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Spread of *Escherichia coli* strains with high-level cefotaxime and ceftazidime resistance between the community, long-term care facilities, and hospital institutions.

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1 **Spread of high-level of cefotaxime and ceftazidime**
2 **resistance in *Escherichia coli* between the community,**
3 **long-term care facilities, and hospital institutions**

4
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22

23 **Abstract**

24 One hundred fifty-one *Escherichia coli* strains resistant to cefotaxime and
25 ceftazidime were isolated during a prospective surveillance study. These strains were
26 characterized by clinical, microbiological, and molecular analyses and were distributed
27 into four clusters of 103, 11, 6 and 5 isolates, and 25 unrelated strains. The principal
28 cluster was isolated from urine, wound, blood, and other samples in three hospitals,
29 eight nursing homes, and a community healthcare center. This cluster was associated
30 with both nosocomial (65%) and community acquired infections (35%). Most strains
31 were resistant to ciprofloxacin, gentamicin, tobramycin, cefepime, amoxicillin/
32 clavulanic acid, and trimethoprim/ sulfamethoxazole, but susceptible to imipenem. All
33 isolates from the four clusters expressed the extended-spectrum β -lactamase (ESBL)
34 CTX-M-15. This enzyme was also present in 8 (30.8%) of the 26 unrelated isolates.
35 The other ESBLs, CTX-M-14 and CTX-M-32, were detected in 5 and 7 cases,
36 respectively, but they were detected in individual *E. coli* isolates only. In three clusters,
37 bla_{CTX-M-15} alleles were linked to an ISEcp1-like element (implicated in their
38 mobilization), while in eight strains of cluster II an IS26 element preceded the bla_{CTX-M-}
39 15 allele. An additional pool of resistance genes included *tetA*, *drfA14* or *dfrA17*, *sul1*
40 or *sul2*, *aac* (6')-1b, and *aac* (3)-IIb. All except one of the 27 isolates tested for genetic
41 virulence markers harbored the same three virulence genes: *iutA* and *fyuA*
42 (siderophores), and *traT* (serum survival factor). Epidemic or occasional isolates of
43 cefotaxime and ceftazidime resistant *E. coli* can spread between distinct health facilities
44 including hospitals, community health centers, and long-term care centers.

45

46

47 **Introduction**

48 The production of extended spectrum β -lactamases (ESBLs) is one of the major
49 sources of resistance to extended spectrum cephalosporins in *Enterobacteriaceae* (16).
50 Classically, plasmid-mediated ESBL enzymes have been of the TEM and SHV types,
51 but in recent years, CTX-M-type ESBLs have been increasingly found throughout the
52 world (1). CTX-M enzymes predominantly hydrolyze cefotaxime but are weakly active
53 against ceftazidime. However, some ESBLs of the CTX-M family display increased
54 hydrolytic activities against ceftazidime, as is the case for CTX-M-15 (24) and CTX-M-
55 32 (4).

56 In recent years, ESBL production in *Enterobacteriaceae*, particularly
57 *Escherichia coli*, has significantly increased in several countries, including Spain
58 (6,21,30). This increase is primarily due to the spread of CTX-M-type ESBLs (25).
59 ESBL dissemination in *E. coli* is usually due to plasmid transmission between unrelated
60 strains (9), while clonal spreading is more frequent in other *Enterobacteriaceae*, such as
61 *Klebsiella pneumoniae* (23). However, outbreaks of ESBL-producing *E. coli* clones
62 have recently been described (2,15,18,19,32). In Spain the proportion of CTX-M-*E.*
63 *coli* producers is rapidly increasing in both nosocomial and community acquired
64 infections (21,25).

65 We have observed an apparent and alarming increase of cefotaxime- and
66 ceftazidime-resistant strains amongst the *E. coli* isolates submitted to our laboratory.
67 These events prompted the present study in which we describe the emergence and
68 spread of high-level cefotaxime and ceftazidime resistant *E. coli* isolates, their complex
69 molecular epidemiology, and the characterization of several clusters of multi-drug
70 resistant CTX-M-15-producing *E. coli* isolates. Epidemic strains were detected in

71 patients admitted to hospitals and nursing homes for the elderly, as well as in patients
72 attending an outpatient community healthcare center.

73

74 **Materials and methods**

75 **Study design**

76 A prospective surveillance study of infections caused by ESBL-producing *E. coli* is
77 currently ongoing in the Autonomous Community of Madrid, Spain. Three hospitals
78 located in three separate geographic areas participated in the study and included
79 Hospital Gregorio Marañón (estimated catchment population of 650,000), Hospital
80 Fundación de Alcorcón (estimated catchment population of 250,000, including eight
81 associated nursing homes), Hospital Severo Ochoa de Leganés (estimated catchment
82 population of 380,000), and a community healthcare center, Centro de Especialidades
83 Argüelles. All collected the initial *E. coli* isolates that displayed ESBL production and
84 sent them to the Centro Nacional de Microbiología (CNM), a public-health reference
85 institution, to confirm ESBL production and to study the molecular epidemiology of the
86 isolates and the ESBL type. Here we describe the analysis of all ESBL-producing *E.*
87 *coli*, isolated between January 2004 and August 2005, which exhibited resistance to
88 cefotaxime ($>32 \mu\text{g/mL}$) and ceftazidime ($\geq 16 \mu\text{g/mL}$).

89

90 **Infections and patient characteristics**

91 The participating institutions collected the following information: personal
92 patient data (code, age, sex, clinical diagnosis, and risk factors), hospital and
93 departmental data, and isolate data (clinical sample and antimicrobial susceptibility).
94 This information was entered into the Whonet software program, a free microbiological
95 database (WHO Collaborating Center for the Surveillance of Antibiotic Resistance).

96 Patients with acquired community infections were the outpatients and those who
97 had a positive culture at the time of or within 48 hours of hospitalization, in both cases
98 they have not been previously in a healthcare setting for 1 month.

99

100 **Antimicrobial susceptibility testing and ESBL production detection**

101 *E. coli* isolates were identified and antibiotic susceptibility was tested according
102 to standard microbiological procedures performed in each clinical laboratory. At the
103 CNM, isolates were subcultured in both Columbia blood agar and McConkey agar to
104 ensure viability and purity. The identification of all isolates was confirmed according to
105 standard microbiological methods; susceptibility testing was initially carried out by
106 microdilution (BD Phoenix Automated Microbiology System; Becton-Dickinson
107 Diagnosis, Sparks, MD, USA) and the disk-diffusion reference method (5). The
108 antimicrobial agents tested were as follows: ampicillin, amoxicillin/clavulanic acid,
109 piperacillin/tazobactam, ceftazidime, cefotaxime, ceftazidime, cefepime, amikacin,
110 gentamicin, tobramycin, ciprofloxacin, imipenem, and trimetoprim/sulfamethoxazole.
111 ESBL production was confirmed as described by the Clinical Laboratory Standards
112 Institute (CLSI) (5); inhibition zones obtained using disks that contained cefotaxime (30
113 µg) and ceftazidime (30 µg) were compared with those containing
114 cefotaxime/clavulanic acid (30/10 µg) and ceftazidime/clavulanic acid (30/10 µg)
115 (Oxoid, Madrid, Spain), respectively. In addition, the minimal inhibitory concentrations
116 (MICs) to cefotaxime and cefotaxime/clavulanic acid, as well as to ceftazidime and
117 ceftazidime/clavulanic acid, were determined by the E-test method (AB-Biodisk, Solna,
118 Sweden). Antimicrobial susceptibility results were interpreted according to the
119 breakpoints recommended by the CLSI (5). *E. coli* ATCC 25922 and *Pseudomonas*
120 *aeruginosa* ATCC 27853 were used as quality control strains.

121 **Molecular epidemiology**

122 The molecular epidemiology of *E. coli* isolates that were resistant to cefotaxime
123 and ceftazidime was determined by pulsed-field gel electrophoresis (PFGE). Total
124 bacterial DNA was digested with *Xba*I (MBI Fermentas, Vilnius, Lithuania), and DNA
125 fragments were separated on a 1% agarose gel in 0.5 X TBE buffer using the CHEF
126 Mapper apparatus (BioRad, Madrid, Spain). The conditions were as follows: 14°C, 6
127 V/cm, pulse 2-54 s, and 24 h. Gels were stained with ethidium bromide and
128 photographed under ultraviolet (UV) light. Genetic similarity was calculated by the
129 unweighted pair group method using arithmetic averages (UPGMA) and presented in a
130 dendrogram. Similarity was calculated by Dice's coefficients with a tolerance of 1.8%
131 (Fingerprinting II Software, BioRad). Well-resolved bands that corresponded to
132 fragments exceeding 48.5 kb were included in the computer analysis.

133 **Isoelectric focusing**

134 Isoelectric focusing was carried out on a total of 73 *E. coli* isolates representing
135 the major clones identified by PFGE. Bacteria, exponentially growing at 37°C in Luria-
136 Bertani medium, were harvested, and cell-free lysates were prepared by sonication.
137 Isoelectric focusing was performed by applying this crude extract to Phast gels (pH = 3-
138 9) in a Phastsystem apparatus (Pharmacia AB, Uppsala, Sweden) (10). β -lactamases
139 with known pIs of 5.9, 5.4, 7.6, and 8.1 were used in parallel as controls. Gels were
140 stained with 500 μ g nitrocefin/mL (Oxoid) to identify β -lactamase bands.

141 **Molecular analysis of ESBLs**

142 Based on both antibiotic resistance profiles and pI values, molecular
143 identification of β -lactamases was carried out by PCR amplification and DNA
144 sequencing. All β -lactamases detected by isoelectric focusing were characterized by
145 molecular methods. Genomic DNA from wild-type isolates was used as a template for
146 PCR. ESBL amplification was performed with the appropriate primers and cycling

147 conditions for the TEM, SHV, CTX-M, OXA-1, OXA-2, and OXA-10 β -lactamase
148 groups, as described elsewhere (3,22,28). The following primers were designed to
149 amplify and sequence the *bla*_{CTX-M-15} gene under standard conditions (CTX-M-15-F: 5'-
150 ATG GTT AAA AAA TCA CTG CG- 3' and CTX-M-15-R: 5'- TTA CAA ACC GTT
151 GGT GAC G- 3'). PCR products were separated on 0.8% agarose gels, stained with
152 ethidium bromide, visualized under UV light, further purified using the QIAquick PCR
153 purification kit (Qiagen, Hilden, Germany), and sequenced using an ABI Prism 377
154 automated sequencer (Perkin-Elmer, Norwalk, Conn).

155 Linkage of *bla*_{CTX-M-15} alleles with *ISEcp1*-like elements, previously implicated
156 in their expression and mobilization (13), was confirmed with primers PROM+ and
157 PRECTX-M-3B as described previously (24,32). In addition, specific primers were
158 used to amplify a 400-bp fragment spanning the link between IS26 (inserted between
159 *ISEcp1* and *bla*_{CTX-M-15}) and *bla*_{CTX-M-15}; this fragment is believed to be characteristic of
160 the epidemic *E. coli* strain A, a CTX-M-15 producer from the United Kingdom (32).

161 **Identification of additional resistance genes**

162 Based on antibiotic resistance profiles, additional mechanisms of antibiotic
163 resistance were studied in 10 multiresistant CTX-M-15-producing isolates. Molecular
164 identification of *sul1*, *sul2*, *tetA*, *aac6-1'b*, *aac3-IIb*, *dfrA14*, and *dfrA17* genes was
165 carried out by PCR amplification and DNA sequencing using primers previously
166 described (8,14,15,20). In addition, the *acrR* gene of the AcrAB efflux system was
167 amplified, including the promoter-operator region, as described elsewhere (31).

168 **Virulence factors**

169 Twenty seven isolates from different clinical samples belonging to cluster I (12 from
170 urine, 9 from blood, 5 from wound and 1 from respiratory tract) and from different
171 geographical sources (15 from three hospitals, 8 from the community, and 4 from four

172 long-term care facilities) were evaluated for the presence of ten genes encoding putative
173 virulence factors characteristic of extraintestinal pathogenic *E. coli* using PCR as
174 described previously (11). These genes included those encoding S fimbriae (*sfaS*), F1C
175 fimbriae (*focG*), M blood group antigen-specific M fimbriae (*bma*), glucosaminyl-
176 specific G fimbriae (*gaf*), Dr family adhesins (*afa/dra*), toxin associated with
177 extraintestinal pathogenic *E. coli* (*cnf1*), siderophores as aerobactin (*iutA*),
178 yersiniabactin (*fyuA*), the serum survival gene (*traT*), and the invasion of brain
179 endothelium gene (*ibeA*).

180 **Statistical analyses**

181 Differences in the prevalence of antibiotic resistance between different groups
182 were assessed by Fisher's exact test. Association was determined by calculation of the
183 odds ratio (OR) with 95% confidence intervals (CI). The null hypothesis was rejected
184 for values of $P < 0.05$. Statistical analyses were performed using GraphPad Prism
185 version 3.02 software (GraphPad Software, Inc., San Diego, USA).

186

187 **Results**

188 **Clinical isolates and population study**

189 From January 2004 to August 2005, a total of 525 unduplicated *E. coli* ESBL
190 producers were collected. Of these isolates, 151 (28.8%) were simultaneously resistant
191 to cefotaxime and ceftazidime. Sixty-five (43%) were from males, 84 (55.6%) were
192 from females, and two were from patients whose the gender was not reported. Fifteen
193 (9.9%) were from children ≤ 14 years of age, 21 (13.9%) were from patients of 15 to 64
194 years of age, and 101 (66.9%) were from patients ≥ 65 years; in 14 cases, the patient's
195 age was unknown.

196 Of the 151 *E. coli* isolates, 76 (50.3%) were isolated from the urinary tract, 30
197 (19.9%) were from wounds, and 22 (14.6%) were from blood; the remaining 23 cases
198 were isolated from other clinical samples (15.2%). Ninety-eight of the patients (64.9%)
199 were admitted into different hospital departments: 33 (21.8 %) to internal medicine, 16
200 (10.6%) to the emergency room, 12 (7.9%) to pediatric units, 7 (4.6%) to surgery, 5
201 (3.3%) to the ICU, and 25 (16.5%) to other departments. Twenty-six patients (17.2%)
202 came from long- term care facilities for elderly people, and 21 patients (13.9%) came
203 from the community. In six cases, this information was not available.

204 In total, 92 isolates (60.9%) produced nosocomial infections (including those
205 from nursing homes), 55 (36.4%) produced community-acquired infections, and in 4
206 isolates, this information is unknown.

207 The clinical diagnoses included urinary tract infections (76 cases or 50.3%),
208 wound infection (30 cases or 19.9%), sepsis (22 cases or 14.6%), pneumonia (7 cases or
209 4.6%), peritonitis (3 cases or 2%), and abscess (2 cases or 1.3%).

210 Seventy-nine patients (52.3%) had predisposing underlying conditions with 21
211 cases being respiratory/cardiac diseases (13.9%). Also among these conditions were 16
212 cases of impaired immunity (10.6%) (diabetes: 6; tumoral pathology: 4; transplantation:
213 3; HIV infection: 2; rheumatic arthritis: 1), 11 cases of cognitive disorders (7.3%), 7
214 cases of urinary diseases (4.6%) (including 3 with a vesical catheter), 6 cases of
215 premature birth (4%), and 4 cases of liver pathology (2.6%).

216 Figure 1 shows the monthly distribution of the 101 *E. coli* strains that were
217 isolated during 2004 in two of the participating hospitals. In the period from January to
218 June, 29 (28.7%) cases were detected, and from July to December 72 (71.3%) cases
219 were detected ($P = 0.02$; OR: 0.57, 95% CI: 0.35-0.91).

220 **Antimicrobial susceptibility**

221 Data on antimicrobial susceptibility for all 151 *E. coli* isolates resistant to
222 cefotaxime and ceftazidime are provided in Table 1. The prevalence of antimicrobial
223 resistance, calculated according to the MICs, are as follows: 59.9% for
224 amoxicillin/clavulanic acid, 38.8% for piperacillin/tazobactam, 98% for cefepime, 8%
225 for ceftazidime, 89.3% for ciprofloxacin, 82% for cotrimoxazole, 70% for gentamicin,
226 82.7% for tobramycin, and 1.3% for amikacin (Table 1).

227 Compared to nosocomial infections, community acquired infections exhibited a
228 lower resistance to gentamicin (56.4% vs. 71%; $P = 0.001$; OR: 0.38, 95% CI: 0.18-
229 0.79), and tobramycin (72.2% vs. 87.6%; $P = 0.025$; OR: 0.36, 95% CI: 0.15-0.86).
230 Most of the isolates were resistant to the majority of antibiotics tested. As shown in
231 Table 2, 56 of the isolates (37.1%) exhibited multi-resistance pattern A, being
232 susceptible to amikacin, ceftazidime, and imipenem. Eleven of the isolates (7.3%) were
233 classified as having multi-resistance pattern B, being susceptible to amikacin and
234 imipenem. Eleven of the isolates (7.3%) were susceptible to amikacin, ceftazidime,
235 imipenem, and gentamicin (pattern C).

236 **Molecular epidemiology**

237 Cluster analyses of DNA fingerprinting performed on the 151 isolates is shown
238 in Figure 2. *Xba*I did not digest the DNA of one isolate.

239 Using PFGE analysis, four clusters of isolates were detected which exhibited a
240 genetic relatedness of 85–100% (Figure 2). One hundred three isolates made up cluster
241 I, 11 isolates made up cluster II, 6 isolates made up cluster III, and 5 isolates made up
242 cluster IV. The remaining 25 isolates had a genetic similarity of < 85% (Figure 2) and
243 were considered unrelated. Cluster I was distributed among all participant centers and
244 included nosocomial (65%) and community isolates (35%).

245 Clusters II and IV were distributed among three of the participant centers while
246 the six isolates of cluster III belonged exclusively to one of the centers.

247 Of the cluster I isolates, three (2.9%) were from children ≤ 14 years of age, 13
248 (12.6%) were from patients of 15 to 64 years of age, and 78 (75.7%) were from patients
249 ≥ 65 years; in 9 cases, the patient's age was unknown (Figure 3A). These isolates
250 caused urinary tract infections in 59 cases (57.3%), wound infections in 21 cases
251 (20.4%), sepsis in 15 cases (14.6%), pneumonia in 6 cases (5.8%), and abscesses in 2
252 cases (1.9%) (Figure 3B). As shown in Figure 3A, the isolates of cluster I were most
253 common in patients ≥ 65 years (75.7% vs. 47.9%; $P = 0.0014$; OR: 3.4, 95% CI: 1.6-
254 6.9). They were also more frequently implicated in urinary tract infections (57.3% vs.
255 35.4%; $P = 0.0147$; OR: 2.4, 95% CI: 1.2-4.9) than other isolates that were resistant to
256 cefotaxime and ceftazidime (Figure 3B).

257 Cluster I isolates were also significantly more resistant to ciprofloxacin,
258 gentamicin, tobramycin, amoxicillin/clavulanic acid, and piperacillin/tazobactam than
259 other *E. coli* isolates (Table 3). However, these isolates exhibited a lower resistance to
260 cefoxitin than did other strains (Table 3). Multi-resistance pattern A was the most
261 common pattern among the cluster I isolates (46.6%), followed by pattern D (9.7%)
262 (Table 2).

263 Sixty-seven of the 103 cluster I strains (65%) were collected during 2004 by two
264 of the participating hospitals. Sixteen (23.9%) of these strains were isolated between
265 January and June, and 51 strains (76.1%) were isolated from July to December (Figure
266 1).

267 **Isoelectric focusing**

268 Seventy-three *E. coli* isolates, which included a representative sample of the
269 different clusters identified by PFGE and of the unrelated strains, were tested by

270 isoelectric focusing. Fifty of 73 (68.5%) contained four β -lactamases with apparent pIs
271 equal to 5.4, 6.8, 7.4, and 8.6. The 41 cluster I isolates tested had this profile while only
272 10 (31.2%) of the 32 isolates that belonged to other clusters had this profile ($P <$
273 0.0001; OR: 177.9, 95% CI: 9.9-3180).

274 **Molecular analysis of ESBLs**

275 All 151 *E. coli* isolates resistant to cefotaxime and ceftazidime were screened
276 with specific CTX-M-10-group primers; DNA from a total of 140 isolates was
277 amplified, 133 isolates were identified as containing CTX-M-15, and 7 were identified
278 as containing CTX-M-32. The remaining 11 strains were analyzed using universal
279 CTX-M-type primers; 5 strains contained CTX-M-14, and 6 did not amplify.

280 All isolates of the four clusters as well as 8 (30.8%) of the 26 unrelated isolates
281 expressed CTX-M-15. All of the *E. coli* isolates expressing CTX-M-14- or CTX-M-32-
282 exhibited individual PGFE profiles.

283 Sequence analysis of PCR products obtained with bla_{TEM}- and bla_{OXA-1}-specific
284 primers identified the β -lactamases TEM-1 (pI = 5.4) and OXA-30 (pI = 7.4). No PCR
285 products were obtained using the bla_{SHV}, bla_{OXA-2}, and bla_{OXA-10} primers. The band
286 corresponding to the pI of 6.8 did not amplify with any of the specific primers for the
287 following β -lactamase groups: TEM, SHV, CTX-M, CTX-M-10, OXA-1, OXA-2,
288 OXA-9, OXA-10, OXA-22, OXA-23, OXA-51, and GES-1
289 (<http://www.lahey.org/studies/webt.asp>).

290 Eighteen isolates from clusters I, II, III or IV, which expressed CTX-M-15 were
291 analyzed using PROM+ and PRECTX-M-3B primers, as described in Material and
292 methods. Cluster I, III, and IV strains yielded PCR products of approximately 1000 bp.
293 Further sequencing of this fragment revealed that bla_{CTX-M-15} was directly linked to an
294 upstream ISE_{cp1}-like element, previously implicated in expression and mobilization of

295 CTX-M-15 (13). These isolates failed to yield amplicons using specific primers
296 designed to amplify a 400-bp fragment spanning the IS26 element and *bla*_{CTX-M-15} – a
297 characteristic of the epidemic strain A from the United Kingdom (32). In contrast, 8 of
298 the 11 isolates belonging to the cluster II yielded amplicons of approximately 2000 bp
299 and contained the fragment spanning the IS26 element and *bla*_{CTX-M-15} characteristic of
300 strain A (32).

301 **Identification of additional resistance genes**

302 The *tetA* gene was detected in 10 *E. coli* isolates resistant to tetracycline.
303 Resistance to trimethoprim and to sulphamides was associated with a combination of
304 *sul2* and *dfrA14* (8 isolates) or a combination of *sul1* and *dfrA17* (2 isolates). In
305 relation to the aminoglycosidase resistance genes, 7 isolates resistant to both gentamicin
306 and tobramycin had *aac* (6′)-1b and *aac* (3)-IIb genes. The remaining 3 isolates, which
307 were resistant to tobramycin but susceptible to gentamicin, contained only *aac* (6′)-1b.

308 The *acrR* gene amplified in all 10 isolates examined. Six of these isolates
309 exhibited no gene modifications. In contrast, 2 exhibited a single amino acid
310 substitution (Gly28Val), and 2 exhibited a single nucleotide deletion (Gua85 and
311 Cyt249).

312 **Virulence factors**

313 All of the 27 isolates examined harbored the same three virulence genes with
314 one exception. Two genes encoded siderophores (*iutA* and *fyuA*) and one encoded a
315 serum survival factor (*traT*). One isolate had only the *traT* gene. None of the genes
316 encoding S, G, M, and F1C fimbriae were detected in these 27 isolates.

317

318 **Discussion**

319 We have previously described significant increases in ESBL production, by both
320 nosocomial and community acquired *E. coli*, in recent years in Spain (21). Other
321 worldwide studies have documented similar findings (6,30). This increase has been
322 attributed to the rising prevalence of the CTX-M family of ESBLs that has emerged as
323 an important and rapidly developing problem worldwide (1,9,25). The first CTX-M-
324 type enzyme detected in Spain was CTX-M-9, reported in 1996 (26). In 2003, the first
325 report documenting the isolation of a CTX-M-like ESBL in the United States was
326 published (9 strains from 5 US States) (27).

327 At present, the more prevalent CTX-M-types isolated from clinical samples in
328 Spain have been CTX-M-9 and the genetically related CTX-M-14, followed by CTX-
329 M-10 (9,17,25). No clonal dissemination of ESBL-producing *E. coli* was observed in a
330 nationwide study of 40 Spanish centers in 2000 (9). CTX-M-15 was not detected in any
331 of the three clinical Spanish studies mentioned (9,17,25). Also, CTX-M-15 was not
332 detected in one study of fecal carriers performed in Madrid in 2003 (29). All ESBLs
333 found were of the CTX-M-9, CTX-M-10, and CTX-M-14 types (29). However, in
334 another fecal carrier study from Barcelona (2001-2002), CTX-M-15 was detected in
335 five cases, as were both CTX-M-9 and CTX-M-14 (17).

336 Data reported here indicate that dissemination of high-level cefotaxime- and
337 ceftazidime-resistant *E. coli* may be attributable to the following. First, the majority of
338 resistant isolates were clonally associated and may have caused epidemics in several
339 clinical settings. Second, ESBL CTX-M-15 demonstrates the ability to spread among
340 different clusters of *E. coli*. Third, the simultaneous presence of other ESBLs of the
341 CTX-M type like CTX-M-32 and CTX-M-14 may produce the same 3rd generation
342 cephalosporin resistance profile.

343 The main epidemic *E. coli* strain was detected in 2004–2005 in isolates from
344 patients of very distinct origins, which included three hospitals with a joint catchment
345 population of approximately 1,300,000 persons (total population of the Madrid
346 Autonomous region is approximately 5.5 million) covering several distinct
347 administrative health areas, eight different nursing homes, and one community
348 healthcare center. Infection-control measures were reinforced afterwards.

349 ESBL CTX-M-15 was first described in New Delhi in 1999 and is carried on
350 large plasmids in *E. coli*, *Klebsiella pneumoniae*, and *Enterobacter aerogenes* (13).
351 Since then, outbreaks of CTX-M-15 *E. coli* producers have been described in France,
352 the United Kingdom, and Canada (2,15,32). In some studies, the simultaneous presence
353 of OXA-30 was also reported (7).

354 The great majority of isolates belonging to our epidemic cluster I was from
355 urinary tract infections that affect older patients, in accordance with other studies
356 (15,19). However, our data may indicate a complex underlying epidemiology of these
357 CTX-M-15 producers. The high spreading capacity of CTX-M-15 includes two
358 possible scenarios. One is the spread of an epidemic clone with some selective
359 advantages (e.g., multiple antibiotic resistance and enhanced virulence) between
360 different hospitals, long-term care facilities, and the community; the other is the
361 horizontal transfer of plasmids or genes that carry *bla*_{CTX-M-15} alleles. Here we
362 demonstrate the presence of *bla*_{CTX-M-15} within two different genetic environments in the
363 same geographical region. The *bla*_{CTX-M-15} gene was directly linked to an upstream
364 insertion sequence *ISEcp1*-like element (clusters I, III and IV of this study), as
365 described in Canada, Cameroon, and India (2,7,13). In other isolates (8 strains of
366 cluster II of this study), an *IS26* element was inserted within *ISEcp1*-like element

367 preceding the *bla*_{CTX-M-15} gene, as described in the epidemic strain A of United
368 Kingdom (32).

369 In addition to β -lactamase resistance mechanisms, CTX-M-15 *E. coli* producers
370 carried an important pool of mobile resistance genes including *tetA*, *dfrA14* or *dfrA17*,
371 *sul1* or *sul2*, *aac* (6')-1b, and *aac* (3)-IIb. Resistance to gentamicin and tobramycin was
372 associated with a combination of the *aac* (6')-1b and *aac* (3)-IIb genes, while resistance
373 to tobramycin was linked to *aac* (6')-1b.

374 We also examined possible mutations in *acrR*, the regulator of the *acrAB* gene
375 encoding a multi-drug efflux pump that has been associated with antimicrobial
376 resistance – principally fluoroquinolone resistance (31). Four of the seven multi-
377 resistant *E. coli* isolates tested demonstrated different amino acid substitutions or
378 deletions in the *acrR* system; two of them had an amino acid substitution in a position
379 (Gly28) previously described to be connected to norfloxacin, chloramphenicol and
380 tetracycline resistance (31). Acquisition of antibiotic resistance may be associated to
381 decreased expression of virulence determinants (12). This has also been observed in
382 ESBL *E. coli* producers isolated from urinary tract infections (15). We did not detect
383 F1C, S, G and M fimbriae genes by PCR in our strains (that came from non-invasive
384 infections in the 86% of the cases); however, the strains isolated from blood also had
385 the same virulence genetic profile.

386 In this study, 35% of the cluster I isolates were implicated in community-
387 acquired infections. With probable origins of hospitals or nursing homes, these findings
388 are demonstrative of the disruption of hospital-community barriers. In addition, the
389 high and increasing use of fluoroquinolones in Spain and other countries (21) may be
390 associated with a co-selection phenomenon, which facilitate the persistence and spread
391 of this epidemic strain in fecal flora of healthy carriers. Long-term care centers may

392 represent a significant reservoir for multi-resistant ESBL-producing *E. coli* isolates, and
393 infection control efforts must be addressed in these settings.

394 In summary, this study reports the spread of epidemic or occasional resistant *E.*
395 *coli* isolates between the community, long-term care facilities and hospital settings. It
396 also documents the first outbreak of a CTX-M-15 *E. coli* producer in Spain, affecting
397 patients admitted to hospitals, nursing homes, and community outpatient centers. The
398 CTX-M-15 extended spectrum β -lactamase was found to be widespread in a main
399 epidemic *E. coli* strain and also in the majority of other isolates resistant to cefotaxime
400 and ceftazidime. The same 3rd generation cephalosporin resistance profile was also due
401 to isolates expressing other ESBLs like CTX-M-32 and CTX-M-14. The majority of
402 these isolates were found to be susceptible to carbapenems but resistant to the vast
403 majority of antibiotics tested.

404 Dissemination of these strains type could lead to important changes in the
405 epidemiology of *E. coli* ESBL producers and generate important therapeutic problems.

406

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533

534 TABLE 1. Antimicrobial susceptibility of 151 *Escherichia coli* isolates resistant to
 535 cefotaxime and ceftazidime.

536

Antibiotic	S (%)	I (%)	R (%)	MIC ₅₀ *	MIC ₉₀ *	Range*
Ampicillin	0	0	151 (100)	>16	>16	>16
Amoxicillin/Clavulanic acid	13 (8.7)	48 (32)	90 (59.3)	>16	>16	4->16
Piperacillin/Tazobactam	41 (27)	52 (34.2)	58 (38.8)	64	>128	4->128
Cefotaxime	0	0	151 (100)	>32	>32	>32
Ceftazidime	0	15 (10)	136 (90)	>16	>16	16->16
Cefepime	2 (1.3)	1 (0.7)	148 (98)	>16	>16	4->16
Cefoxitin	128 (84.7)	11 (7.3)	12 (8)	8	16	≤4->16
Ciprofloxacin	16 (10.7)	0	135 (89.3)	>2	>2	≤0.12->2
Gentamicin	45 (30)	0	106 (70)	>8	>8	≤2->8
Tobramycin	25 (16.6)	1 (0.7)	125 (82.7)	>8	>8	≤2->8
Amikacin	143 (94.7)	6 (4)	2 (1.3)	≤8	16	≤8->32
Trimethoprim/Sulfamethoxazole	27 (18)	0	124 (82)	>2	>2	≤0.5->2
Imipenem	151 (100)	0	0	≤1	≤1	≤1

537 S: Susceptible; I: Intermediate; R: Resistant.

538 * µg/mL.

539 TABLE 2. Most common multi-resistance patterns found in *Escherichia coli* isolates
 540 belonging to cluster I and other isolates resistant to cefotaxime and ceftazidime.
 541

Multi-resistance patterns	Cluster I isolates (%)	Other isolates (%)
A: Amp-A/Clav-Ctx-Caz-Cef-Pip/Taz-Gen- Tob-Cip-Sxt	48 (46.6)	8 (16.7)
B: Amp-A/Clav-Ctx-Caz-Cef-Pip/Taz-Gen- Tob-Cip-Sxt-Fox	6 (5.8)	5 (10.4)
C: Amp-A/Clav-Ctx-Caz-Cef-Pip/Taz-Tob- Cip-Sxt	6 (5.8)	5 (10.4)
D: Amp-A/Clav-Ctx-Caz-Cef-Pip/Taz-Gen- Tob-Cip	10 (9.7)	0
E: Amp-A/Clav-Ctx-Caz-Cef-Gen-Tob-Cip- Sxt	8 (7.8)	0

542
 543 Amp: ampicillin, A/Clav: amoxicillin/clavulanic acid, Ctx: cefotaxime, Caz:
 544 ceftazidime, Cef: cefepime, Pip/Taz: piperacillin/tazobactam, Gen: gentamicin, Tob:
 545 tobramycin, Cip: ciprofloxacin, Sxt: trimethoprim/sulfamethoxazole, Fox: cefoxitin.
 546
 547

548 TABLE 3. Comparison of antimicrobial resistance data between *Escherichia coli*
 549 isolates belonging to cluster I (n = 103) and other isolates (n = 48) resistant to
 550 cefotaxime and ceftazidime.

551

Antibiotics	Cluster I N (%)	Other strains N (%)	OR	95% CI	P
Amoxicillin/Clavulanic acid*	99 (96.1)	39 (81.2%)	5.7	1.7-19.6	0.004
Piperacillin/Tazobactam*	84 (81.6)	28 (58.3)	3.2	1.5-6.7	0.005
Cefoxitin	2 (1.9)	10 (20.8)	0.07	0.02-0.4	0.0002
Ciprofloxacin	99 (96.1)	36 (75)	5.7	1.7-19.6	0.004
Gentamicin	85 (82.5)	22 (45.8)	5.6	2.6-12	<0.0001
Tobramycin	93 (90.3)	32 (66.7)	4.6	1.9-11.3	0.0008

552

553 * Intermediate and resistant isolates included.

554

555 **Figure legends:**

556 FIGURE 1. Monthly distribution of total cases of *Escherichia coli* isolates belonging to
557 cluster I and other isolates resistant to cefotaxime and ceftazidime in two participating
558 hospitals during 2004.

559

560 FIGURE 2. Dendrogram that illustrates the genetic relationship of 150 ESBL-producing
561 *Escherichia coli* isolates resistant to cefotaxime and ceftazidime.

562

563 *Isolates identification number

564

565 FIGURE 3. Comparison of patient's age (A) and clinical diagnostics (B) between
566 *Escherichia coli* isolates belonging to cluster I and other isolates resistant to cefotaxime
567 and ceftazidime.

568

569 1: $P = 0.001$

570 2: $P = 0.01$