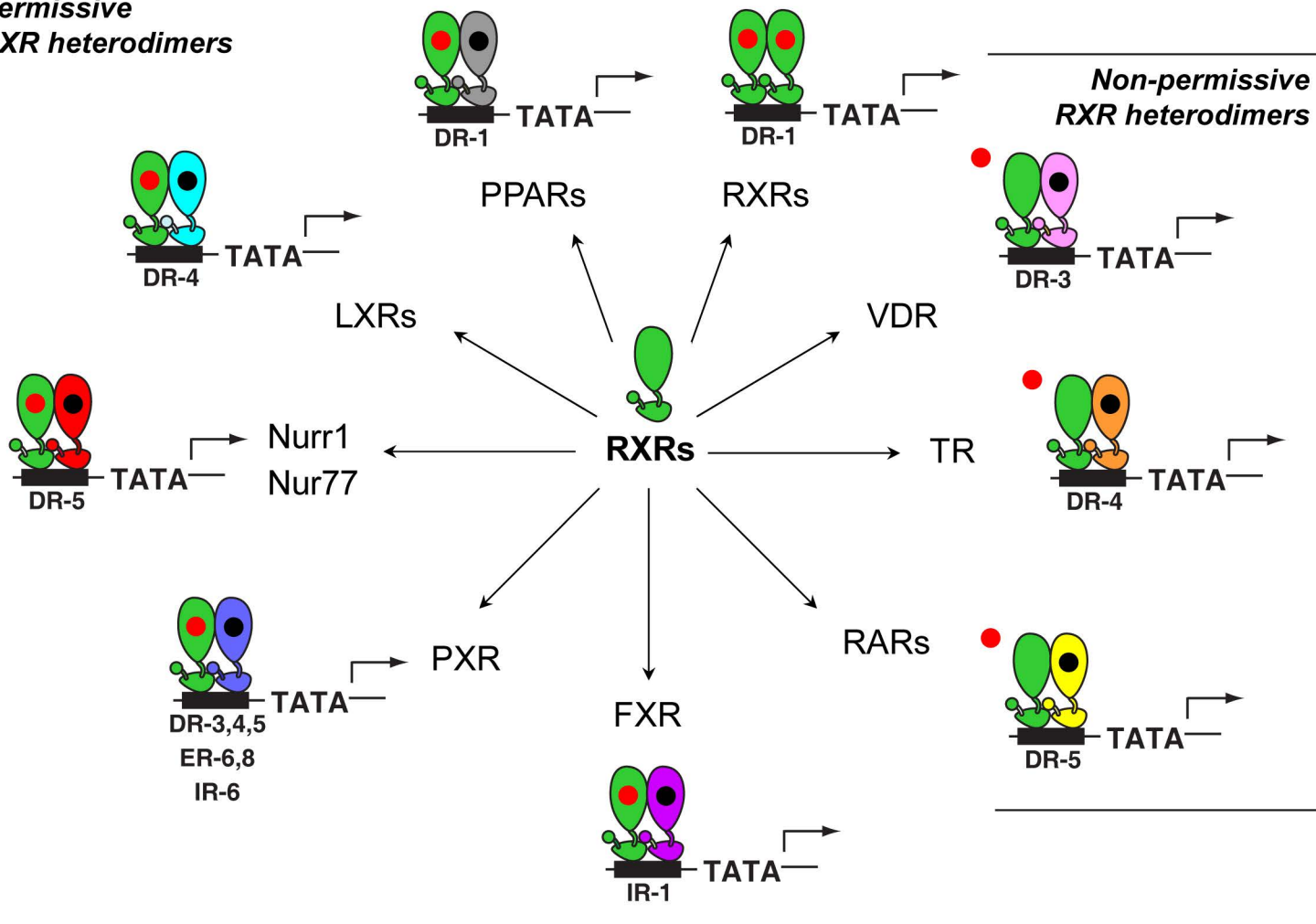


Figure 1
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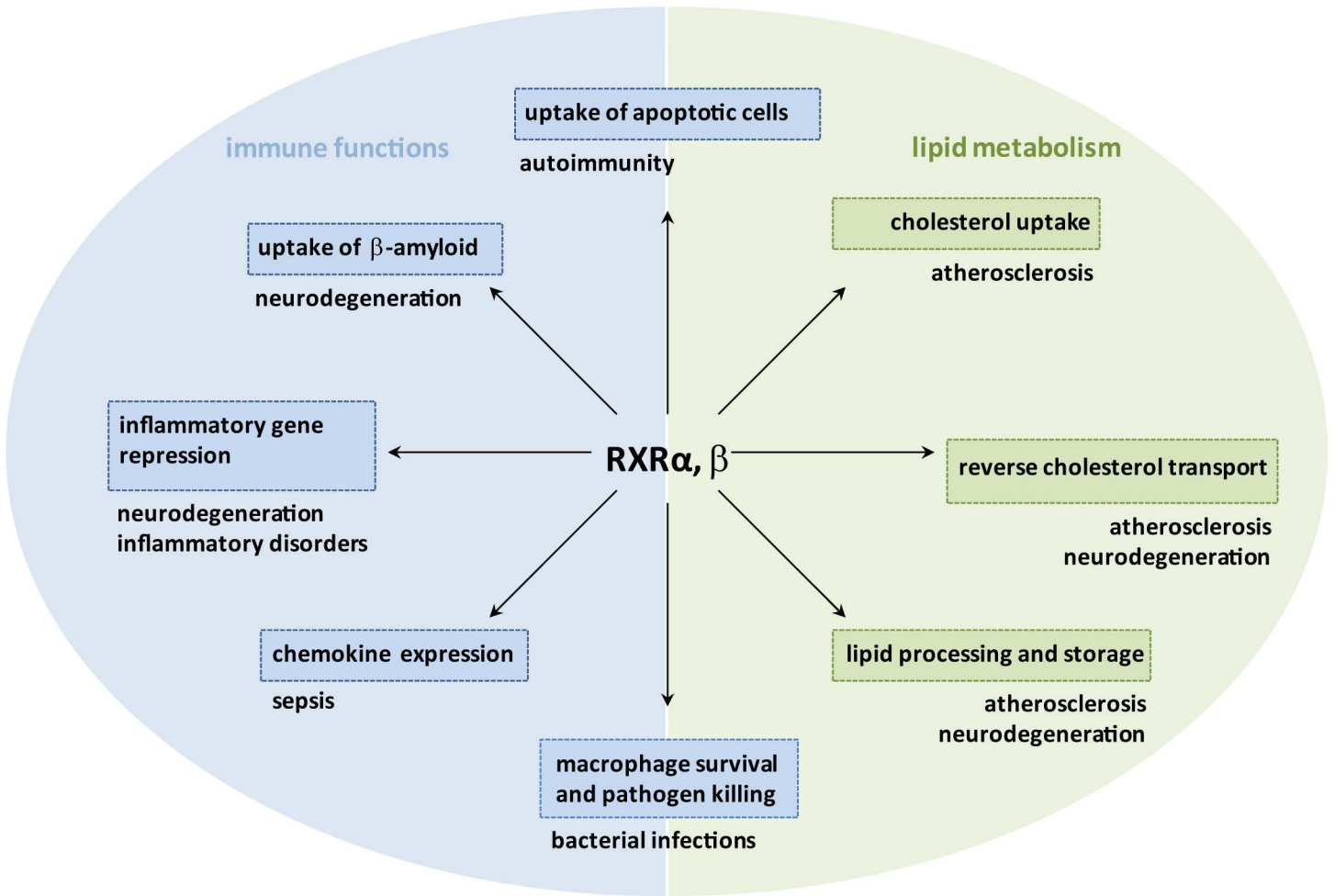


Figure 2
Rószter et al.