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1 **A hispanolone-derived diterpenoid inhibits M2-Macrophage polarization *in vitro***  
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3 **via JAK/STAT and attenuates chitin induced inflammation *in vivo*.**  
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## Abstract

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3 Macrophages are highly plastic cells that adopt different functional phenotypes  
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5 in response to environmental signals. Classically activated macrophages (M1) exhibit a  
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7 pro-inflammatory role, mediating host defense against microorganisms or tumor cells;  
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9 whereas alternatively activated macrophages (M2) perform a range of physiological  
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11 processes, including inflammation, wound repair and tissue remodeling. Interestingly,  
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13 M2 macrophages have been involved in pathological settings such as tumor  
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15 progression, parasitic infection and respiratory disorders. Consequently, the search of  
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17 new agents able to control macrophage polarization is on the basis of new therapeutic  
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19 strategies.  
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24 In the present study, we have evaluated the effect of the hispanolone derivative  
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26 8,9-dehydrohispanolone-15,16-lactol (DHHL) on M2 macrophage polarization. Our  
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28 results reveal that DHHL significantly inhibited IL-4- or IL-13-stimulated M2  
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30 macrophage activation, as showed by reduced expression of M2 markers. In addition,  
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32 DHHL suppressed IL-4-induced STAT-6 and JAK-1 tyrosine phosphorylation,  
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34 suggesting that this compound inhibited M2 polarization by suppressing the JAK-STAT  
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36 signaling pathway. Finally, DHHL prevented eosinophil recruitment and the presence of  
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38 F4/80<sup>+</sup>-CD206<sup>+</sup> M2-like macrophages in an *in vivo* model of M2 polarization via  
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40 administration of chitin. Collectively, these results confirm DHHL as a novel regulator  
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42 of macrophage polarization suitable to design future therapies towards M2-macrophages  
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44 mediated pathologies.  
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56 **Key words:** hispanolone, diterpenoids, macrophage polarization, STAT-6, chitin.  
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## 1. Introduction

Macrophages represent an essential cell population of innate immunity with a critical role in inflammation and host defense as well as in the maintenance of tissue homeostasis [1]. Two major phenotypes have been characterized: the classically activated macrophages (M1) and the alternatively activated macrophages (M2) [1;2]. These macrophages display a differential expression profile of cytokines, enzymes and cell-surface markers. M1 phenotype is driven by interferon- $\gamma$  (IFN- $\gamma$ ), bacterial products, such as lipopolysaccharide (LPS), and Toll-like receptor (TLR) agonists. M1 macrophages exhibit antimicrobial and cytotoxic functions and are characterized by enhanced production of pro-inflammatory cytokines, expression of MHC class II molecules and generation of free radicals including nitric oxide (NO). Thus, M1 macrophages constitute a critical cellular component involved in the inflammatory response and antitumor immunity. Conversely, M2 macrophages differentiate in the presence of interleukin-4 (IL-4) or IL-13 cytokines and display anti-inflammatory and pro-tumorigenic activities by producing anti-inflammatory factors [IL-10, transforming growth factor- $\beta$  (TGF- $\beta$ ), and IL-1 receptor antagonist], promoting angiogenesis, tissue remodeling and tissue repair [1;3;4]. In addition, M2 macrophages express a different subset of innate immunity molecules as compared to M1 macrophages [2;3;5]. Expression of IL-10 cytokine, arginase-1 (Arg-1), mannose receptor (MRC1/CD206) and genes associated with tissue remodelling such as chitinase 3-like 3 (Ym-1) and Found in Inflammatory Zone 1 (Fizz-1), distinguish M2 macrophages from the other phenotype [2;6].

Molecularly, IL-4 and IL-13 bind to two types of transmembrane receptors named: type I, heterodimer formed by IL-4R $\alpha$  and IL-4R common  $\gamma$ -chain, and type II, heterodimer formed by IL-4R $\alpha$  and IL-13R $\alpha$ 1 chain. IL-4 signals through both

1 receptors, whereas IL-13 stimulates exclusively the type II receptor. Type I receptors  
2 interact with JAK1 and JAK3 and downstream signal through two independent  
3 pathways: one mediated by STAT6 and the other by IRS-1/2-PI3K [3;7;8].  
4 Furthermore, it has been described that IL-4R $\alpha$  associates with JAK1 whereas IL-4R $\gamma$ C  
5 associates exclusively with JAK3. On the other hand, type II receptors interact with  
6 JAK1 and JAK2 and only signal through STAT6 [3;7-10]. Once STAT6 is  
7 phosphorylated by a member of the JAK family, it translocates into the nucleus and  
8 binds to specific promoters of target genes [2]. Relevant to our work, type I receptor is  
9 mainly expressed by hematopoietic cells [11].

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22 An imbalance between M1-M2 macrophage populations has been reported in the  
23 context of various diseases. Development of chronic diseases including cancer,  
24 respiratory pathologies as chronic obstructive pulmonary disease (COPD), and the rare  
25 diseases idiopathic pulmonary fibrosis and cystic fibrosis, have been associated with an  
26 excessive accumulation of M2 macrophages [12;13]. Therefore, modulation of M1-M2  
27 polarization may provide a novel therapeutic strategy to these diseases.

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36 Natural products are one of the most successful sources of drug leads. Among  
37 them, the labdane diterpenoid hispanolone and its derivatives have been described to  
38 exert anti-inflammatory and anti-tumoral activities. We have previously reported that  
39 selected hispanolone derivatives reduced the release of inflammatory mediators as NO,  
40 prostaglandins and TNF- $\alpha$  in LPS-activated macrophages through inhibition of NF- $\kappa$ B  
41 [14]. In addition, they also exhibited *in vivo* anti-inflammatory effects in the mouse ear  
42 edema induced by 12-*O*-Tetradecanoylphorbol-13-acetate (TPA) model with inhibition  
43 and reduction of neutrophil infiltration [14]. However, the effects of these hispanolone  
44 derivatives on M2 macrophage polarization have not been reported. In the present study,  
45 we examined the role of the hispanolone derivative 8,9-dehydrohispanolone-15,16-

1 lactol (DHHL) on M2 macrophage polarization. Our results demonstrate that DHHL  
2 inhibited IL-4-induced expression of typical M2 markers as Arg-1, Fizz-1, Ym-1,  
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4 MRC1, interferon regulatory factor-4 (IRF-4), or macrophage galactose N-acetyl-acetyl-  
5 galactosamine 2 (MGL-2) in a dose-dependent manner. Consistently, protein levels of  
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7 Arg-1 and Ym-1 were reduced after DHHL treatment. In addition, DHHL significantly  
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9 suppressed IL-4-induced JAK-STAT activation on macrophages, suggesting that JAK-  
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11 STAT pathway might be involved in the inhibitory effects of DHHL on M2  
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13 polarization. Finally, the inhibitory effect of DHHL was also observed after stimulation  
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15 with IL-13 and confirmed in an *in vivo* model of M2 polarization via administration of  
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17 chitin. These data indicate that DHHL suppresses macrophage alternative phenotype  
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19 induced by a M2-like environment,  
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## 29 **2. Materials and methods**

### 30 **2.1. Animals.**

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32 All animal care and experimental procedures were conducted in accordance with the  
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34 guidelines for Animal Care and were approved by the Instructional Animal Care and Use  
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36 Committee (IACUC) of the Instituto de Salud Carlos III. Studies involving animals are  
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38 reported in accordance with the ARRIVE guidelines for reporting experiments involving  
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40 animals [15;16]. Studies were performed on C57BL/6J mice. Mice were housed four per  
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42 cage in a controlled environment (12 hour light/dark cycle at 21°C) with free access to  
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44 water and food.  
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### 50 **2.2. Materials.**

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52 8,9-dehydrohispanolone-15,16-lactol (DHHL) was obtained as previously described  
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54 [14]. Stocks were dissolved in dimethyl sulfoxide (DMSO) and subsequently diluted in  
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56 PBS before use (maximum concentration 0.01%).  
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### 2.3. Cell culture and preparation of elicited peritoneal macrophages.

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2 Peritoneal macrophages were elicited by intraperitoneal injection of 2.5 ml 3%  
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4 thioglycollate (Becton-Dickinson) in distilled water and were prepared as reported by  
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6 Través et al. [17]. Cells were seeded at  $1 \times 10^6/\text{cm}^2$  in RPMI containing 10% FBS.  
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8 Nonadherent cells were removed 2 hours after seeding by extensive washing with  
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10 medium.  
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14 Cells were pre-treated with DHHL or appropriate control for 15 minutes at 37°C  
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16 before addition of 20 ng/ml of IL-4/IL-13 (Peprotech) for the indicated times.  
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### 2.4. MTT assay for cell viability.

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20 Cells were incubated in the presence of different concentrations of DHHL for 24 h.  
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22 MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyl tetrazolium bromide) (2 mg/ml) was  
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24 added to the wells and incubated for 3 h at 37 °C. The reaction product, formazan, was  
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26 extracted with DMSO and the absorbance was read at 540 nm as previously reported  
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28 [18]. Assays were performed in triplicate, and results are expressed as the percent  
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30 reduction in cell viability compared to untreated control cultures for at least three  
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32 independent experiments.  
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### 2.5. Arginase activity measurement.

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39 Arginase activity was assessed in cell lysates indirectly by measuring urea  
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41 concentration generated by the arginase-dependent hydrolysis of L-arginine [19].  
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43 Briefly, cells were lysed with 20 mM Tris (pH 7.5), 150 mM NaCl, 2mM EDTA, and  
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45 0.1% Triton X-100-containing protease inhibitor mixture (Sigma) for 30 minutes at  
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47 room temperature. Standards were prepared by serially diluting a stock of urea (Sigma)  
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49 in 50 mM Tris-HCl (pH 7.5) to yield a standard range from 25 to 1,500 µg/ml. Lysates  
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51 and standards (25 µl) were mixed with 25 µl of 10 mM MnCl<sub>2</sub> in 50 mM Tris-HCl (pH  
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53 7.5) in a 2 ml Eppendorf tube. Tubes were then incubated for 10 minutes at 55°C for  
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1 activation. Next, arginine hydrolysis was conducted by incubating 50  $\mu$ l of the lysates  
2 and standards with 50  $\mu$ l of 0.5 M L-arginine at 37°C for 75 minutes, followed by the  
3  
4 addition of 400  $\mu$ l stopping solution ( $\text{H}_2\text{SO}_4/\text{H}_3\text{PO}_4/\text{H}_2\text{O} = 1/3/7$ , v/v/v). To measure the  
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6 amount of urea in each tube, 50  $\mu$ l of 9% 1-phenyl-1,2-propanedione-2-oxime (Sigma) in  
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8 100% ethanol was added to each sample and standard, and tubes were incubated at  
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10 100°C for 60 minutes. Tubes were placed in the dark at 25°C for 30 minutes. Samples  
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12 and standards (100  $\mu$ l/well) were transferred in triplicate to a 96-well plate, and optical  
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14 density was read at 540 nm with a 690 nm correction. Sample concentrations were  
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16 determined from the standard curve and converted to Arginase Units using the following  
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18 formula: [Urea Produced ( $\mu$ g/ml)/Total Protein ( $\mu$ g/ml)].  
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## 24 **2.6. Total protein extracts and Western blot.**

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26 Cells cultured in 6-well plates were lysed at 4°C with 0.2 ml buffer A per well  
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28 (0.5% Chaps, 10mM Tris pH 7.5, 1mM  $\text{Cl}_2\text{Mg}$ , 1mM EGTA, 10% Glycerol, 5mM  $\beta$ -  
29  
30 mercaptoethanol) and protease inhibitor cocktail (Sigma). Protein content was assayed  
31  
32 with the Bio-Rad protein reagent. All cell fractionation steps were carried out at 4°C.  
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34 Protein extracts were subjected to SDS-PAGE (10-15% gels) and blotted onto  
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36 polyvinylidene difluoride membranes (GE Healthcare), which were incubated with the  
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38 following antibodies: anti-Arg-1 (sc-20150), anti-p-STAT-6 (sc-71793), anti-STAT-6  
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40 (sc-374021), anti-p-JAK-1 (sc-101716) (Santa Cruz Biotechnology), anti-Ym-1 (Stem  
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42 Cell) or anti- $\beta$ -actin (Sigma). After incubation with HRP-conjugated secondary  
43  
44 antibody, protein bands were revealed with an enhanced chemiluminescence kit (GE  
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46 Healthcare).  $\beta$ -actin was used as a loading control. After treatment with 100 mM  $\beta$ -  
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48 mercaptoethanol, 2% SDS in TBS and heating at 60°C for 30 minutes, blots were  
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50 sequentially re-probed with different antibodies.  
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## 58 **2.7. RNA isolation and quantitative PCR.**

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1 Total RNA was isolated from cells with Trizol reagent (Invitrogen). Quantitative  
2 PCR (SYBR Green) analysis was performed with an ABI 7500 Fast sequence analyser  
3 as described [17]. Each sample was run in duplicate, and all samples were analyzed in  
4 parallel for the expression of the housekeeping gene 36B4 (acidic ribosomal  
5 phosphoprotein P0), which was used as an endogenous control for normalization of the  
6 expression level of target genes. Fold induction was determined from mean replicate  
7 values. Primer used for quantitative PCR sequences are shown in Table 1.  
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## 10 **2.8. *In vivo* chitin-induced M2 macrophages polarization model.**

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12 Intranasal or intraperitoneal (i.p.) administration of chitin particles results in  
13 activation of macrophages with a M2 phenotype [20;21]. For *in vivo* experiments,  
14 C57BL/6J mice were divided in four experimental groups: control, DHHL, chitin, chitin  
15 + DHHL (n=5 per group).  
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18 Intraperitoneal administration of chitin: Chitin (800ng, Sigma) was injected i.p. to  
19 induce recruitment and polarization of M2 cells into the peritoneum, as previously  
20 described [22]. One hour after administration of chitin, mice were i.p. injected with 2  
21 mg/kg body weight (b.w.) DHHL in 1 ml sterile saline. Peritoneal exudate cells (PECs)  
22 were collected by lavage after 48 hours and gene induction was determined by  
23 quantitative PCR. Additionally, PECs were analyzed by standard techniques of flow  
24 cytometry (MACSQuant, Miltenyi Biotec) to detect macrophages (F4/80<sup>+</sup>-CD11b<sup>+</sup>),  
25 eosinophils (SiglecF<sup>+</sup>-CD11b<sup>+</sup>) or M2 macrophages (F4/80<sup>+</sup>-CD206<sup>+</sup>).  
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29 Intranasal administration of chitin: Mice were anesthetized via i.p. injection with a  
30 mixture of ketamine/xilacin (1mg/ml). The anesthetized mouse was then subjected to  
31 intranasal inhalation of PBS, DHHL (30µg) and/or chitin (200 ng). DHHL and chitin  
32 particles were suspended at indicated concentrations in 30µl PBS and administered by  
33 placing dropwise in both nasal orifices of the mouse. After 48 h of chitin administration,  
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1 mice were sacrificed and tissue samples and broncoalveolar lavage were obtained as  
2 described below.  
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## 4 **2.9. Analysis of cell populations by flow cytometry.**

5 Peritoneal exudate single-cell suspensions were preincubated with Fc $\gamma$ R-specific  
6 blocking mAb (2.4G2) (BD Bioscience) for 30 min at 4°C, and washed before labeling.  
7 Cells were incubated with the following antibodies AlexaFluor 647-anti-F4/80  
8 (Abcam), PE-SiglecF (BD Pharmingen), Alexa Fluor 488-anti-CD206 (BioLegend),  
9 Alexa Fluor 488-anti-CD11b (BD Pharmingen). Samples were examined on a  
10 MACSQuant (Miltenyi Biotec) flow cytometer and data were analyzed with the  
11 MACSQuantify software.  
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## 24 **2.10. Histology and immunohistochemistry of lung tissues.**

25 For histological analysis, lungs were removed and fixed in 10% neutral buffered  
26 formalin at 4°C for 24 h. Tissues were embedded in paraffin, sectioned at 5  $\mu$ m  
27 thickness, and stained with hematoxylin and eosin (H&E) to evaluate organ morphology  
28 and inflammatory foci using a light microscope.  
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36 For immunostaining, tissue sections were deparaffinized and subjected to standard  
37 antigen retrieval process by boiling into sodium-citrate buffer (0.1 M Na citrate, 0.1 M  
38 citric acid, pH 6.0), followed by 3% H<sub>2</sub>O<sub>2</sub> incubation for 10 min, and blocking with  
39 1.5% normal horse serum for 2 h. Samples were incubated overnight at 4 °C with  
40 control rabbit IgG or rabbit polyclonal antibody to Ym-1 (1:500, Stem Cell  
41 Technologies) diluted in blocking buffer. Sections were then washed and incubated at  
42 room temperature for 30 min with biotinylated secondary antibody followed by the  
43 Vectastain Elite ABC kit (Vector Labs). A DAB Peroxidase Substrate Kit (Vector Labs)  
44 was used to visualize peroxidase reaction. The number of Ym-1<sup>+</sup> macrophages was  
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quantified microscopically for each slide from 5-10 randomly chosen fields of five independent mice, as previously described [23].

### **2.11. Broncoalveolar lavage (BAL).**

Animals were euthanized by an overdose of isoflurane and BAL was performed after exposing the trachea with a midline neck incision and doing a tracheostomy with a 14-gauge needle. Ice-cold sterile PBS was introduced (1 ml, 3 times); about 80% of the volume was recovered each time. The BAL fluid was centrifuged (5000 rpm, 5 min, 4°C) and cell pellets were collected for the analysis of M2 markers by quantitative PCR.

### **2.12. Analysis of the lung cellular infiltrate.**

Lungs were excised and digested with 0.1% collagenase in HBSS for 1 hour at 37°. Lung cell suspensions were filtrated through 70 µm filters and resuspended in free serum medium. Macrophages, eosinophils, or M2 macrophages cell counts were performed by flow cytometry (MACSQuant, Miltenyi Biotec).

### **2.13. Measurement of cytokine production.**

IL-4 and IL-13 cytokines released to BAL fluid after 48 h chitin administration were determined by ELISA kits (R&D Systems) following manufacturer's recommendation. Absorbance values were converted to the concentration of each cytokine in the BAL fluid (picograms per milliliter) by interpolation to the respective standard curve.

### **2.14. Statistical analysis.**

Data presented are shown as means  $\pm$  SD of at least three independent experiments. Statistical significance was estimated by Student's *t* test for comparison between two groups. For comparison between two or more groups, one-way ANOVA, followed by Bonferroni's post hoc comparisons was used. Differences were considered significant at

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\* $p < 0.05$ . All statistical analyses were conducted using GraphPad Prism 5.0 (GraphPad Software).

### 3. Results

#### 3.1. DHHL inhibits the expression of IL-4-induced M2 markers.

The chemical structure of DHHL is shown in Fig. 1A. In order to discard toxicity of DHHL on peritoneal macrophages, cells were treated for 24 h with a range of DHHL concentrations from 1 to 25  $\mu$ M and cell viability was measured by MTT assay. No significant effects on cell viability were observed after DHHL treatment (Fig. 1B). A classical readout for M2 macrophage polarization is the induction of Arg-1. To explore the potential effects of DHHL on Arg-1 activation, we examined the levels of this gene after IL-4 treatment by quantitative PCR. As shown in Fig. 1C, mRNA levels of Arg-1 were markedly increased upon IL-4 treatment, whereas DHHL pre-incubation inhibited Arg-1 expression in a dose-dependent manner. In concordance, a significant decrease in arginase activity, using an urea-based assay, was also observed in peritoneal macrophages after DHHL treatment (Fig. 1D). Furthermore, regulation of Arg-1 was also analyzed using a specific Arg-1 antibody. DHHL significantly suppressed IL-4-induced Arg-1 expression (Fig. 1E).

In addition to Arg-1, other well established M2 markers as Fizz-1, Ym-1, MRC1, IRF-4, or MGL-2 have been described to be upregulated in M2 macrophages [5]. As observed for Arg-1 expression, DHHL treatment significantly inhibited IL-4-stimulated M2-type markers expression in a dose-dependent manner (Fig. 2A). Inhibitory effects were confirmed by western blot with a dose-dependent inhibition of Ym-1 protein levels in macrophages treated with IL-4 (Fig. 2B).

#### 3.2. DHHL prevents IL-13-stimulated M2 macrophage polarization.

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In order to examine whether the inhibitory effect of DHHL could be extended to other M2 stimuli, we induced M2 polarization of macrophages with IL-13. We found that DHHL treatment potently inhibited expression of all M2 markers evaluated above in a similar manner as previously observed for IL-4 treatment (Fig. 3A). In addition, protein levels of Arg-1 and Ym-1 were also reduced in the presence of DHHL (Fig. 3B). Thus, DHHL acts as a broad inhibitor of alternative macrophage polarization elicited by different M2-like stimuli.

### 3.3. DHHL suppresses IL-4-mediated JAK-1/STAT-6 activation.

Alternative macrophage activation has been described to be mainly regulated by an IL-4R $\alpha$ -STAT6-dependent mechanism [3]. We next evaluated STAT-6 activity after IL-4 stimulation in the presence or absence of DHHL. As shown in Fig. 4A, IL-4 stimulation induced a robust STAT-6 phosphorylation with maximal activation at 60 and 90 minutes. By contrast, in the presence of DHHL, a marked inhibition of STAT-6 activation was observed at all time points analyzed. The ability of DHHL to suppress IL-4-induced STAT-6 phosphorylation indicates that this compound might interfere with the upstream signaling components such as JAK-1. Analysis of tyrosine phosphorylation of JAK-1 after DHHL treatment showed attenuation of IL-4-induced JAK-1 activation (Fig.4B). Therefore, DHHL may modulate M2 polarization of macrophages through inhibition of JAK/STAT-6 signaling pathways.

### 3.4. DHHL inhibits M2 polarized macrophages in a chitin challenged *in vivo* model.

It has been reported that chitin challenge recruits M2 macrophages to the site of administration, being a critical event for subsequent recruitment of eosinophils [20;21;24;25]. To corroborate our *in vitro* results, the *in vivo* effects of DHHL were investigated using this model.

1 First, we evaluated the effect of DHHL after i.p. administration of chitin. Analysis  
2 of the different cell populations present in peritoneal exudate from chitin-challenged  
3 mice in the presence or absence of DHHL was performed by flow cytometry. The  
4 number of chitin-elicited whole macrophage population (F4/80<sup>+</sup>-CD11b<sup>+</sup>), M2  
5 macrophages subset (F4/80<sup>+</sup>-CD206<sup>+</sup>) and eosinophils (SiglecF<sup>+</sup>-CD11b<sup>+</sup>) was severely  
6 impaired in mice treated with DHHL (Fig. 5A,B). Furthermore, expression of M2 genes  
7 (Arg-1, Fizz-1, and Ym-1) was markedly lower in PECs from chitin-challenged mice  
8 treated with DHHL compared to controls (Fig. 5C).  
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10 We next evaluated the effect of DHHL on allergic inflammation after intranasal  
11 administration of chitin. Analysis of the composition of lung cellular infiltrate by flow  
12 cytometry showed that exposure to chitin increased the number of macrophages (total  
13 and M2) and eosinophils; whereas the percentages of all these cell subsets were  
14 significantly lower after DHHL treatment (Fig. 6A). H&E histological analysis revealed  
15 that chitin challenge resulted in the increase of inflammatory cell infiltrates. In contrast,  
16 treatment with DHHL elicited protection against all these pathological features as  
17 evidenced by reduced cellular infiltration. Interestingly, lung sections from mice treated  
18 with DHHL alone exhibited normal lung morphology (Fig. 6B). Furthermore, Ym-1  
19 expression was significantly reduced in lung tissues after DHHL treatment compared  
20 with the levels observed in chitin-elicited animals (Fig. 6C and D). Finally, in  
21 agreement with the protective effects observed in lung tissues, quantitative PCR  
22 analyses of BAL from the different groups demonstrated that treatment with DHHL  
23 significantly decreased the expression of typical M2 markers (Arg-1 and Ym-1) (Fig.  
24 6E), supporting the inhibitory role of DHHL on M2 polarization.  
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55 Accumulation of eosinophils after chitin treatment has been described to contribute  
56 to the release of IL-4 and IL-13 [21]. In order to correlate the amounts of IL-4 or IL-13  
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1 released by chitin to the ability of gathering M2 polarized cells, we evaluated BAL  
2 samples for the effects of DHHL on both mRNA expression and cytokine levels after  
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4 chitin administration. DHHL treatment reduced significantly chitin-induced gene  
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6 expression and protein release of both cytokines (Fig. 7). All these results indicate that  
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8 DHHL is an effective inhibitor of allergic inflammation.  
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#### 11 12 13 14 **4. Discussion** 15

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17 Macrophages display heterogeneous phenotypes ranging from pro-inflammatory M1  
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19 activation to anti-inflammatory M2 responses depending on the surrounding  
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21 microenvironment. Interestingly, M1/M2 imbalances are critical in the development of  
22  
23 various diseases. Accumulation of M2 macrophages has been described to contribute to  
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25 chronic infections, fibrosis, allergy, and cancer [12;13]. Therefore, macrophage  
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27 polarization from M2 to M1 or viceversa represents an attractive target in the search for  
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29 new therapeutic strategies.  
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34 The labdane diterpenoid hispanolone was first isolated from *Ballota hispanica*, a  
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36 Labiatae species growing in Spain and has been used as a leading compound for  
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38 therapeutic purposes [26]. Hispanolone derivatives have been found to have excellent  
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40 anti-inflammatory and anti-tumoral activities [14;18;27]. In this regard, hispanolone  
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42 derivatives including DHHL have been shown profound effects on inflammation,  
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44 exhibiting *in vivo* anti-inflammatory activity and reducing the production of  
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46 inflammatory cytokines and mediators, as NO, through inhibition of NF- $\kappa$ B on LPS-  
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48 activated macrophages [14]. Furthermore, we also reported the antitumoral effects of  
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50 some derivatives by activating apoptotic cell death machinery and sensitizing tumor  
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52 cells to TRAIL-induced apoptosis [18]. However, the effects of the hispanolone  
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54 derivative DHHL on M2 macrophage polarization have not been described. In this  
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1 work, we have investigated the effects of DHHL on M2 macrophage polarization, with  
2 particular focus on the JAK/STAT signaling pathway. Our results clearly show that  
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4 DHHL inhibits macrophage polarization towards M2 phenotype *in vitro* and *in vivo*.  
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6 DHHL reduced, in a dose-dependent manner, the expression of M2 markers (Arg-1,  
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8 Fizz-1, Ym-1, MRC1, IRF-4 and MGL-2) induced by both IL-4 and IL-13 cytokines in  
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10 murine peritoneal macrophages. Moreover, using an *in vivo* model of M2 polarization  
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12 driven by chitin administration, we confirmed that DHHL inhibits alternative  
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14 macrophage activation with a remarkable reduction in the expression of typical M2  
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16 markers (Arg-1, Fizz-1, and Ym-1).  
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22 Chitin is a widespread biopolymer of *N*-acetyl- $\beta$ -D-glucosamine and a structural  
23  
24 component of helminths, arthropods and fungi [28]. This biopolymer contributes to the  
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26 development of allergic type 2 inflammation and parasitic worm immunity by  
27  
28 enhancing the generation of alternatively activated macrophages [21]. Chitin challenge  
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30 also recruits macrophages showing the M2 phenotype to the site of administration,  
31  
32 being a critical event for subsequent recruitment of eosinophils [20;21;24;25]. In this  
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34 scenario, we observed that administration of DHHL to chitin-challenged mice inhibited  
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36 the recruitment of macrophages and eosinophils, diminished the expression of M2-  
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38 associated genes (Arg-1 or Ym-1), and cytokines (IL-4 and IL-13) and reduced  
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40 inflammatory cell infiltration in lung tissues. Moreover, flow cytometry analysis of lung  
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42 macrophage subsets reconfirmed reduction of M2 macrophage population.  
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48 Up-regulation of Arg-1 expression has been described in sub-mucosal inflammatory  
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50 cells of patients with asthma, as well as in the lungs of allergen-sensitized and  
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52 challenged mice [29;30]. Moreover, the member of the murine chitinase family Ym-1  
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54 has been found to be highly induced during alternative macrophage activation in  
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56 addition to Arg-1 [31]. Ym-1 plays an important role in Th2-biased immune responses  
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1 such as helminth infections, airway inflammation, tissue remodeling and fibrosis [32],  
2 being also involved in experimental and clinical asthma [33]. Therefore, the M2-type  
3 inhibitory activity exerted by DHHL via reduction of Arg-1 and Ym-1 suggests that this  
4 compound may have therapeutical advantages for pathologies characterized by an  
5 exacerbated Th2 response. These versatile effects on macrophage polarization are  
6 similar to those described for other labdanes as andrographolide, which inhibited M1 or  
7 M2 polarization stages of macrophages depending on the biological status of these cells  
8 [34;35]. Furthermore, in line with our observations, a non-cytotoxic analogue of  
9 andrographolide also exerted protective roles in a murine model of allergic  
10 inflammation [7].

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24 Our data showed that DHHL suppresses tyrosine phosphorylation of STAT-6 and  
25 the up-stream kinase JAK-1, suggesting that the most likely mechanism by which  
26 DHHL inhibits M2 activation is via modulation of JAK-1/STAT-6 pathway (Fig. 8).  
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Indeed, these results are in agreement with those reported in the literature where  
alternative macrophage activation has been described to be mainly regulated by an IL-  
4R $\alpha$ -STAT6-dependent mechanism [3]. Other natural compounds also interfere with the  
JAK/STAT pathway. In this regard, as constitutive activation of STAT-3 occurs  
frequently in cancer cells, numerous studies have been mainly focused on the inhibitory  
effects of terpenoids on the JAK/STAT-3 pathway. For example, andrographolide  
down-regulated the expression of MRC1 in IL-4 induced macrophages via regulation of  
ERK 1/2 and AKT pathways [35]; whereas triterpenoids such as corosolic acid and  
oleanolic acid [36-38], and dihydroxycoumarins as esculetin and daphnetin  
[39], inhibited macrophage polarization to M2 phenotype by suppressing STAT-3  
activation. Nevertheless, to the best of our knowledge, this is the first report of the  
inhibitory effects of labdane diterpenoids on the JAK-1/STAT-6 pathway.

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Although the mechanism responsible for such activity requires further research, the finding that DHHL contains two Michael acceptor functional groups ( $\alpha,\beta$ -unsaturated carbonyl groups) suggests that this diterpenoid may react with critical cysteines in target proteins. In this regard, hispanolone derivatives have been previously described to inhibit IKK activation via this mechanism [14]. In addition, synthetic oleanane triterpenoids that can also confer Michael addition with nucleophilic targets, have been described to block JAK-1/STAT-3 activation via interaction with cysteine residues in the JAK-1 kinase domain [40].

In summary, our data show that DHHL is a potent modulator of macrophage polarization, being the JAK-1/STAT-6 signaling pathway involved in the regulation towards the M2 phenotype by this compound. From this perspective, DHHL might be a promising drug candidate for the treatment of human pathologies characterized by exacerbated M2 macrophage activation.

### **Conflict of interest.**

B. de las Heras and S. Hortelano are inventors on a Spanish patent application on labdane diterpenoids as anti-tumoral agents. The other authors declared no conflict of interest.

### **Acknowledgements.**

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## Figure legends

**Figure 1. Effects of DHHL on IL-4-induced Arg-1 expression.** (A) Chemical structure of DHHL. (B) Peritoneal macrophages were incubated with the indicated concentrations of DHHL for 24 h. Cell viability was determined by MTT assay. (C) Peritoneal macrophages were preincubated with the indicated concentrations of DHHL for 15 minutes, following by stimulation with IL-4 (20ng/ml) for 6 hours. Arg-1 expression was determined by quantitative PCR. (D) Peritoneal macrophages were preincubated with the indicated concentrations of DHHL for 15 minutes, following by stimulation with IL-4 (20ng/ml) for 24 hours. Arg-1 activity was evaluated by urea production. (E) Protein levels of Arg-1 were evaluated by Western blot after stimulation of peritoneal macrophages as in D. Bands intensities were analyzed by densitometry, normalized to  $\beta$ -actin and represented as the mean  $\pm$  SD from three independent experiments. Gene expression was represented as mean  $\pm$  SD mRNA fold induction with respect to the control condition (n=3). \*p<0.05, and \*\*p<0.01 with respect to IL-4 treatment in C, D and E.

## **Figure 2. DHHL inhibited M2-type markers expression induced by IL-4 treatment.**

(A) Peritoneal macrophages were preincubated with the indicated concentrations of DHHL for 15 minutes, following by stimulation with IL-4 (20ng/ml) for 6 hours. Fizz-1, Ym-1, MRC1, IRF-4 and MGL-2 expression was determined by quantitative PCR. (B) Peritoneal macrophages were preincubated with the indicated concentrations of DHHL for 15 minutes, following by stimulation with IL-4 (20ng/ml) for 24 hours. Protein levels of Ym-1 were evaluated by Western blot. Bands intensities were analyzed by densitometry, normalized to  $\beta$ -actin and represented as the mean  $\pm$  SD from three independent experiments. Gene expression was represented as mean  $\pm$  SD mRNA fold

1 induction with respect to the control condition (n=3). \*p<0.05, and \*\*p<0.01 with  
2 respect to IL-4 treatment in A and B.  
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5 **Figure 3. DHHL inhibited M2-type markers expression induced by IL-13**  
6 **treatment.** (A) Peritoneal macrophages were preincubated with the indicated  
7 concentrations of DHHL for 15 minutes, following by stimulation with IL-13 (20ng/ml)  
8 for 6 hours. Arg-1, Fizz-1, Ym-1, MRC1, IRF-4 and MGL-2 expression was determined  
9 by quantitative PCR. (B) Peritoneal macrophages were preincubated with the indicated  
10 concentrations of DHHL for 15 minutes, following by stimulation with IL-13 (20ng/ml)  
11 for 24 hours. Protein levels of Arg-1 and Ym-1 were evaluated by Western blot. Bands  
12 intensities were analyzed by densitometry, normalized to  $\beta$ -actin and represented as the  
13 mean  $\pm$  SD from three independent experiments. Gene expression was represented as  
14 mean  $\pm$  SD mRNA fold induction with respect to the control condition (n=3). \*p<0.05,  
15 and \*\*p<0.01 with respect to IL-13 treatment in A and B.  
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34 **Figure 4. DHHL inhibited IL-4 induced phosphorylation of JAK-1/STAT-6.**  
35 Peritoneal macrophages were preincubated with DHHL (10 $\mu$ M) for 15 minutes,  
36 following by stimulation with IL-4 (20ng/ml) for different times (15, 30, 60, 90, 120  
37 minutes). (A) Phosphorylated levels of STAT-6 or (B) JAK-1 were evaluated by  
38 Western Blot. Bands intensities were analyzed by densitometry, normalized to  $\beta$ -actin  
39 and represented as the mean  $\pm$  SD of the fold change from control condition (n=3).  
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49 \*p<0.05 with respect to IL-4 treatment.  
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52 **Figure 5. DHHL impaired M2 macrophage polarization *in vivo* after i.p.**  
53 **administration of chitin.** C57BL/6J mice were divided in four experimental groups:  
54 control (PBS), DHHL, chitin, chitin + DHHL (n=5 per group). C57BL/6J mice were  
55 subjected to 2mg DHHL per kilogram body weight (b.w.) by i.p. injection in 1 ml sterile  
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1 saline one hour after administration of chitin (800 ng, i.p.). Peritoneal exudate cells  
2 (PECs) were collected by lavage after 48 hours treatment and analyzed (A,B) by  
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4 standard techniques of flow cytometry to detect macrophages (F4/80<sup>+</sup>-CD11b<sup>+</sup>),  
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6 eosinophils (SiglecF<sup>+</sup>-CD11b<sup>+</sup>) or M2 macrophages (F4/80<sup>+</sup>-CD206<sup>+</sup>), or (C) by  
7  
8 quantitative PCR to identify gene induction of M2-associated genes. A, Flow cytometry  
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10 plots show cell population from a representative individual of each experimental  
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12 condition. B, Cell quantification analyzed by flow cytometry. Dots represent values  
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14 from individual mice. \*p<0.05, and \*\*p<0.01 with respect to chitin condition.  
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20 **Figure 6. Effects of DHHL on lung inflammation and M2 polarization *in vivo*.**

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22 Mice were anesthetized via i.p. injection with a mixture of ketamine/xilacin (1mg/ml)  
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24 and subjected to intranasal inhalation with PBS, DHHL (30μg) and/or chitin (200 ng) as  
25  
26 previously described. Lungs were evaluated after 48 hours treatment. (A) Analysis of  
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28 lung cell populations was performed by flow cytometry. (B) Representative H&E lung  
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30 histology from the different groups. Lower panels correspond to higher magnification of  
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32 the inset traced in the upper panels. Asterisks indicate inflammatory cell infiltrates. (C)  
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34 Representative immunohistochemistries of lung tissues labelled with Ym-1. Lower  
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36 panels correspond to higher magnification of the inset traced in the upper panels.  
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38 Arrows indicate Ym-1 positive cells. Scale bars are represented in each case. (D)  
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40 Quantification of Ym-1<sup>+</sup> cells. Cells were counted blindly in 5–10 randomly chosen  
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42 fields and data are means ± S.D. of three independent lung sections from 5 mice. (E)  
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44 Quantitative PCR was performed to determine changes on M2 marker expression on  
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46 BALs obtained from the different groups. Dots represent values from individual mice.  
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1 **Figure 7. Effects of DHHL on IL-4 and IL-13 release.** Mice were anesthetized via  
2 i.p. injection with a mixture of ketamine/xilacin (1mg/ml) and subjected to intranasal  
3 inhalation with PBS, DHHL (30 $\mu$ g) and/or chitin (200 ng) as previously described.  
4  
5 BALs were obtained after 48 hours treatment. BAL fluid was centrifuged and used for  
6  
7 cytokine determination, whereas cell pellets were collected for the analysis of IL-4 and  
8  
9 IL-13 mRNA by quantitative PCR. (A) Quantitative PCR was performed to determine  
10  
11 changes on IL-4 and IL-13 expression on BALs collected from the different groups. (B)  
12  
13 IL-4 and IL-13 protein levels were determined by ELISA. Dots represent values from  
14  
15 individual mice. \* $p < 0.05$ , and \*\* $p < 0.01$  with respect to chitin condition.  
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23 **Figure 8. Proposed model for DHHL inhibition of alternatively activated**  
24 **macrophages polarization on M2-dependent environment**  
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28 IL-4 and IL-13 signal through two different types of receptors, the type I (heterodimer  
29 constituted by IL-4R $\alpha$  and IL-4R common  $\gamma$ -chain) or the type II (heterodimer formed  
30 by IL-4R $\alpha$  and IL-13R $\alpha$ 1 chain). Type I receptors interact with JAK1 and JAK3,  
31  
32 leading to activation of STAT6, and/or IRS-1/2 and PI3K. Type II receptors interact  
33  
34 with JAK1 and JAK2 and signal through STAT-6. Activated STAT-6 induces the  
35  
36 expression of genes related to the M2 phenotype (Arg-1, Ym-1, Fizz-1, MRC1, IRF-4,  
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38 MGL-2). DHHL inhibits tyrosine phosphorylation of JAK-1, suppressing STAT-6  
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40 activation and inhibiting M2 macrophage polarization.  
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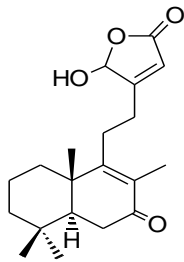
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**Table 1. List of primers used in Q-PCR experiments**

| <b>Gene name</b> | <b>Primer 5'-3'</b>   |
|------------------|---|
| <b>Arg-1</b>     | (F) 5'-TGAGAGACCACGGGGACCTG-3',<br>(R) 5'-GCACCACACTGACTCTTCCATTC-3'  |
| <b>Fizz-1</b>    | (F) 5'-CCATAGAGAGATTATCGTGGA-3'<br>(R) 5'-TGGTCGAGTCAACGAGTAAG-3'     |
| <b>Ym-1</b>      | (F) 5'-TGGGAATTGGTGCCCCTACAA-3'<br>(R) 5'-AACTTGCACTGTGTATATTG-3'     |
| <b>MRC-1</b>     | (F) 5'-GTAGTACCGGAGGGTGCAGA-3'<br>(R) 5'-TTTGCATCAGTGAAGGTGGA-3'      |
| <b>IRF-4</b>     | (F) 5'-TGTGCTCTGAACAAGAGCAAT-3'<br>(R) 5'-TATGAACCTGCTGGGCTGG-3'      |
| <b>MGL-2</b>     | (F) 5'-AGCGGGAAGAGAAAAACCAG-3'<br>(R) 5'-ACCAGTAGCAGGAGGCTGAG-3'      |
| <b>IL-4</b>      | (F) 5'-ACCTGAGAACAGCGGAGGC-3'<br>(R) 5'-TCGGAAAACAGGTTCTCAGTGAG-3'    |
| <b>IL-13</b>     | (F) 5'-GGATATTGCATGGCCTCTGTAAC-3'<br>(R) 5'-AACAGTTGCTTTGTGTAGCTGA-3' |
| <b>36B4</b>      | (F) 5'-AGATGCAGCAGATCCGCAT-3'<br>(R) 5'-GTTCTTGCCCATCAGCACC-3'        |

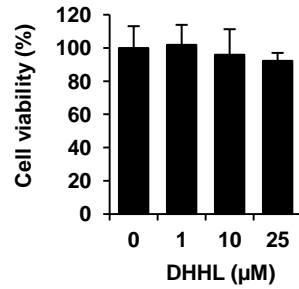
Figure 1

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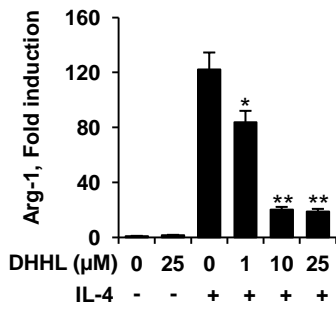


DHHL

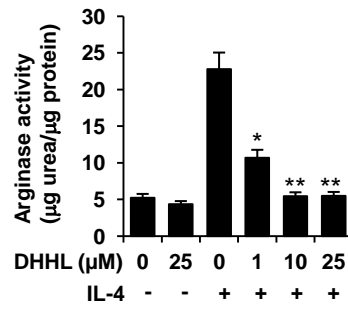
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D



E

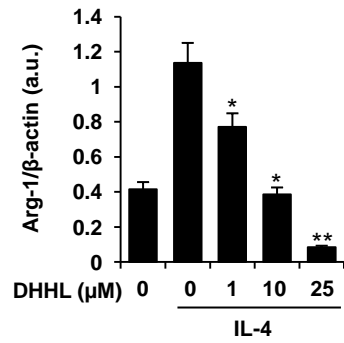
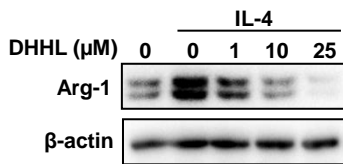
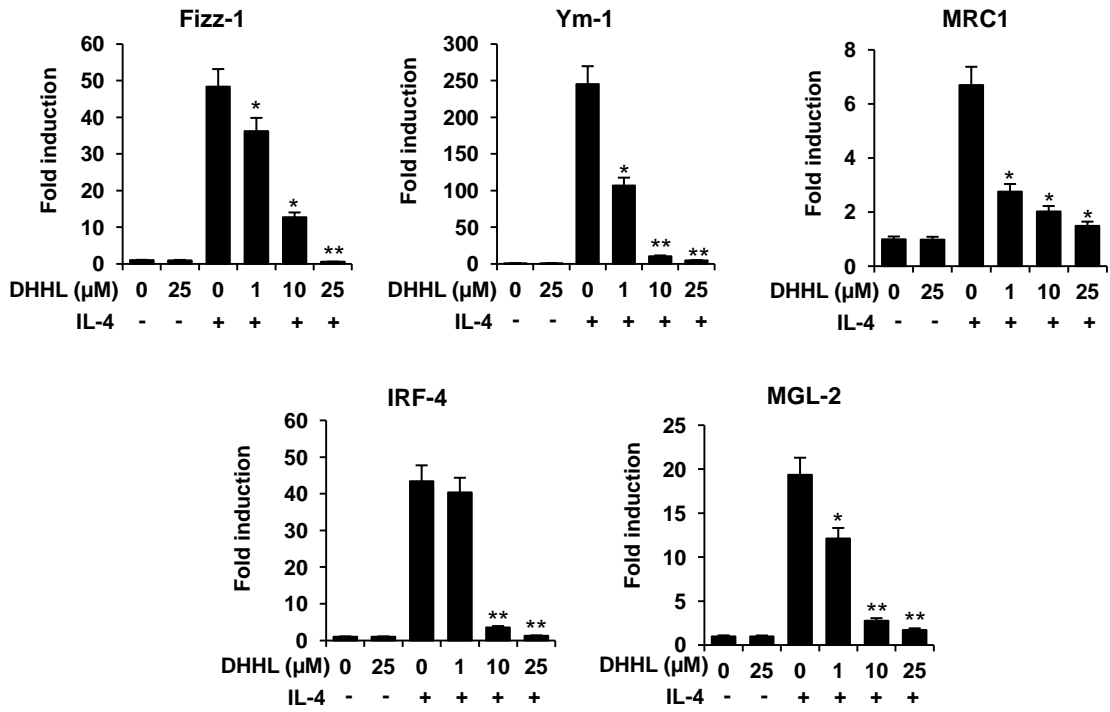


Figure 2

A



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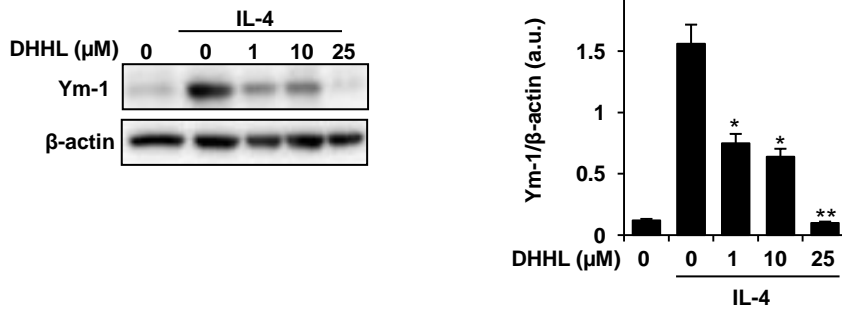
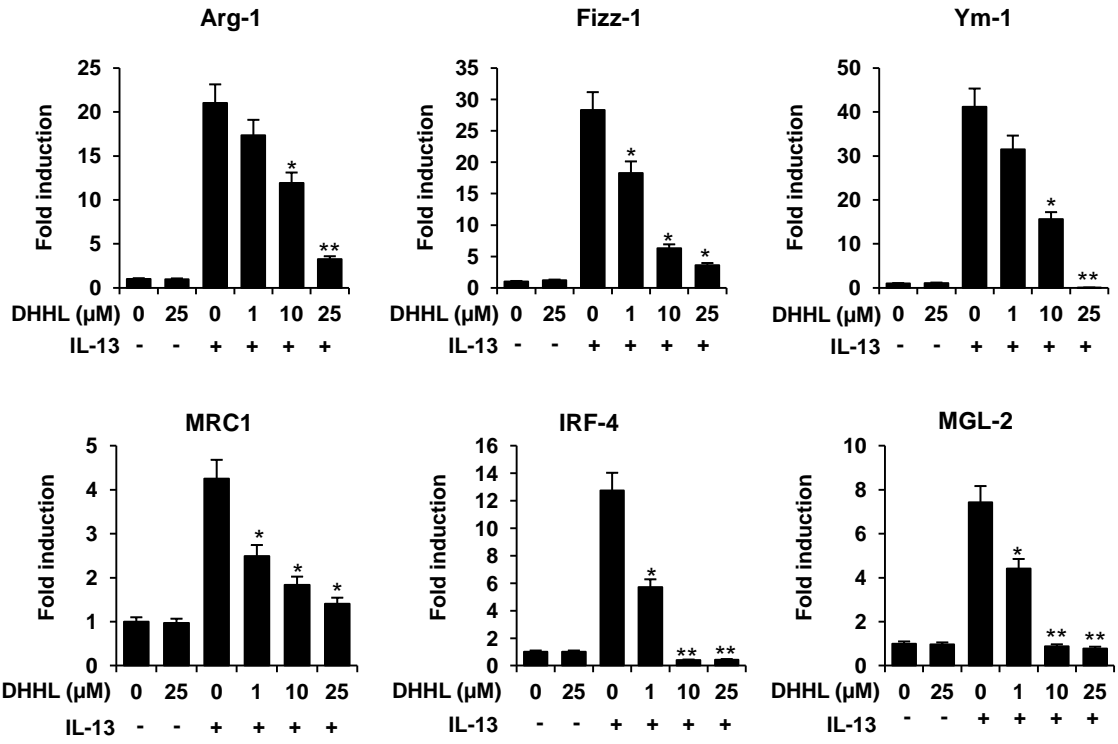
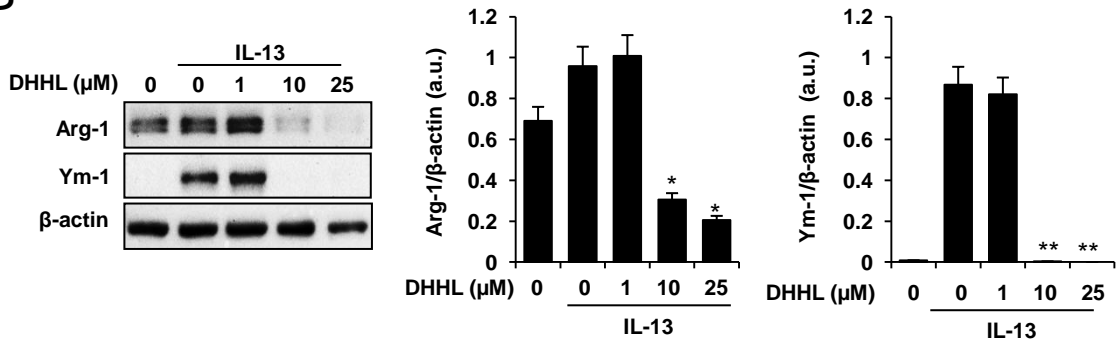


Figure 3

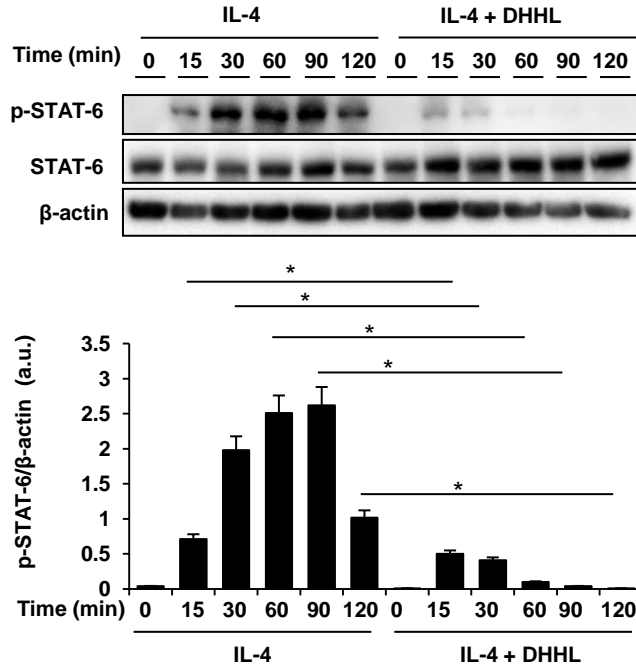
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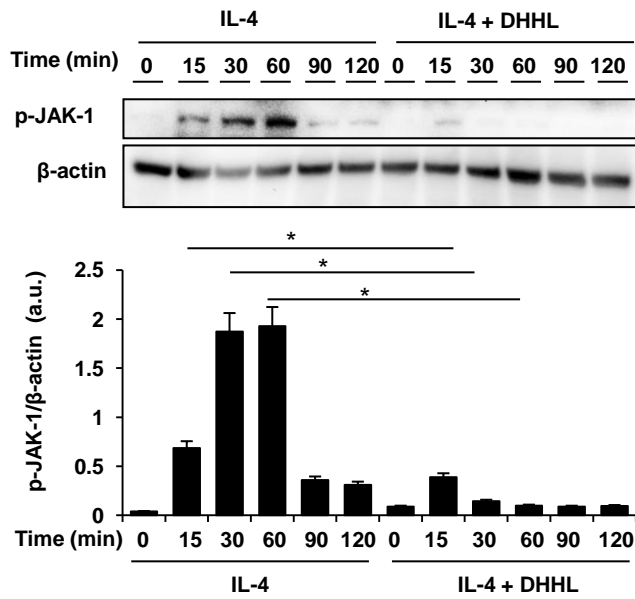
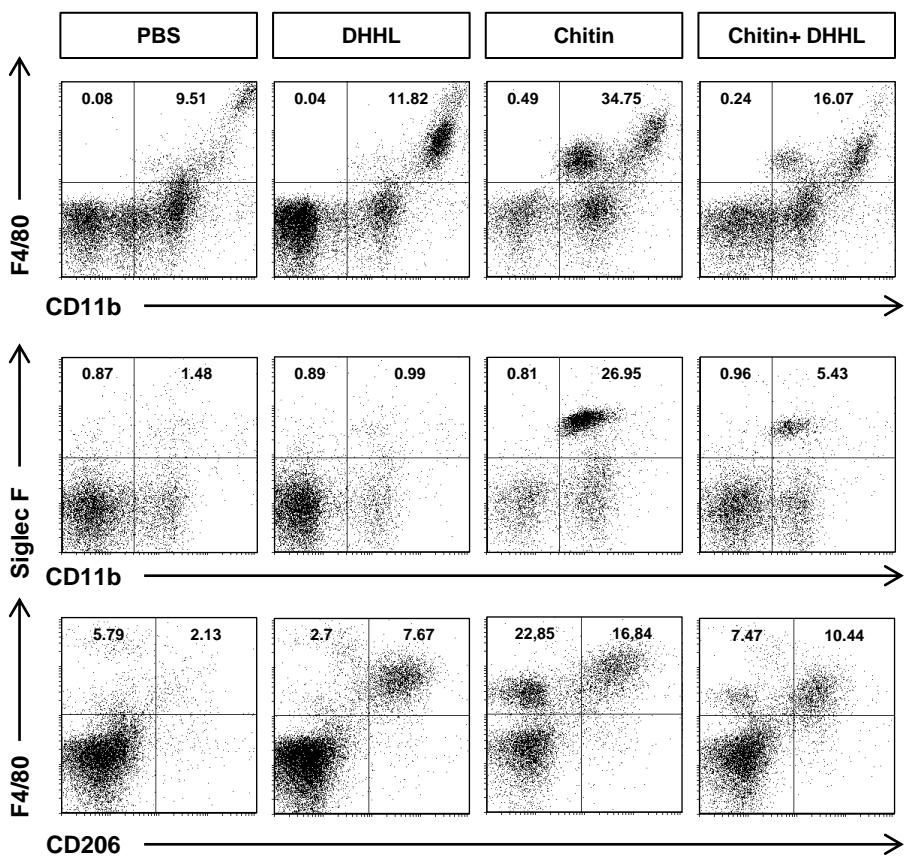
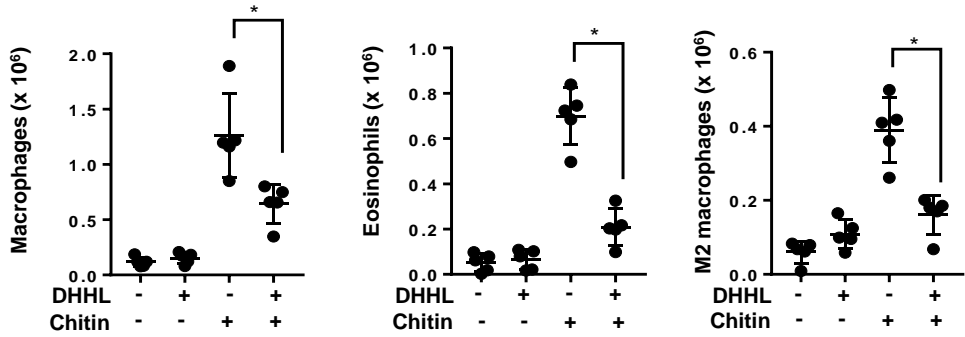


Figure 5

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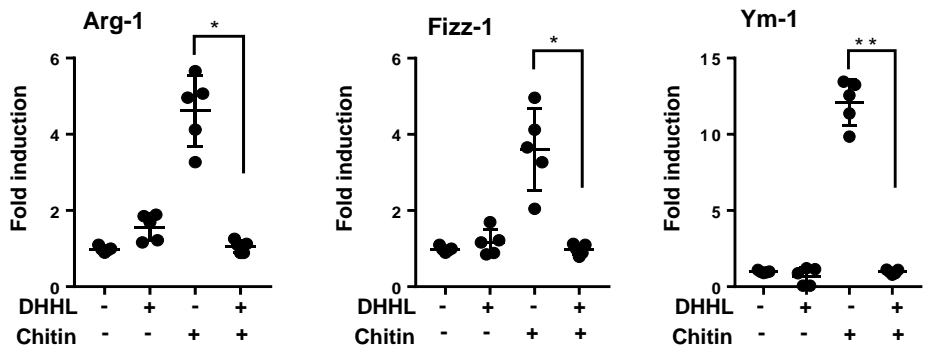
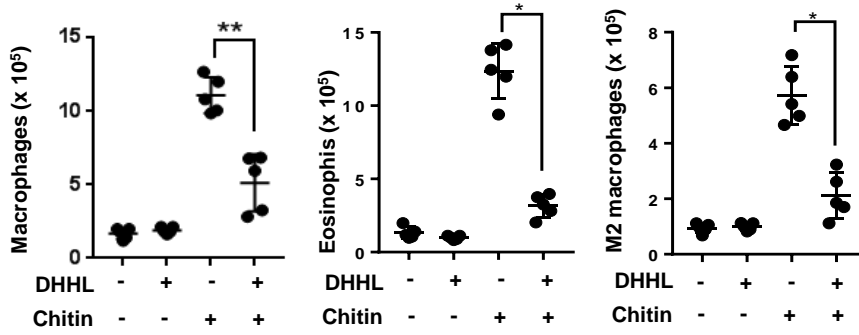
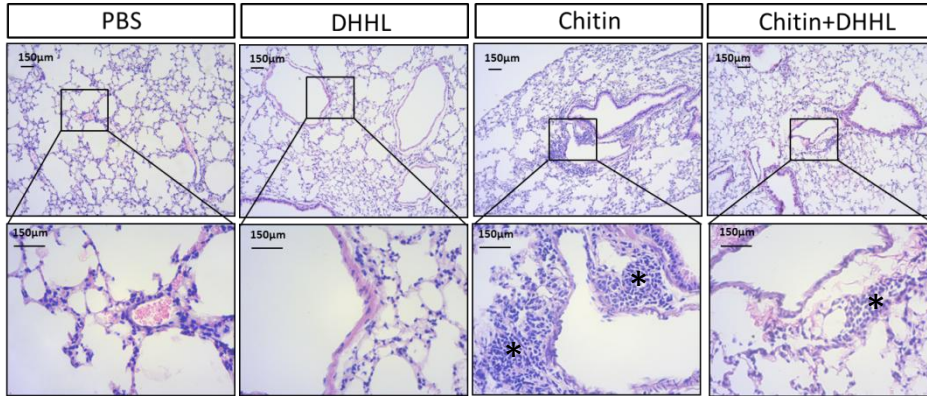


Figure 6

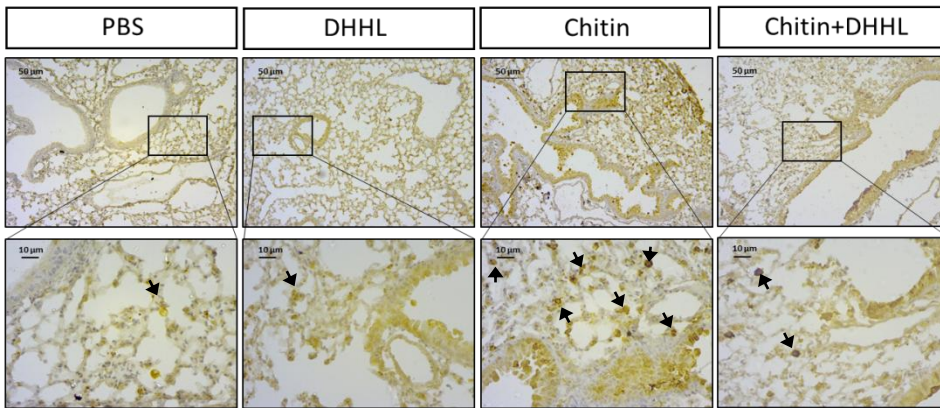
A



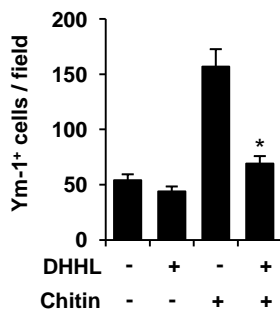
B



C



D



E

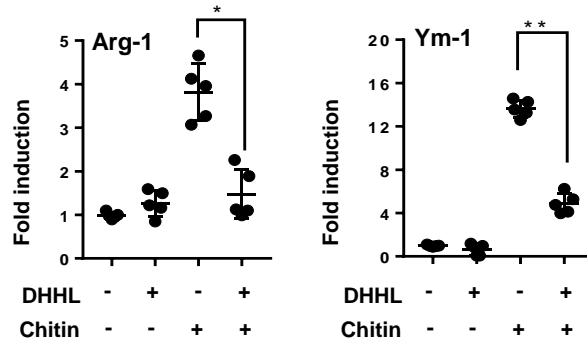


Figure 7

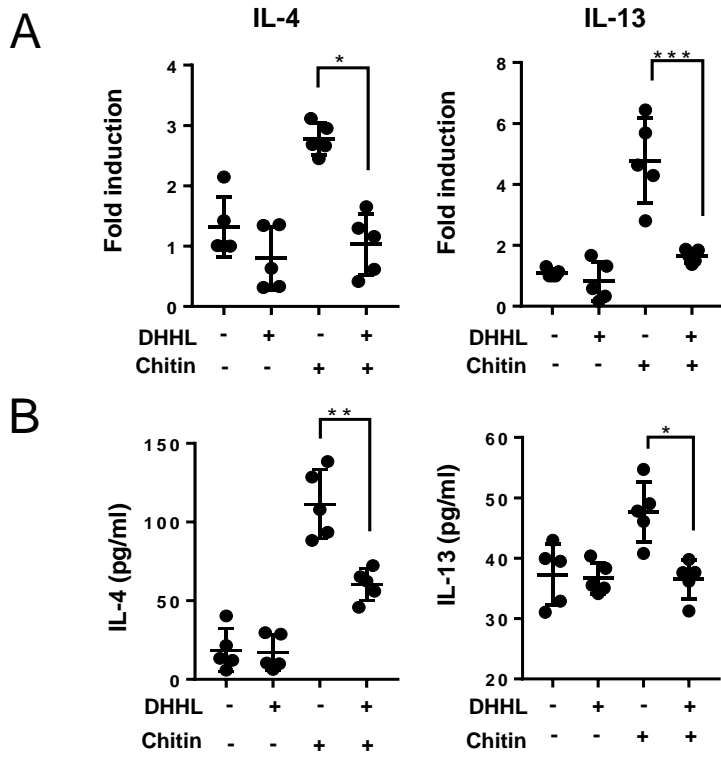


Figure 8

