

This is the peer reviewed version of the following article:

Role of Neutralizing Antibodies in CMV Infection: Implications for New Therapeutic Approaches

Virginia Sandonís , Estéfani García-Ríos, Michael J McConnell, Pilar Pérez-Romero.

Trends Microbiol. 2020 Nov;28(11):900-912

which has been published in final form at

<https://doi.org/10.1016/j.tim.2020.04.003>

24 **Abstract**

25 Cytomegalovirus infection elicits a potent immune response that includes the stimulation
26 of antibodies with neutralizing activity. Recent studies have focused on elucidating the
27 role of neutralizing antibodies in protecting against CMV infection and disease, and
28 characterizing viral antigens against which neutralizing antibodies are directed. Here, we
29 provide a synthesis of recent data regarding the role of neutralizing antibodies in
30 protection against CMV infection/disease. We consider the role of humoral immunity in
31 the context of the global CMV-specific immune response, and the implications that recent
32 findings have for vaccine and antibody-based therapy design.

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48 **General aspects of CMV infection**

49 Although cytomegalovirus (CMV) establishes benign infections in
50 immunocompetent hosts, in individuals with an immature or dysfunctional immune
51 system, CMV is a major cause of morbidity and mortality [1–3]. Congenital CMV
52 infection results in intrauterine growth restriction and miscarriage of the infected fetus,
53 as well as neurological sequela in newborns [4]. Immunosuppressed individuals such as
54 transplant recipients [5], cancer patients receiving cytoreductive therapy that significantly
55 suppresses cellular immunity [6], HIV infected patients [7] and critically ill patients
56 without immunosuppression [8,9] are also at increased risk of CMV replication and
57 disease.

58 The cell mediated immune response, primarily T-cells and NK cells, have been
59 considered the most important components of the immune system contributing to
60 protection against CMV infection [10–18]. The role of antibodies in protection against
61 CMV infection and, in particular neutralizing antibodies, is still debated. A number of
62 recent studies have provided evidences indicating that the humoral response may play a
63 critical role in protecting against CMV disease, particularly antibodies that are able to
64 neutralize viral infection. This evidence includes studies demonstrating that a proportion
65 of individuals with a CMV-specific T-cell response are not fully protected against new
66 episodes of CMV infection and disease [10,19], and recent studies indicating that
67 neutralizing antibody titers correlate with protection from infection in transplant
68 recipients [11]. In contrast, other studies showed that T-cell response, but not neutralizing
69 antibodies, is associated with protection from CMV infection in transplant recipients
70 [20,21].

71 In addition to these results in humans, a recent publication by Martins et al., (2019)
72 demonstrated that humoral immunity was sufficient for providing protection against viral

73 reactivation in a post-transplant animal model [22]. The authors found that mouse CMV
74 was not detected in latently infected mice transplanted with T-cell replete grafts, despite
75 the complete absence of T and NK cells. In addition, the adoptive transfer of immune
76 serum protected mice from viral reactivation [22]. While humoral immunity alone may
77 be protective against murine CMV, it may act differently against human CMV. Thus, the
78 precise role of neutralizing antibodies in humans has still not been definitively
79 characterized.

80 Together, these studies are providing new insights into the mechanisms
81 underlying how protective immunity is achieved during CMV infection, and have sparked
82 a high level of interest in characterizing antibodies able to neutralize CMV infection and
83 their role in protective immunity. Here we provide a synthesis of recent data regarding
84 the role of neutralizing antibodies in protection against CMV infection/disease in the
85 context of the global CMV-specific immune response, and the implications that recent
86 findings have for vaccine and antibody-based therapy design.

87

88 **The CMV envelope and cell-entry**

89 CMV can infect a variety of cell types including fibroblasts, endothelial cells,
90 epithelial cells and cells of the myeloid lineage, among others [23]. Due to differences
91 between cell types, the final composition of the mature virion depends on the nature of
92 the infected cell [24,25]. CMV is a highly complex virus with multiple proteins embedded
93 in the viral envelope, with at least four distinct types of covalently linked glycoprotein
94 complexes required for CMV infectivity [26,27] (Figure 1).

95 The gCI complex includes gB, which is the major envelope glycoprotein of CMV
96 and it is involved in membrane fusion [28]. Glycoprotein B is expressed as a precursor
97 molecule that is glycosylated and then cleaved to form a disulfide-linked complex of

98 gp116 linked to gp55 transmembrane component [29]. The gCII complex, which consists
99 of gM and gN [30], interacts with heparan sulfate proteoglycans in the cell membrane,
100 indicating that may contribute to initial binding to the cell surface [31]. The gCIII
101 complex includes gH, gL, and gO as a covalently linked trimer [26]. While gH and gL
102 are involved in activating the fusogenic activity of gB and are necessary for the formation
103 of infectious viruses, gO may act as a coreceptor cooperating with the fusion-competent
104 gH [28,32,33]. However, disruption of the gene encoding gO yield viable virus,
105 suggesting that gO is not essential for the infectious cycle [34,35]. The pentameric
106 complex is composed of the gH/gL heterodimer bound to three small glycoproteins
107 encoded by UL128, UL130, and UL131 [26,36]. In addition, up to 20 putative non-
108 complexed envelope proteins have not been fully characterized, and may also be involved
109 in the interaction between the virus and host cells during infection.

110 Additional complexity of the CMV envelope is attributable to amino acid
111 variability of the glycoproteins gB and gH, which are known to induce neutralizing
112 antibodies. In SOT recipients, variations in gB and gH sequences are used to establish
113 CMV genotypes: four gB variants: gB1–gB4 and two gH genotypes: gH1 and gH2 [37].
114 The presence of mixed genotypes is associated with greater replication of the virus,
115 slower viral clearance, progression to CMV disease, and a higher frequency of recurrence
116 [37]. During infection, CMV uses different entry mechanisms [27] (Figure 2). The initial
117 attachment of CMV to the cell may be mediated by the interaction between gM/gN and
118 glycosaminoglycans on the cell membrane [38,39]. After initial recognition in fibroblasts
119 and Langerhans cells, entry occurs through the interaction between gH/gL/gO and
120 platelet-derived growth factor receptor-alpha (PDGFR α) [40–43] and integrins [44]. This
121 is followed by a pH-independent fusion of the virion envelope with the cellular membrane
122 probably mediated by gB and gH/gL/gO (Figure 2A) [32]. A recent study suggested that

123 CMV has evolved to utilize THY-1, a cargo protein of clathrin-independent endocytotic
124 vesicles, to facilitate efficient entry into the cell by a macropinocytosis-like process
125 [45,46]. THY-1 is expressed in numerous cell types, including fibroblast and epithelial
126 cells and interacts with both gH and gB [45,46].

127 Entry into epithelial, endothelial and myeloid cells (after initial recognition)
128 occurs mainly through the interaction between the pentameric complex and cell receptors,
129 triggering pentameric complex-mediated endocytosis (Figure 2B). Among the cell
130 receptors involved, the recently discovered neuropilin 2 (Nrp2) should be highlighted due
131 to its role as the functional cell entry receptor for the pentameric complex [47]. The
132 olfactory receptor family member *OR14II* [48], and *CD147* are required for pentamer-
133 dependent entry into epithelial cells however, in the case of *CD147* does not involve direct
134 interaction with the pentamer complex [49]. The fusion of the virion envelope with
135 cellular membranes is pH-dependent and occurs at the endosomal membrane, and gB and
136 gH/gL/gO are thought to be involved [32].

137 The recently identified cell membrane receptor *CD46* may be involved in a *CD46*-
138 dependent entry pathway during virus infection of epithelial cells during congenital
139 infection, although may not be involved in fibroblast infection, highlighting the
140 complexity of CMV entry [50].

141

142 **The immune response to CMV infection**

143 Although the immune response to CMV infection has been extensively studied,
144 the precise role of each immune effector function in controlling CMV infection has not
145 been fully elucidated [13,51]. Both innate and adaptive immunity contribute to the
146 response against CMV infection. The innate immune system is activated upon the
147 recognition of pathogen-associated molecular patterns and involves the activation of Toll-

148 like receptors (TLRs). A heterodimer of TLR1 and TLR2 was found to bind glycoproteins
149 B and H produced by CMV, stimulating dendritic cells as antigen-presenting cells
150 (APCs), resulting in the secretion of inflammatory cytokines and recruiting natural killer
151 cells [52]. In addition to TLRs, *DAI/ZBP1* and *AIM2* sensors are activated during CMV
152 infection through detection of cytoplasmic dsDNA. Upon activation, *DAI/ZBP1* can
153 interact with *RIPK3*, inducing receptor interacting protein kinase (PKR)-dependent
154 necroptosis [53]. How PKR activation mediates cell death has not been completely
155 elucidated, but activation of NF- κ B and/or FADD-dependent caspase-8 activation may
156 be involved [54].

157 Adaptive immunity is induced upon the recognition of CMV proteins during
158 primary infection, triggering the activation and expansion of functional CMV-specific T-
159 cells. Antigen-presenting cells process and display CMV antigens in the major
160 histocompatibility complex (MHC) class I, resulting in activation of CD8⁺ T-cells that
161 induce the suppression of intracellular virus replication through the secretion of interferon
162 (IFN)- γ or tumor necrosis factor (TNF)- α , or lysis of virus-infected cells through the
163 secretion of granzymes and perforins [55,56]. Presentation of CMV antigens by APCs via
164 the MHC class II pathway activates CD4⁺ T-cells with cytotoxic activity, inducing the
165 suppression of intracellular virus replication through the secretion of INF- γ and
166 interleukin 2 (IL-2), leading to the proliferation of CD8⁺ T-cells and macrophages [57].
167 In addition, activated CD4⁺ T-cells induce B-cell activation, resulting in the production
168 of CMV-specific antibodies against multiple viral proteins. A subset of the antibodies that
169 are produced during infection recognize glycoproteins located at the CMV envelope and
170 have potent virus neutralizing activity [58,59]. Neutralizing antibodies act by blocking
171 the interaction between CMV envelope glycoproteins and their cellular receptors, thus
172 preventing both CMV entry and cell to cell spread (Figure 3, Key Figure). Another

173 important function of antibodies that recognize proteins expressed on the surface of the
174 virus or the target antigen when expressed on the surface of the infected cell is to recruit
175 complement to promote lysis of the pathogen [60]. Alternatively, the infected cell can
176 undergo antibody dependent cell cytotoxicity, promote phagocytosis of the pathogen, and
177 modulate the downstream response of both the adaptive and innate immune responses
178 [61,62]. Additional antibody-dependent cellular mechanisms (non-neutralizing) such as
179 antibody-dependent cellular cytotoxicity or antibody-dependent cellular phagocytosis or
180 others might also be involved in protection against CMV infection (Figure 3).

181 Based on what was described in the preceding section, antibodies targeting the
182 gH/gL/UL128-131 pentameric complex should be able to block CMV entry into
183 epithelial, endothelial and myeloid cells, and also fibroblasts and Langerhans cells [26,
184 40], by blocking penetration and cell-to-cell spread [41, 42]. Additionally, it was recently
185 found that gH polymorphisms had no effect on neutralization of epithelial cell-tropic
186 CMV infection, suggesting that gH polymorphisms are located in regions not recognized
187 by neutralizing antibodies, and supporting the idea that antibodies against UL128-131 are
188 the most important for virus neutralization [63]. Antibodies targeting gB and the trimeric
189 complex gH/gL/gO block entry into fibroblasts, Langerhans and epithelial cells (although
190 with lower potency than anti-pentamer antibodies) [25] and some antibodies to gB inhibit
191 the attachment of virions to cells, whereas others block the fusion of infected cells [24,
192 32, 33, 39], suggesting that gB might participate in multiple functions during the first
193 steps of infection.

194

195 **Role of neutralizing antibodies in protection against CMV**

196 *Natural infection*

197 Neutralizing antibodies against epitopes in the CMV envelope glycoproteins are
198 produced during natural infection, and depending on the glycoprotein recognized, may
199 block infection of fibroblasts vs. non-fibroblast cell types [64]. Following natural
200 infection, antibodies able to neutralize CMV infection of endothelial/epithelial cells are
201 detected in serum samples earlier and at higher levels compared to antibodies neutralizing
202 fibroblast infection. CMV hyperimmunoglobulin preparations have, on average, 48-fold
203 higher neutralizing activity against epithelial cell entry than against fibroblast entry [65].
204 In addition, levels of antibodies able to neutralize CMV infection of endothelial/epithelial
205 cells were significantly increased in a study performed in pregnant women after primary
206 infection, exhibiting a >128-fold higher neutralizing titer for blocking epithelial cell
207 infection compared to antibodies blocking infection of fibroblasts [64]. Similar results
208 were observed in solid organ transplant recipients with primary CMV infection after
209 transplantation, where levels of antibodies neutralizing epithelial cell infection depended
210 on the number of CMV replication episodes, with significantly higher titers (median of
211 2560; IQR, 160-2560) compared to levels of antibodies neutralizing fibroblast infection
212 (median of 40; IQR, 5-160). Additionally, levels of antibodies neutralizing fibroblast
213 infection did not correlate with the number of CMV replication episodes [11].

214 Different authors have demonstrated a protective role for neutralizing antibodies
215 against CMV infection. Neutralizing antibodies against the pentameric complex
216 gH/gL/pUL128-131, and in particular to the UL128-131 proteins, have been associated
217 with lower rates of CMV transmission from mother to fetus [59,66,67]. However, a recent
218 study has shown that antibodies against the gH/gL/gO trimer and gH/gL/UL128-131
219 pentamer do not correlate with transmission of CMV from mothers to newborns during
220 non-primary infection [68]. In addition, the increase in anti-UL128L antibodies within 30
221 days after CMV infection in pregnant women was associated with a decreased risk of

222 viral transmission to the fetus [66], suggesting that anti-UL128L antibodies play a critical
223 role in protection against congenital infection. Although, individual monoclonal
224 antibodies against UL130 or UL131A showed no inhibition of CMV infection in human
225 trophoblast progenitor cells [69], a recent publication has shown that anti-pentamer
226 antibodies block infection in cytotrophoblast [70].

227 In solid organ transplant recipients a protective role for epithelial cell neutralizing
228 antibodies has also been suggested, since antibody titers ≥ 480 correlated with decreased
229 CMV infection, fewer days of treatment, and complete protection from CMV disease,
230 while antibodies neutralizing fibroblasts had no correlation with protection [11].

231

232 *Passive immunization*

233 The protective role of neutralizing antibodies against CMV infection has been
234 suggested by some non-controlled studies through passive immunization using
235 intravenous CMV hyperimmunoglobulin preparations. CMV hyperimmunoglobulin
236 preparations are obtained from pooled adult human plasma selected for high
237 concentrations of anti-CMV specific antibody titers. A nonrandomized study
238 demonstrated that administration of hyperimmunoglobulin therapy to pregnant women
239 reduced maternofetal-CMV-transmission and was associated with increased CMV-
240 specific IgG concentrations and avidity, and lower risk of congenital CMV disease
241 [71,72]. Other nonrandomized studies demonstrated a significant decrease in the number
242 of infected newborns from mothers treated with hyperimmunoglobulin, or improved
243 outcomes in CMV-infected infants [73–76]. In contrast, the only recent randomized
244 controlled phase 2 clinical trial (NCT00881517; EudraCT2008-006560-11) did not show
245 a decrease in the rate of CMV transmission among women treated with hyperimmune
246 globulin compared to women receiving placebo [77]. Differences between studies such

247 as timing of vertical transmission, amniotic fluid viral loads or timing when
248 amniocentesis was performed during pregnancy may explain why administration of
249 hyperimmunoglobulin has not been associated with prevention of congenital CMV
250 disease. Thus, further studies are necessary to assess the role of hyperimmunoglobulin
251 administration in pregnant women with non-primary infection.

252 Administration of CMV hyperimmunoglobulin has also been shown to be
253 effective in preventing CMV disease in solid-organ transplant (SOT) patients [52, 53].
254 Results from a meta-analysis of 11 prospective randomized trials including 698 SOT,
255 suggest that administration of hyperimmunoglobulin therapy significantly reduced the
256 risk of CMV disease, CMV-related death, and all-cause mortality after SOT [78].
257 Analyses of large-scale transplant registry databases have confirmed that liver and heart
258 transplant patients receiving CMV hyperimmunoglobulin also show improved survival
259 rates [79]. In a recent study in pediatric hematopoietic stem cell transplant recipients
260 (HSCT), CMV infection at 1 year was 13.4% for hyperimmunoglobulin-treated vs. 44.4%
261 with no hyperimmunoglobulin ($p=0.001$) [80]. Although administration of
262 hyperimmunoglobulin has shown promising results, with lower rate of CMV infection
263 and disease and improved survival, its use for CMV prevention in SOT recipients is
264 controversial and not generally used in most transplant programs due to the lack of
265 appropriately powered recent clinical trials and the availability of more convenient
266 options, such as antiviral prophylaxis.

267 Reduced CMV transmission has been linked to CMV-specific neutralizing
268 antibodies against the pentameric complex present in immunoglobulin preparations [81].
269 In fact, CMV immune sera contain two major cell type specific neutralizing activities able
270 to neutralize infection of fibroblasts and epithelial/endothelial cells [40, 58-60]. While
271 the majority of the antibodies present in CMV-hyperimmunoglobulin elicited against

272 CMV glycoproteins are directed toward gB, the majority of the antibodies neutralizing
273 epithelial cell entry are directed against the gH/gL/UL128-131 complex [82,83].

274

275 **Neutralizing antibodies and the development of vaccines and antibody-based** 276 **therapies**

277 Due to the significant morbidity and mortality caused by CMV infection,
278 especially in transplant recipients and newborns, the Institute of Medicine identified
279 CMV vaccine development as a top priority [84]. Numerous vaccine candidates based on
280 attenuated viruses, recombinant proteins and DNA, among others, have been
281 characterized in experimental models and clinical trials over the previous 40 years,
282 however no vaccine has yet been approved for clinical use [85]. There is abundant
283 evidence supporting the importance of cell mediated immunity, both CMV-specific
284 CD4+ and CD8+ T-cells in protecting against CMV disease. This includes adoptive
285 transfer studies in which administration of CMV-specific CD8+ T-cells limited CMV
286 disease [86], and a strong association between CMV-specific CD8+ T-cells and
287 protection against CMV reactivation in transplant patients [10,11,87]. While CD8+ T
288 cells may be the ultimate effectors of the T-cell response, CD4+ T-cells are also critical
289 for the long-term control of CMV [14–18]. Multiple vaccines aiming to stimulate cellular
290 immunity are being developed, primarily for the prevention of CMV reactivation in
291 transplant patients, and have been reviewed elsewhere [85,88].

292 As described in the preceding sections, both long-standing and recent evidence
293 indicates that the humoral response, and particularly neutralizing antibodies, might
294 provide protection against CMV. Vaccines that elicit a strong neutralizing antibody
295 response against multiple proteins may therefore be effective in preventing CMV
296 infection and disease. A key aspect of these vaccines is the inclusion of antigens capable

297 of stimulating antibodies that are able to neutralize infection, ideally in multiple target
298 cell types (i.e. fibroblasts, endothelial and epithelial cells). As detailed above, multiple
299 CMV proteins on the virion surface participate in virus entry including gB, the gH/gL/gO
300 complex, the gM/gN complex, the gH/gL/UL128-131 pentameric complex and
301 potentially other uncharacterized putative envelope glycoproteins, making them potential
302 candidates for vaccines eliciting neutralizing antibodies [89]. It is worth noting that
303 neutralizing antibody titers against individual antigens may not always correlate with
304 vaccine protection, as suggested by a study in which neutralizing antibody titers against
305 gB did not correlate with vaccine-induced protection [90].

306 The role of gB glycoprotein in receptor-mediated membrane fusion in fibroblasts
307 [29] and in pH-dependent virus entry in endothelial cells and epithelial cells [89] suggests
308 that anti-gB antibodies may be able to block infection in multiple cell types. Antibodies
309 against gB were shown to block in vitro infection of fibroblasts and epithelial/endothelial
310 cells [91], and prevent CMV infection in a guinea pig model of congenital infection [74].
311 Multiple vaccine candidates that employed gB as an antigen, either alone or in
312 combination with additional CMV antigens, have been evaluated in experimental models
313 and early stage clinical trials [90,92–96]. This has included purified recombinant gB,
314 virus vectors and DNA vaccines encoding gB, and virus like particles expressing gB,
315 among others [97]. Notably, a recombinant vaccine employing a monomeric form of gB
316 formulated with the MF59 adjuvant demonstrated 50% efficacy in preventing CMV
317 infection in seronegative postpartum women [98], 43% efficacy in seronegative
318 adolescent girls [92] and was associated with lower CMV viral loads and less antiviral
319 treatment in solid organ transplant recipients [94]. A recent study comparing
320 immunization with monomeric or trimeric gB in mice demonstrated that the trimeric form
321 induced higher neutralizing antibody titers, blocking both fibroblast and epithelial cell

322 infection [65]. Together these studies indicate that immunization with gB can contribute
323 to the stimulation of neutralizing antibodies and provide at least partial protection against
324 CMV infection. However, recent studies reported that gB non-neutralizing antibodies in
325 both transplant patients and women may be important for antibody-mediated protection
326 [90,93,99–101], suggesting a new protective mechanism for the gB/MF59 vaccine [102].

327 The envelope glycoprotein gH is present in both gH/gL/gO complex and the
328 gH/gL/UL128-131 pentameric complex, and thus participates in cell entry of fibroblasts,
329 myeloid, epithelial and endothelial cells [68]. While antibodies targeting distinct epitopes
330 on gH demonstrated moderate neutralizing activity of fibroblasts, epithelial and
331 endothelial cells [78, 79], other antibodies against epitopes of the pentameric complex
332 that span different proteins in the complex [58,103], demonstrate potent neutralization of
333 infection in epithelial cells, endothelial cells and macrophages, but not fibroblasts [104].
334 Multiple vaccines employing gH/gL and the pentameric complex are currently being
335 developed in preclinical studies, including viral vectors and nucleic acid vaccines [97].
336 Results from clinical trials regarding their ability to induce neutralizing titers against
337 different cell types will be of interest. Antibodies against gM/gN were shown to neutralize
338 infection of fibroblasts, epithelial and endothelial cells in vitro [105], thus making them
339 potential candidates for vaccines.

340 In light of these studies, a vaccine against CMV may need to include multiple
341 antigens to induce antibodies capable of inhibiting infection of multiple cell types and
342 achieve high levels of efficacy. Using monomeric gB may not be sufficient for achieving
343 broad efficacy, but in combination with the gH/gL/UL128-131 pentameric complex may
344 potently neutralize fibroblasts and non-fibroblast cells. Future studies are needed in order
345 to clarify the potential of this combination and the role of other viral envelope antigens
346 in inducing neutralizing antibodies. In addition, although it remains to be determined,

347 vaccines that employ antigens involved in different steps in viral entry (e.g. attachment,
348 receptor binding, fusion) and different infection modalities (i.e. cell-free virus entry and
349 cell to cell spread) may also increase efficacy compared to vaccines targeting only single
350 entry or infection processes. Recently, a whole-virus vaccine (V160) was tested in a
351 double-blind, randomized, placebo-controlled phase 1 clinical trial (NCT01986010),
352 inducing both neutralizing antibody and T-cell responses. The vaccine is designed to have
353 most of the antigens that are usually presented during natural CMV infection [106].
354 Currently the safety, tolerability, efficacy and immunogenicity of a 2-dose and a 3- dose
355 regimen of V160 is being tested on an ongoing double-blind, randomized, placebo-
356 controlled phase 2b clinical trial (EudraCT 2017-004233-86). Results of the clinical trial
357 will help to determine whether the presence of multiple antigens, including most of the
358 viral envelope antigens, is able to elicit a neutralizing immune response.

359 The identification of potent neutralizing antibodies and their corresponding
360 epitopes raises the possibility of developing novel antibody-based therapeutics for the
361 treatment and prevention of CMV disease. As suggested by the results from passive
362 immunization studies, antibody-based therapeutics could potentially have an important
363 clinical impact given concerns related to of the toxicity of drug-based therapies and the
364 potential for the emergence of resistance associated with currently-used antiviral
365 compounds (ganciclovir and valganciclovir). However, variability between CMV-
366 hyperimmunoglobulin production lots is a current limitation for its use as a standard
367 treatment, which could be overcome with therapies based on monoclonal antibodies. The
368 development of therapeutic monoclonal antibodies against multiple CMV envelope
369 antigens has been previously reported, including antibodies against gB, gH, and the
370 UL128-131 trimer of the pentameric complex [58,107]. RG7667 (Genentech), which
371 consists of a combination of two monoclonal antibodies (MCMV5322A and

372 MCMV3068A) that bind neutralizing epitopes on the CMV complexes gH/gL and
373 gH/gL/UL128-131, was tested in a randomized, double-blind, placebo-controlled phase
374 1 clinical trial (NCT01496755) [108],and it has recently been advanced to a phase 2
375 clinical trial (NCT01753167) in renal transplant patients [109]. In this study, CMV
376 disease was less common in patients that received RG7667 compared to the placebo group
377 (3.4% versus 15.8%; $p = 0.03$), and time to viremia was delayed [109]. Compared to
378 polyclonal immunoglobulin preparations, monoclonal antibodies offer several
379 advantages including higher target specificity, the potential for greater potency with the
380 ability to administer higher doses of antibody, and lower toxicity. As with prophylactic
381 vaccine development, antibodies against multiple epitopes/antigens may be necessary in
382 order to achieve broad efficacy.

383

384 **Concluding Remarks and Future Directions**

385 Recent studies have greatly increased our understanding of the role played by
386 neutralizing antibodies in providing protection against CMV infection and disease.
387 Insights have also been gained regarding the viral envelope proteins that contribute to
388 eliciting these antibodies, although further work is clearly needed in order to fully
389 understand how individual viral components contribute to the neutralizing antibody
390 response (Box 1). A few studies have begun to define how neutralization of infection of
391 different cell types correlates with protective immunity. Applying this information to
392 vaccine design and development may yield promising vaccine candidates. In addition,
393 further preclinical and clinical studies are needed to identify promising viral antigens and
394 the neutralizing antibody response they elicit in order to define the optimal combination
395 of antigens that are needed for vaccine and antibody-based therapy development.

396

397 **Acknowledgements**

398 This study was supported by the Spanish Ministry of Science, Innovation and University,
399 Instituto de Salud Carlos III Grant/Award Numbers: PI17CIII-00014 (MPY110/18);
400 DTS18CIII/00006 (MPY127/19). This work was supported by Plan Nacional de I + D+i
401 2013-2016 and Instituto de Salud Carlos III, Subdirección General de Redes y Centros de
402 Investigación Cooperativa, Ministry of Science, Innovation and University, Spanish
403 Network for Research in Infectious Diseases (REIPI RD16/0016/0009), co-financed by
404 European Development Regional Fund 'A way to achieve Europe'. E.G-R is supported
405 by the Sara Borrell Program (CD18CIII/00007), Instituto de Salud Carlos III, Ministerio
406 de Ciencia, Innovación y Universidades.

407

408 **Conflicts of interest**

409 MJM and PPR are founders and shareholders of Vaxdyn, S.L. a biotechnology company
410 developing vaccines.

411

412 **References**

- 413 1 Murphy, E. and Shenk, T.E. (2008) *Human cytomegalovirus*, 325
- 414 2 Boeckh, M. and Geballe, A.P. (2011) Science in medicine Cytomegalovirus :
415 pathogen , paradigm , and puzzle. *J. Clin. Invest.* 121, 1673–1680
- 416 3 Seitz, R. (2010) Human Cytomegalovirus (HCMV)-Revised. *Transfus. Med.*
417 *Hemotherapy* 37, 365–375
- 418 4 Britt, W.J. (2017) Congenital Human Cytomegalovirus Immunity. *J. Virol.* 91, 1–
419 7
- 420 5 Roddie, C. and Peggs, K.S. (2017) Immunotherapy for transplantation-associated
421 viral infections. *J. Clin. Invest.* 127, 2513–2522
- 422 6 Kuo, C.P. *et al.* (2008) Detection of cytomegalovirus reactivation in cancer
423 patients receiving chemotherapy. *Clin. Microbiol. Infect.* 14, 221–227
- 424 7 Deayton, J.R. *et al.* (2004) Importance of cytomegalovirus viraemia in risk of
425 disease progression and death in HIV-infected patients receiving highly active
426 antiretroviral therapy. *Lancet* 363, 2116–2121
- 427 8 Li, X. *et al.* (2018) Cytomegalovirus infection and outcome in immunocompetent
428 patients in the intensive care unit: A systematic review and meta-analysis. *BMC*
429 *Infect. Dis.* 18, 1–10
- 430 9 Lachance, P. *et al.* (2016) Impact of cytomegalovirus reactivation on clinical
431 outcomes in immunocompetent critically ill patients: protocol for a systematic
432 review and meta-analysis. *Syst. Rev.* 5, 127
- 433 10 Mena-Romo, J.D. *et al.* (2017) CMV-specific T-cell immunity in solid organ
434 transplant recipients at low risk of CMV infection. Chronology and applicability

- 435 in preemptive therapy. *J. Infect.* 75, 336–345
- 436 11 Blanco-Lobo, P. *et al.* (2016) Use of antibodies neutralizing epithelial cell
437 infection to diagnose patients at risk for CMV Disease after transplantation. *J.*
438 *Infect.* 72, 597–607
- 439 12 López-Oliva, M.O. *et al.* (2014) Pretransplant CD8 T-cell response to IE-1
440 discriminates seropositive kidney recipients at risk of developing CMV infection
441 posttransplant. *Transplantation* 97, 839–845
- 442 13 Picarda, G. and Benedict, C.A. (2018) Cytomegalovirus: Shape-Shifting the
443 Immune System. *J. Immunol.* 200, 3881–3889
- 444 14 Walter, E.A. *et al.* (1995) Reconstitution of cellular immunity against
445 cytomegalovirus in recipients of allogeneic bone marrow by transfer of t-cell
446 clones from the donor. *N. Engl. J. Med.* 333, 1038–1044
- 447 15 Gamadia, L.E. *et al.* (2001) Differentiation of cytomegalovirus-specific CD8 + T
448 cells in healthy and immunosuppressed virus carriers. *Blood* 98, 754–761
- 449 16 Sester, M. *et al.* (2020) Correlate with Cytomegalovirus control and predict-
450 induced disease after renal transplantation. *Transplantation* 71, 1287–1294
- 451 17 Pourgheysari, B. *et al.* (2009) Early reconstitution of effector memory CD4+
452 CMV-specific T cells protects against CMV reactivation following allogeneic
453 SCT. *Bone Marrow Transplant.* 43, 853–861
- 454 18 Gabanti, E. *et al.* (2014) Human Cytomegalovirus (HCMV)-specific CD4+and
455 CD8+ T cells are both required for prevention of HCMV disease in seropositive
456 solid-organ transplant recipients. *PLoS One* 9,
- 457 19 Díaz, J. *et al.* (2014) Incidence and risk factors for cytomegalovirus disease in a

- 458 Colombian cohort of kidney transplant recipients. *Transplant. Proc.* 46, 160–166
- 459 20 Lilleri, D. *et al.* (2018) Human cytomegalovirus (HCMV)-specific T cell but not
460 neutralizing or IgG binding antibody responses to glycoprotein complexes gB,
461 gHgLgO, and pUL128L correlate with protection against high HCMV viral load
462 reactivation in solid-organ transplant recipients. *J. Med. Virol.* 90, 1620–1628
- 463 21 Giménez, E. *et al.* (2015) Role of cytomegalovirus (CMV)-specific
464 polyfunctional CD8⁺ T-cells and antibodies neutralizing virus epithelial infection
465 in the control of CMV infection in an allogeneic stem-cell transplantation setting.
466 *J. Gen. Virol.* 96, 2822–2831
- 467 22 Martins, J.P. *et al.* (2019) Strain-specific antibody therapy prevents
468 cytomegalovirus reactivation after transplantation. *Science (80-.).* 363, 288–293
- 469 23 Li, G. and Kamil, J.P. (2016) Viral Regulation of Cell Tropism in Human
470 Cytomegalovirus. *J. Virol.* 90, 626–629
- 471 24 Tandon, R. and Mocarski, E.S. (2012) Viral and host control of cytomegalovirus
472 maturation. *Trends Microbiol.* 20, 392–401
- 473 25 Das, S. *et al.* (2014) Identification of Human Cytomegalovirus Genes Important
474 for Biogenesis of the Cytoplasmic Virion Assembly Complex. *J. Virol.* 88, 9086–
475 9099
- 476 26 Ciferri, C. *et al.* (2015) Structural and biochemical studies of HCMV gH/gL/gO
477 and pentamer reveal mutually exclusive cell entry complexes. *Proc. Natl. Acad.*
478 *Sci. U. S. A.* 112, 1767–1772
- 479 27 Nguyen, C.C. and Kamil, J.P. (2018) Pathogen at the gates: Human
480 cytomegalovirus entry and cell tropism. *Viruses* 10,

- 481 28 Malito, E. *et al.* (2018) From recognition to execution-the HCMV Pentamer from
482 receptor binding to fusion triggering. *Curr. Opin. Virol.* 31, 43–51
- 483 29 Burke, H.G. and Heldwein, E.E. (2015) Crystal Structure of the Human
484 Cytomegalovirus Glycoprotein B. *PLoS Pathog.* 11, 1–21
- 485 30 Kropff, B. *et al.* (2012) Glycoprotein N of Human Cytomegalovirus Protects the
486 Virus from Neutralizing Antibodies. *PLoS Pathog.* 8,
- 487 31 Kari, B. and Gehrz, R. (1992) A Human Cytomegalovirus Glycoprotein Complex
488 Designated gC-II Is a Major Heparin-Binding Component of the Envelope. *J.*
489 *Virol.* 66, 1761–1764
- 490 32 Zhou, M. *et al.* (2015) Human Cytomegalovirus gH/gL/gO Promotes the Fusion
491 Step of Entry into All Cell Types, whereas gH/gL/UL128-131 Broadens Virus
492 Tropism through a Distinct Mechanism. *J. Virol.* 89, 8999–9009
- 493 33 Schultz, E.P. *et al.* (2016) Scanning Mutagenesis of Human Cytomegalovirus
494 Glycoprotein gH/gL. *J. Virol.* 90, 2294–2305
- 495 34 Wille, P.T. *et al.* (2010) A Human Cytomegalovirus gO-Null Mutant Fails To
496 Incorporate gH/gL into the Virion Envelope and Is Unable To Enter Fibroblasts
497 and Epithelial and Endothelial Cells. *J. Virol.* 84, 2585–2596
- 498 35 Ryckman, B.J. *et al.* (2010) Human Cytomegalovirus TR Strain Glycoprotein O
499 Acts as a Chaperone Promoting gH/gL Incorporation into Virions but Is Not
500 Present in Virions. *J. Virol.* 84, 2597–2609
- 501 36 Ryckman, B.J. *et al.* (2008) Characterization of the Human Cytomegalovirus
502 gH/gL/UL128-131 Complex That Mediates Entry into Epithelial and Endothelial
503 Cells. *J. Virol.* 82, 60–70

- 504 37 Manuel, O. *et al.* (2009) Impact of Genetic Polymorphisms in Cytomegalovirus
505 Glycoprotein B on Outcomes in Solid-Organ Transplant Recipients with
506 Cytomegalovirus Disease. *Clin. Infect. Dis.* 49, 1160–1166
- 507 38 Vanarsdall, A.L. and Johnson, D.C. (2012) Human cytomegalovirus entry into
508 cells Adam. *Curr. Opin. Virol.* 2, 1–7
- 509 39 Gerna, G. and Lilleri, D. (2019) Human cytomegalovirus (HCMV) infection/re-
510 infection: Development of a protective HCMV vaccine. *New Microbiol.* 42, 1–20
- 511 40 Stegmann, C. *et al.* (2017) A derivative of platelet-derived growth factor receptor
512 alpha binds to the trimer of human cytomegalovirus and inhibits entry into
513 fibroblasts and endothelial cells. *PLoS Pathog.* 13, 1–27
- 514 41 Wu, Y. *et al.* (2017) Human cytomegalovirus glycoprotein complex gH/gL/gO
515 uses PDGFR- α as a key for entry. *PLoS Pathog.* 13, 1–24
- 516 42 Soroceanu, L. *et al.* (2008) Platelet-derived growth factor- α receptor activation is
517 required for human cytomegalovirus infection. *Nature* 455, 391–395
- 518 43 Kabanova, A. *et al.* (2017) Platelet-derived growth factor- α receptor is the
519 cellular receptor for human cytomegalovirus gHgLgO trimer. *Nat. Microbiol.* 1,
520 16082
- 521 44 Feire, A.L. *et al.* (2004) Cellular integrins function as entry receptors for human
522 cytomegalovirus via a highly conserved disintegrin-like domain. *Proc. Natl.*
523 *Acad. Sci. U. S. A.* 101, 15470–15475
- 524 45 Li, Q. *et al.* (2016) Cell Surface THY-1 Contributes to Human Cytomegalovirus
525 Entry via a Macropinocytosis-Like Process. *J. Virol.* 90, 9766–9781
- 526 46 Li, Q. *et al.* (2015) THY-1 Cell Surface Antigen (CD90) Has an Important Role

527 in the Initial Stage of Human Cytomegalovirus Infection. *PLoS Pathog.* 11, 1–26

528 47 Martinez-Martin, N. *et al.* (2018) An Unbiased Screen for Human
529 Cytomegalovirus Identifies Neuropilin-2 as a Central Viral Receptor. *Cell* 174,
530 1158-1171.e19

531 48 Xiaofei, E. *et al.* (2019) OR14I1 is a receptor for the human cytomegalovirus
532 pentameric complex and defines viral epithelial cell tropism. *Proc. Natl. Acad.*
533 *Sci. U. S. A.* 116, 7043–7052

534 49 Vanarsdall, A.L. *et al.* (2018) CD147 Promotes Entry of Pentamer-Expressing
535 Human Cytomegalovirus into Epithelial and Endothelial Cells. *MBio* 9, 1–19

536 50 Stein, K.R. *et al.* (2019) CD46 facilitates entry and dissemination of human
537 cytomegalovirus. *Nat. Commun.* 10, 1–13

538 51 Brune, W. and Andoniou, C.E. (2017) Die another day: Inhibition of cell death
539 pathways by cytomegalovirus. *Viruses* 9, 1–17

540 52 Boehme, K.W. *et al.* (2006) Human Cytomegalovirus Envelope Glycoproteins B
541 and H Are Necessary for TLR2 Activation in Permissive Cells. *J. Immunol.* 177,
542 7094–7102

543 53 Kaiser, W.J. *et al.* (2013) Toll-like receptor 3-mediated necrosis via TRIF, RIP3,
544 and MLKL. *J. Biol. Chem.* 288, 31268–31279

545 54 Dauber, B. and Wolff, T. (2009) Activation of the antiviral kinase PKR and viral
546 countermeasures. *Viruses* 1, 523–544

547 55 Takeuchi, A. and Saito, T. (2017) CD4 CTL, a cytotoxic subset of CD4+ T cells,
548 their differentiation and function. *Front. Immunol.* 8, 1–7

549 56 van Lier, R.A.W. *et al.* (2003) HUMAN CD8+ T-CELL DIFFERENTIATION
550 IN RESPONSE TO VIRUSES. *Nat. Rev. Immunol.* 3, 913–918

551 57 Chiu, Y.L. *et al.* (2016) Cytotoxic polyfunctionality maturation of
552 cytomegalovirus-pp65-specific CD4+ and CD8+ T-cell responses in older adults
553 positively correlates with response size. *Sci. Rep.* 6, 1–11

554 58 Gerna, G. *et al.* (2016) Monoclonal Antibodies to Different Components of the
555 Human Cytomegalovirus (HCMV) Pentamer gH/gL/pUL128L and Trimer
556 gH/gL/gO as well as Antibodies Elicited during Primary HCMV Infection
557 Prevent Epithelial Cell Syncytium Formation. *J. Virol.* 90, 6216–6223

558 59 Lilleri, D. *et al.* (2012) Antibodies against neutralization epitopes of human
559 cytomegalovirus gH/gL/pUL128-130-131 complex and virus spreading may
560 correlate with virus control in vivo. *J. Clin. Immunol.* 32, 1324–1331

561 60 Lu, L.L. *et al.* (2018) Beyond binding: Antibody effector functions in infectious
562 diseases. *Nat. Rev. Immunol.* 18, 46–61

563 61 Ohta, A. *et al.* (2009) Recombinant human monoclonal antibodies to human
564 cytomegalovirus glycoprotein B neutralize virus in a complement-dependent
565 manner. *Microbes Infect.* 11, 1029–1036

566 62 Li, F. *et al.* (2017) Complement enhances in vitro neutralizing potency of
567 antibodies to human cytomegalovirus glycoprotein B (Gb) and immune sera
568 induced by Gb/MF59 vaccination /631/250/590/2294 /692/53/2423 article. *npj*
569 *Vaccines* 2,

570 63 Kobayashi, R. *et al.* (2018) Analysis of relationships between polymorphisms in
571 the genes encoding the pentameric complex and neutralization of clinical

572 cytomegalovirus isolates. *Vaccine* 36, 5983–5989

573 64 Gerna, G. *et al.* (2008) Human cytomegalovirus serum neutralizing antibodies
574 block virus infection of endothelial/epithelial cells, but not fibroblasts, early
575 during primary infection. *J. Gen. Virol.* 89, 853–865

576 65 Cui, X. *et al.* (2018) Novel trimeric human cytomegalovirus glycoprotein B
577 elicits a high-titer neutralizing antibody response. *Vaccine* 36, 5580–5590

578 66 Lilleri, D. *et al.* (2013) Fetal Human Cytomegalovirus Transmission Correlates
579 with Delayed Maternal Antibodies to gH/gL/pUL128-130-131 Complex during
580 Primary Infection. *PLoS One* 8, 1–13

581 67 Chiuppesi, F. *et al.* (2015) Vaccine-Derived Neutralizing Antibodies to the
582 Human Cytomegalovirus gH/gL Pentamer Potently Block Primary
583 Cytotrophoblast Infection. *J. Virol.* 89, 11884–11898

584 68 Vanarsdall, A.L. *et al.* (2019) HCMV trimer- and pentamer-specific antibodies
585 synergize for virus neutralization but do not correlate with congenital
586 transmission. *Proc. Natl. Acad. Sci. U. S. A.* 116, 3728–3733

587 69 Zydek, M. *et al.* (2014) HCMV infection of human trophoblast progenitor cells
588 of the placenta is neutralized by a human monoclonal antibody to glycoprotein B
589 and not by antibodies to the pentamer complex. *Viruses* 6, 1346–1364

590 70 Tabata, T. *et al.* (2019) Neutralizing monoclonal antibodies reduce human
591 cytomegalovirus infection and spread in developing placentas. *Vaccines* 7, 135

592 71 Nigro, G. *et al.* (2005) Passive immunization during pregnancy for congenital
593 cytomegalovirus infection. *N. Engl. J. Med.* 353, 1350–1362

594 72 Planitzer, C.B. *et al.* (2011) Cytomegalovirus neutralization by hyperimmune and

595 standard intravenous immunoglobulin preparations. *Transplantation* 92, 267–70

596 73 Visentin, S. *et al.* (2012) Early primary cytomegalovirus infection in pregnancy:
597 Maternal hyperimmunoglobulin therapy improves outcomes among infants at 1
598 year of age. *Clin. Infect. Dis.* 55, 497–503

599 74 Buxmann, H. *et al.* (2012) Use of cytomegalovirus hyperimmunoglobulin for
600 prevention of congenital cytomegalovirus disease: A retrospective analysis. *J.*
601 *Perinat. Med.* 40, 439–446

602 75 Nigro, G. and Adler, S.P. (2013) Hyperimmunoglobulin for Prevention of
603 Congenital Cytomegalovirus Disease. *Clin. Infect. Dis.* 57, S193–S195

604 76 Adler, S.P. (2012) Primary maternal cytomegalovirus infection during
605 pregnancy: Do we have a treatment option? *Clin. Infect. Dis.* 55, 504–506

606 77 Revello, M.G. *et al.* (2014) A randomized trial of hyperimmune globulin to
607 prevent congenital cytomegalovirus. *N. Engl. J. Med.* 370, 1316–1326

608 78 Bonaros, N. *et al.* (2008) CMV-hyperimmune globulin for preventing
609 cytomegalovirus infection and disease in solid organ transplant recipients: a
610 meta-analysis. *Clin. Transplant.* 22, 89–97

611 79 Snyderman, D.R. *et al.* (2011) The impact of CMV prevention on long-term
612 recipient and graft survival in heart transplant recipients: analysis of the
613 Scientific Registry of Transplant Recipients (SRTR) database. *Clin. Transplant.*
614 25, E455–E462

615 80 Goldstein, G. *et al.* (2017) The role of immunoglobulin prophylaxis for
616 prevention of cytomegalovirus infection in pediatric hematopoietic stem cell
617 transplantation recipients. *Pediatr. Blood Cancer* 64,

- 618 81 Schampera, M.S. *et al.* (2019) Role of pentamer complex-specific and IgG
619 subclass 3 antibodies in HCMV hyperimmunoglobulin and standard intravenous
620 IgG preparations. *Med. Microbiol. Immunol.* 208, 69–80
- 621 82 Kabanova, A. *et al.* (2014) Antibody-driven design of a human cytomegalovirus
622 gHgLpUL128L subunit vaccine that selectively elicits potent neutralizing
623 antibodies. *Proc. Natl. Acad. Sci. U. S. A.* 111, 17965–17970
- 624 83 Fouts, A.E. *et al.* (2012) Antibodies against the gH/gL/UL128/UL130/UL131
625 Complex Comprise the Majority of the Anti-Cytomegalovirus (Anti-CMV)
626 Neutralizing Antibody Response in CMV Hyperimmune Globulin. *J. Virol.* 86,
627 7444–7447
- 628 84 Modlin, J.F. *et al.* (2004) Vaccine Development to Prevent Cytomegalovirus
629 Disease: Report from the National Vaccine Advisory Committee. *Clin. Infect.*
630 *Dis.* 39, 233–239
- 631 85 Plotkin, S.A. and Boppana, S.B. (2018) Vaccination against the human
632 cytomegalovirus. *Vaccine* DOI: 10.1016/j.vaccine.2018.02.089
- 633 86 Cobbold, M. *et al.* (2005) Adoptive transfer of cytomegalovirus-specific CTL to
634 stem cell transplant patients after selection by HLA-peptide tetramers. *J. Exp.*
635 *Med.* 202, 379–386
- 636 87 Molina-Ortega, A. *et al.* (2019) Impact of pretransplant CMV-specific T-cell
637 immune response in the control of CMV infection after solid organ
638 transplantation: a prospective cohort study. *Clin. Microbiol. Infect.* 25, 753–758
- 639 88 Diamond, D.J. *et al.* (2018) A fifty-year odyssey: Prospects for a
640 cytomegalovirus vaccine in transplant and congenital infection. *Expert Rev.*

- 641 *Vaccines* 17, 889–911
- 642 89 Gerna *et al.* (2019) Human Cytomegalovirus Cell Tropism and Host Cell
643 Receptors. *Vaccines* 7, 70
- 644 90 Baraniak, I. *et al.* (2018) Protection from cytomegalovirus viremia following
645 glycoprotein B vaccination is not dependent on neutralizing antibodies. *Proc.*
646 *Natl. Acad. Sci. U. S. A.* 115, 6273–6278
- 647 91 Wussow, F. *et al.* (2017) Neutralization of human cytomegalovirus entry into
648 fibroblasts and epithelial cells. *Vaccines* 5,
- 649 92 Bernstein, D.I. *et al.* (2016) Safety and efficacy of a cytomegalovirus
650 glycoprotein B (gB) vaccine in adolescent girls: A randomized clinical trial.
651 *Vaccine* 34, 313–319
- 652 93 Nelson, C.S. *et al.* (2018) HCMV glycoprotein B subunit vaccine efficacy
653 mediated by nonneutralizing antibody effector functions. *Proc. Natl. Acad. Sci.*
654 *U. S. A.* 115, 6267–6272
- 655 94 Griffiths, P.D. *et al.* (2011) Cytomegalovirus glycoprotein-B vaccine with MF59
656 adjuvant in transplant recipients: A phase 2 randomised placebo-controlled trial.
657 *Lancet* 377, 1256–1263
- 658 95 Vincenti, F. *et al.* (2018) A randomized, phase 2 study of ASP0113, a DNA-
659 based vaccine, for the prevention of CMV in CMV-seronegative kidney
660 transplant recipients receiving a kidney from a CMV-seropositive donor. *Am. J.*
661 *Transplant.* 18, 2945–2954
- 662 96 Kharfan-Dabaja, M.A. *et al.* (2012) A novel therapeutic cytomegalovirus DNA
663 vaccine in allogeneic haemopoietic stem-cell transplantation: A randomised,

664 double-blind, placebo-controlled, phase 2 trial. *Lancet Infect. Dis.* 12, 290–299

665 97 Schleiss, M.R. *et al.* (2017) Progress toward development of a vaccine against
666 congenital cytomegalovirus infection. *Clin. Vaccine Immunol.* 24, 1–20

667 98 Pass, R.F. *et al.* (2009) Vaccine prevention of maternal cytomegalovirus
668 infection. *N. Engl. J. Med.* 360, 1191–1199

669 99 Baraniak, I. *et al.* (2018) Epitope-Specific Humoral Responses to Human
670 Cytomegalovirus Glycoprotein-B Vaccine with MF59: Anti-AD2 Levels
671 Correlate with Protection from Viremia. *J. Infect. Dis.* 217, 1907–1917

672 100 Nelson, C.S. *et al.* (2018) Intra-host Dynamics of Human Cytomegalovirus
673 Variants Acquired by Seronegative Glycoprotein B Vaccinees. *J. Virol.* 93, 1–17

674 101 Baraniak, I. *et al.* (2019) Original Antigenic Sin Shapes the Immunological
675 Repertoire Evoked by Human Cytomegalovirus Glycoprotein B/MF59 Vaccine
676 in Seropositive Recipients. *J. Infect. Dis.* 220, 228–232

677 102 Schleiss, M.R. (2018) Recombinant cytomegalovirus glycoprotein B vaccine:
678 Rethinking the immunological basis of protection. *Proc. Natl. Acad. Sci. U. S. A.*
679 115, 6110–6112

680 103 Macagno, A. *et al.* (2010) Isolation of Human Monoclonal Antibodies That
681 Potently Neutralize Human Cytomegalovirus Infection by Targeting Different
682 Epitopes on the gH/gL/UL128-131A Complex. *J. Virol.* 84, 1005–1013

683 104 Freed, D.C. *et al.* (2013) Pentameric complex of viral glycoprotein H is the
684 primary target for potent neutralization by a human cytomegalovirus vaccine.
685 *Proc. Natl. Acad. Sci. U. S. A.* 110, 4997–5005

686 105 Shimamura, M. *et al.* (2006) Human Cytomegalovirus Infection Elicits a

687 Glycoprotein M (gM)/gN-Specific Virus-Neutralizing Antibody Response. *J.*
688 *Virology*. 80, 4591–4600

689 106 Adler, S.P. *et al.* (2019) Phase 1 Clinical Trial of a Conditionally Replication-
690 Defective Human Cytomegalovirus (CMV) Vaccine in CMV-Seronegative
691 Subjects. *J. Infect. Dis.* 220, 411–419

692 107 Seedah, E.A. *et al.* (2015) Immunotherapeutic Approaches To Prevent
693 Cytomegalovirus-Mediated Disease. *Antibodies Infect. Dis.* DOI:
694 10.1128/microbiolspec.aid-0009-2013

695 108 Ishida, J.H. *et al.* (2015) Phase 1 randomized, double-blind, placebo-controlled
696 study of RG7667, an anticytomegalovirus combination monoclonal antibody
697 therapy, in healthy adults. *Antimicrob. Agents Chemother.* 59, 4919–4929

698 109 Ishida, J.H. *et al.* (2017) Phase 2 Randomized, Double-Blind, Placebo-Controlled
699 Trial of RG7667, a Combination Monoclonal Antibody, for Prevention of
700 Cytomegalovirus Infection in High-Risk Kidney Transplant Recipients.
701 *Antimicrob. Agents Chemother.* 61, e01794-16

702

703 **Figure Legends**

704 **Figure 1. CMV structure.** Schematic representation of the CMV virion. The outer
705 membrane of CMV has multiple embedded glycoprotein complexes. The gCI complex
706 includes gB, the gCII complex consisting of gM and gN, the gCIII complex including
707 gH, gL, and gO and the pentameric complex is composed of the gH/gL heterodimer
708 bound to three small glycoproteins encoded by UL128, UL130, and UL131. The gCII
709 (gM/gN) is involved in the initial attachment with the cell through interaction with
710 glycosaminoglycans. For fibroblasts and Langerhans cells, viral entry is mediated by gB
711 and gH/gL/gO, while entry into epithelial, endothelial and myeloid cells occurs through
712 the interaction between the pentameric complex: gH/gL/UL128-131 and the cell
713 receptor.

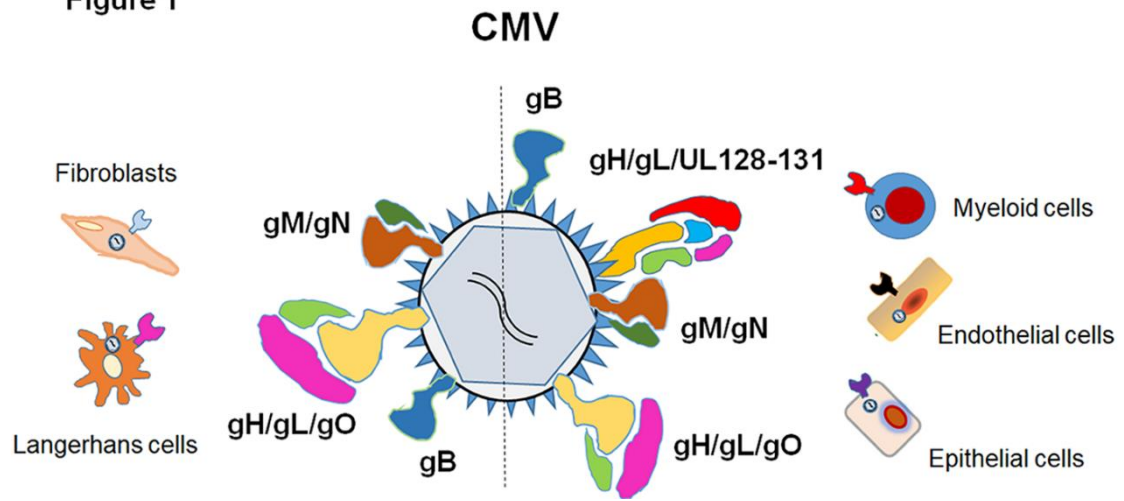
714 **Figure 2. Mechanisms of CMV entry in the cells.** A. CMV infection of macrophages
715 endothelial and epithelial cells can occur using different pH-dependent pathways,
716 including endocytosis and macropinocytosis-like pathway, followed by pH-dependent
717 fusion of the viral membrane with the endosomal membrane in the cytoplasm. During
718 endocytosis the pentameric complex gH/gL/pUL128-131 interacts with the cellular
719 receptor triggering endocytosis. In addition CMV uses macropinocytosis-like pathway
720 that in epithelial cells can be mediated through the interaction between either the
721 cellular protein CD46 (highlighted in red) or THY-1 (highlighted in green) with viral
722 glycoproteins gH and gB. B. CMV entry into fibroblasts occurs through two different
723 mechanisms. The pH-independent pathway that occurs by direct fusion of the viral
724 envelope with the cellular membrane. In addition during infection of fibroblasts CMV
725 uses a macropinocytosis-like pathway, a pH-dependent fusion mechanism. This
726 pathway involves the fusion of the viral membrane with the endosomal membrane and
727 occurs through the interaction between the cellular protein THY-1 (highlighted in green)
728 and gH or gB.

729

730 **Figure 3. CMV-specific adaptive immune response.** The recognition of CMV
731 proteins during primary infection triggers the activation and expansion of functional
732 CMV-specific T-cells. Antigen-presenting cells process and display CMV antigens in
733 the major histocompatibility complex (MHC) class I, activating CD8+ T-cells that
734 secrete interferon (IFN)- γ or tumor necrosis factor (TNF)- α that induce the suppression
735 of intracellular virus replication, or that secrete granzymes and perforins that induce
736 lysis of virus-infected cells. Presentation of CMV antigens via the MHC class II
737 activates CD4+ T-cells with cytotoxic activity that secrete INF- γ inducing the
738 suppression of intracellular virus replication or that secrete interleukin 2 (IL-2) that
739 induce the proliferation of CD8+ T-cells and macrophages. Activated CD4+ T cells can
740 also activate B-cells, inducing the production of CMV-specific antibodies against
741 multiple viral proteins among which neutralizing antibodies act by blocking the
742 interaction between CMV envelope glycoproteins and the cellular receptor preventing
743 CMV entry into the target cell and cell to cell spread. Antibodies can also recruit
744 complement, promoting antibody dependent cell cytotoxicity and phagocytosis of the
745 pathogen.

746

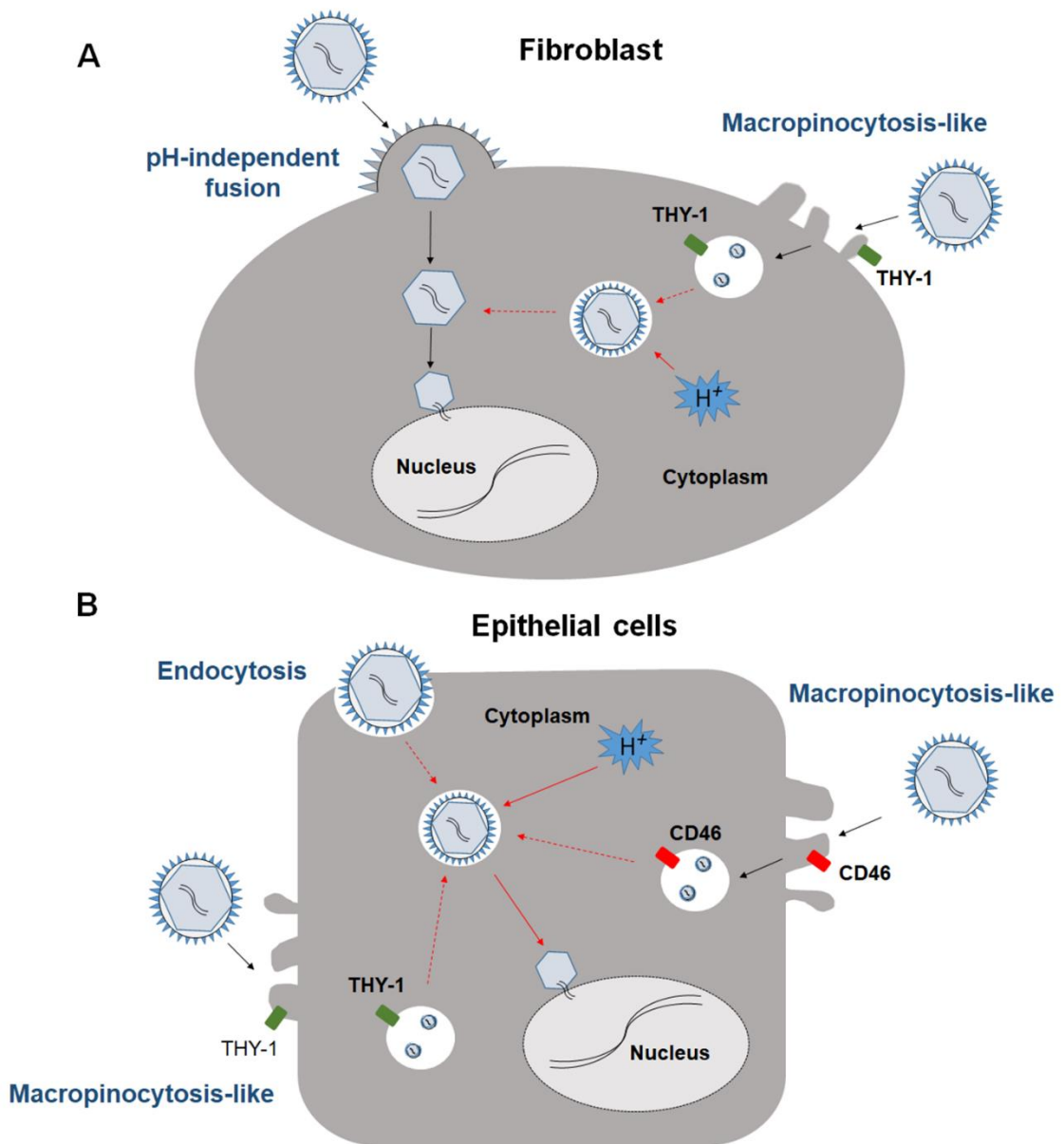
Figure 1



747

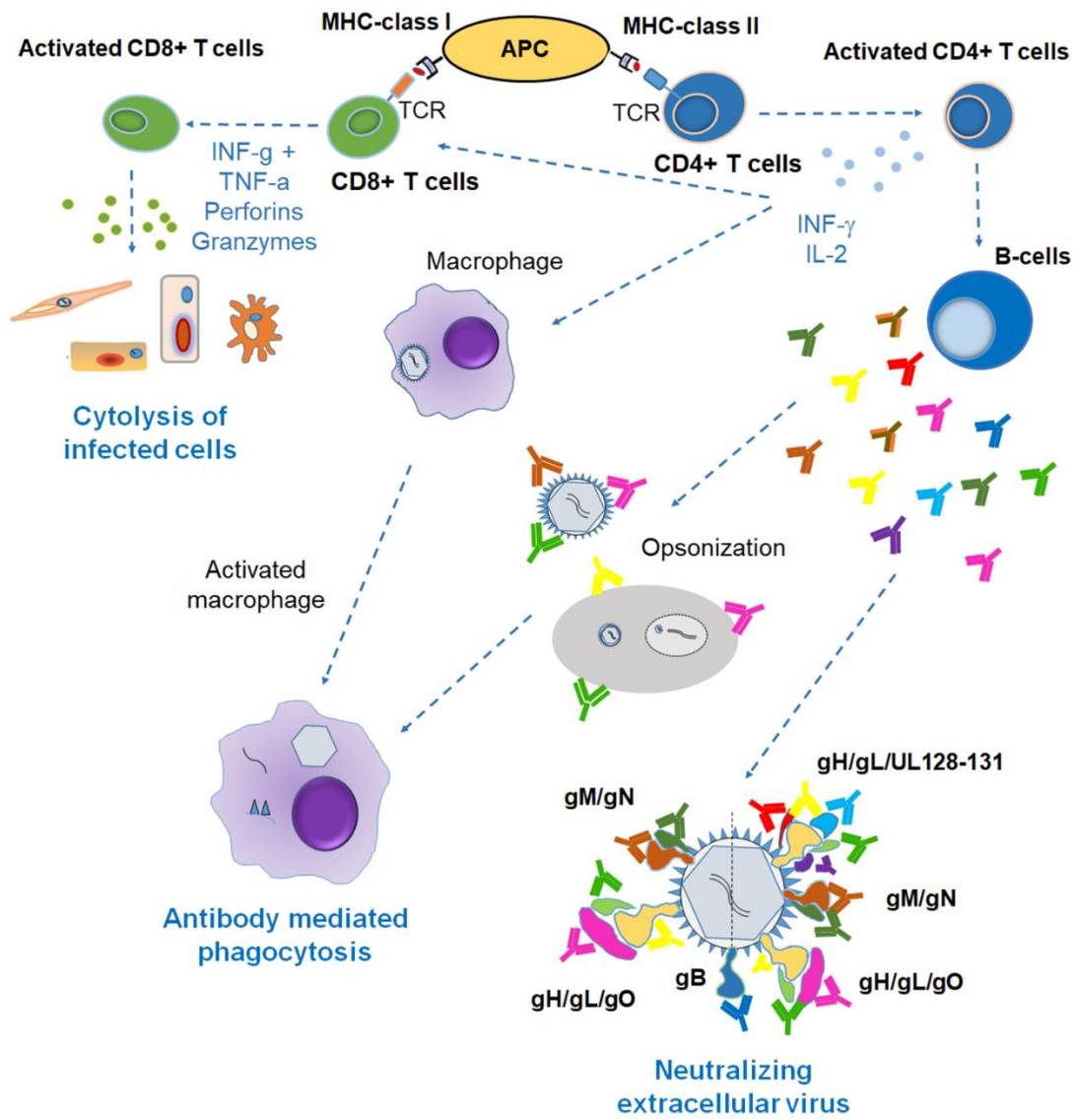
748

Figure 2



749

Figure 3



750